

Atty Dkt No. 1950-0010  
Caltech No. 3021

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## 1. Name of conveying party(ies):

ROBERT H. GRUBBS  
MATTHIAS SCHOLLAdditional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒
- Assignment
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- ☐
- Security Agreement
- ☐
- Change of Name
- 
- ☐
- Other \_\_\_\_\_

Execution Date: June 6 and 12, 2000

## 2. Name and address of receiving party:

Name: CALIFORNIA INSTITUTE OF TECHNOLOGY  
Internal Address: \_\_\_\_\_

Street Address: 1200 East California Boulevard

City: Pasadena State: CA Zip: 91125

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s): 09/576,370

B. Patent No.(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianne E. Reed, Registration No. 31,292  
Internal Address: \_\_\_\_\_Street Address: Reed Intellectual Property  
Law Group  
800 Menlo Avenue, Suite 210  
City: PALO ALTO State: CA Zip: 94304-1126

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

18-0580

(Attach duplicate copy of this page if paying by deposit account)

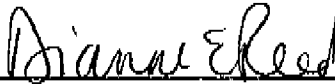
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## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianne E. Reed, Reg. No. 31,292

Name of Person Signing

  
Signature

January 6, 2005

Date

Total number of pages including cover sheet, attachments, and documents: 3

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Please return signed/recorded to:  
Pillsbury Madison & Sutro LLP  
Attn.: Tanuja V. Garde  
50 Fremont Street  
Fifth Floor  
San Francisco, CA 94105-2230

Atty. Dkt.	MS-260726	CIT-3021
	M#	Client Ref.

**ASSIGNMENT**  
**of U.S. Origin Patent Application**

WHEREAS, the undersigned, to wit:

1) ROBERT H. GRUBBS	2) MATTHIAS SCHOLL
3) _____	4) _____
5) _____	6) _____
7) _____	8) _____

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. PMS-260726 [CIT-3021]

and entitled: **IMIDAZOLIDINE-BASED METAL CARBENE METATHESIS CATALYSTS**

for which an application for Letters Patent of the United States

☐ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

☐ was filed on May 22, 2000, Appln. No. 09/ ;

AND WHEREAS CALIFORNIA INSTITUTE OF TECHNOLOGY

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of California

and having its principal office and place of business at 1200 E. California Blvd., Pasadena, CA 91125

desires to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

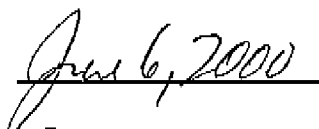
Signature

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Witness

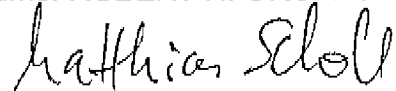
1)

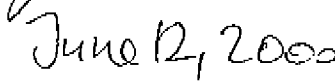
  
Name: ROBERT H. GRUBBS

  
June 6, 2000

  
Linda Clark

2)

  
Name: MATTHIAS SCHOLL

  
June 12, 2000

  
Linda Clark