

DOCKET NO.: B1144.70000US02

RECORDATION FORM COVER SHEET PATENTS ONLY

FORM PTO-1595 U.S.
(Rev. 6-93)
OMB No. 0851-0011 (exp. 4/94)

DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner for Patents : Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
**Elizabeth A. Leadbetter, Ian R. Rifkin,
and Ann Marshak-Rothstein**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **November 23, 2004,
December 27, 2004, and January 5, 2005,
respectively**

2. Name and address of receiving party(ies)

Name: **The Trustees of Boston University**

Internal Address:

Street Address: **One Sherborn Street**

Boston, MA 02215

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): **10/487,885**

If this document is being filed together with a new application, the execution date of the application is
A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: **Alan W. Steele, M.D., Ph.D.
Reg. No. 45,128**
Address: **Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2206**

6. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41) **\$ 40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

The Commissioner is authorized to charge any
deficiencies in the enclosed payment to:

8. Deposit Account No: 23/2825

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan W. Steele, M.D., Ph.D.

January 7, 2005

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **[7]**

Mail documents to be recorded with required cover sheet information to (modify as appropriate):

**Mail Stop Assignment Recordation Services
Director - U.S. Patent and Trademark Office
PO Box 1450, Alexandria, VA 22313-1450**

B1144.70000US02

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, **Elizabeth A. Leadbetter**, residing at 246 Cornell Street, # 2, Roslindale, MA 02131, hereby:

1. Sell, assign and transfer to **The Trustees of Boston University**, a Massachusetts corporation having a place of business at One Sherborn Street, Boston, MA 02215, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 9, 2002, under Serial No. **10/487,885**, bearing Attorney Docket No. B1144.70000US02, and titled **METHOD AND COMPOSITION FOR TREATING IMMUNE COMPLEX ASSOCIATED DISORDERS**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on said inventions, including the right to apply for patent rights in each foreign country and all rights to priority;
2. Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
3. Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee;
4. Authorize and request Wolf, Greenfield & Sacks, P.C., to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; and
5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.
6. This instrument is executed under seal.

Assignment
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11/23/04
Date

Elizabeth A. Leadbetter
Elizabeth A. Leadbetter

Address: 246 Cornell Street, # 2
Roslindale, MA 02131

Citizenship: United States of America

STATE/Commonwealth of MASSACHUSETTS:
COUNTY OF SUFFOLK:

On this 23rd day of November, 2004, before me, the undersigned notary public, personally appeared Elizabeth A. Leadbetter, proved to me through satisfactory evidence of identification, which were known to me, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of his knowledge and belief and acknowledged to me that he signed it voluntarily for its stated purpose.

SEAL Mary Ann Strileckis
Notary Public Mary Ann Strileckis

My commission expires 10/23/09

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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, **Ian R. Rifkin**, residing at 147 West Concord Street, # 3, Boston, MA 02118, hereby:

1. Sell, assign and transfer to **The Trustees of Boston University**, a Massachusetts corporation having a place of business at One Sherborn Street, Boston, MA 02215, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 9, 2002, under **Serial No. 10/487,885**, bearing Attorney Docket No. B1144.70000US02, and titled **METHOD AND COMPOSITION FOR TREATING IMMUNE COMPLEX ASSOCIATED DISORDERS**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on said inventions, including the right to apply for patent rights in each foreign country and all rights to priority;
2. Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
3. Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee;
4. Authorize and request Wolf, Greenfield & Sacks, P.C., to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; and
5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.
6. This instrument is executed under seal.

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27 December, 2004
Date

Ian R. Rakin
Ian R. Rakin

Address: 147 West Concord Street, # 3
Boston, MA 02118

Citizenship: United States of America

STATE/Commonwealth of Massachusetts
COUNTY OF Suffolk

On this 27 day of Dec. 2004 before me, the undersigned notary public, personally appeared Ian Rakin, proved to me through satisfactory evidence of identification, which were work ID, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of his knowledge and belief and acknowledged to me that he signed it voluntarily for its stated purpose.

SEAL Karen A. Regal
Notary Public

My commission expires 1-08-10

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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, **Ann Marshak-Rothstein**, residing at 40 Royce Road, Newton, MA 02459, hereby:

1. Sell, assign and transfer to **The Trustees of Boston University**, a Massachusetts corporation having a place of business at One Sherborn Street, Boston, MA 02215, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 9, 2002, under **Serial No. 10/487,885**, bearing Attorney Docket No. B1144.70000US02, and titled **METHOD AND COMPOSITION FOR TREATING IMMUNE COMPLEX ASSOCIATED DISORDERS**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on said inventions, including the right to apply for patent rights in each foreign country and all rights to priority;
2. Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
3. Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee;
4. Authorize and request Wolf, Greenfield & Sacks, P.C., to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; and
5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.
6. This instrument is executed under seal.

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1/5/05
Date

Ann Marshak-Rothstein
Ann Marshak-Rothstein

Address: 40 Royce Road
Newton, MA 02459

Citizenship: United States of America

STATE/Commonwealth of Massachusetts
COUNTY OF Suffolk:

On this 5th day of January, 2005, before me, the undersigned notary public, personally appeared Ann Marshak-Rothstein, proved to me through satisfactory evidence of identification, which were MA Driver's License, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of his knowledge and belief and acknowledged to me that he signed it voluntarily for its stated purpose.

SEAL Herbert I. Lewis
Notary Public

HERBERT I. LEWIS
Notary Public
Commonwealth of Mass.

My commission expires _____
My Comm. Expires March 22, 2007