

07-16-2004

Form PTO-1595

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DEPARTMENT OF COMMERCE
J.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James W. Forbes

7-14-04

2. Name and address of receiving party(ies)

Name: National Steel Car Limited

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Street Address: P.O. Box 2450600 Kenilworth Avenue NorthCity: Hamilton, Ontario State: Canada Zip: L8N 3J4Execution Date: May 17, 2004Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/357,318

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael H. Minns

Internal Address: _____

Street Address: Twin Oaks Estate1225 West Market StreetCity: Akron State: OH Zip: 443136. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
- ☒ Authorized to be charged to deposit account

8. Deposit account number:

15-0450

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Michael H. Minns

Name of Person Signing

Signature

7 July 2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Filed together with a new patent application to:

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JUN-30-2004 16:26
OPR/ASSIGNMENTSHAHN LOESER + PARKS
6/30/04 4:17 PAGE 4/5 RightFAX

3308647986 P.04/05

Page 1

ASSIGNMENT

WHEREAS, I, James W. Forbes, residing at the address stated below, have made an invention entitled **RAIL ROAD CAR WITH TRUCK BEARING ADAPTER AND METHOD** for which (mark box):

A. I have filed applications for United States and Canadian Letters Patent and for which I hereby authorize our attorney, Kenneth L. Bousfield of the firm of McCarthy Tétrault LLP, authorized to prosecute said applications, to here insert the filing date and serial number of said applications when known:

United States:	Filed: February 3, 2003	Serial No.: 10/357,318
Canada:	Filed: July 31, 2003	Serial No.: 2, 436, 504

B. A declaration has been executed on even date herewith, under the same title, and naming me as the inventors;

AND WHEREAS, NATIONAL STEEL CAR LIMITED (hereinafter referred to as ASSIGNEE), a corporation organized under the laws of Canada, having a place of business at P.O. Box 2450, 600 Kenilworth Avenue North, Hamilton, Ontario L8N 3J4 Canada, is desirous of acquiring the same;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by me, I confirm that I have assigned, sold, transferred and set over, and by these presents do assign, sell, transfer and set over unto said ASSIGNEE the entire right, title and interest in and to (a) said invention and worldwide rights therein; (b) said applications, including all divisions, continuations, continuations in-part and substitutions thereof; and (c) all Canadian, United States and foreign patents which shall issue on said invention, including all reissues, renewals and extensions thereof, for the United States, its territories and possessions, Canada, and all foreign countries, including the right to file corresponding applications for Letters Patent on said invention in any and all foreign countries, and to claim priority under any and all treaties and conventions to which Canada is signatory, including the Paris Convention for the Protection of Industrial Property for such corresponding applications, or any division, continuation or substitution thereof, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by me, had this assignment not been made.

I hereby represent and warrant that, as far as I am aware, I am the only inventor of the said invention, and that until the time of assignment, sale, transfer or setting over of the invention and of the applications by me to the ASSIGNEE, I was, and had at all times been, the owner of all right, title and interest in and to the invention and of the applications.

McCarthy Tétrault LLP TDQ-RED #8229566 v. 1

McCarthy Tétrault LLP (7/03)

JUN-30-2004 16:26
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6/30/04 4:17 PAGE 5/5 RightFAX

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I covenant and agree that I will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this assignment.

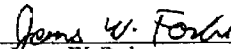
I represent and covenant that no assignment, mortgage, sale, license, pledge, encumbrance or alienation of said invention or patent application has been or will be made or entered into which would conflict with this assignment and sale.

I hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and the Commissioner of Patents of Canada, respectively, to issue all Letters Patent based on said application and each division, continuation, substitution, reissue, renewal and extension thereof to said ASSIGNEE, its successors and assigns

IN TESTIMONY WHEREOF, I have duly executed this assignment on the date as indicated next to our names.

Assignor
Date:

May 17/04


James W. Forbes

Residence Address:

15 Glenron Road, R.R. #2,
Campbellville, Ontario
L0P 1B0
Canada

Citizenship:

Canada

Witnessed By:



Name:

William R. Davis

McCarthy Tétrault LLP TDO-RED #8229566 v. 1

McCarthy Tétrault LLP (7/03)

TOTAL P.05