

07-13-2004



102788738

Docket No.: 15436.450

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard P. Ratowsky
Ashish K. Verma
Lars Eng

630.04

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 28, 2004

2. Name and address of receiving party(ies):

Name: Finisar Corporation

Address: 1308 Moffett Park Drive

City: Sunnyvale

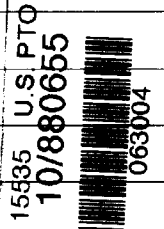
State/Prov.: CA

Country: USA

ZIP: 94089

Additional name(s) & address(es)

☐ Yes ☒ No



4. Application number(s) or patent numbers(s):

10880655

If this document is being filed together with a new application, the execution date of the application is: June 28, 2004

Patent Application No.

Filing date

B. Patent No.(s)

07/06/2004 EAREGAY1 00000003 10880655

03 FC:8021

40.00 DP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric L. Maschoff

Registration No. 36,596

Address:

022913

PATENT TRADEMARK OFFICE
CUSTOMER NO.

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3178

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carl T. Reed

Name of Person Signing

Carl T. Reed

Signature

6/30/04

Date

Total number of pages including cover sheet, attachments, and

6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 015558 FRAME: 0742

ASSIGNMENT

We,

- (1) Name: Richard P. Ratowsky
Address: 2301 Curtis Street
Berkeley, California 94702
Citizenship: United States
- (2) Name: Ashish K. Verma
Address: 4044 Rio Court
San Jose, California 95134
Citizenship: United States
- (3) Name: Lars Eng
Address: 801 Church Street #1131
Mountain View, California 94041
Citizenship: United States

respectively, have invented a method and apparatus entitled **SEMICONDUCTOR LASER WITH SIDE MODE SUPPRESSION** hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by us and now identified as File No. 15436.450 of the law firm of Workman Nydegger, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, and filed in the United States Patent and Trademark Office as Serial No. _____ on _____. (We hereby authorize the attorneys of Workman Nydegger to insert said serial number and filing date when known.)

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention and in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

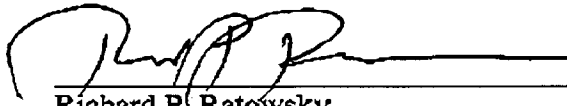
We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

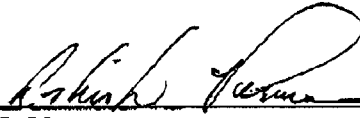
Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 28th day of June 2004.

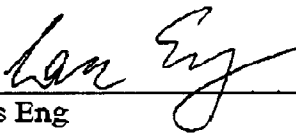

Richard P. Ratowsky

DATED this 28th day of June 2004.



Ashish K. Verma

DATED this 28th day of June 2004.



Lars Eng

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