

Form PTO-1595 (Rev. 06/04)
OMB No. 0651-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):Xuan Zhao
Michael D. Bentley
Zhongxu RenExecution Date(s) 12/9/2004, 12/9/2004, 12/9/2004, 12/14/2004Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)Name: Nektar Therapeutics AL, Corporation

Internal Address: _____

Street Address: 490 Discovery DriveCity: HuntsvilleState: ALCountry: _____ Zip: 35806Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**A. Patent Application No.(s)
10/943,799☐ This document is being filed together with a new application.
B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Mark A. Wilson, Nektar Therapeutics

Internal Address: _____

Street Address: 150 Industrial RoadCity: San CarlosState: CA Zip: 94070Phone Number: (650) 631-3100Fax Number: (650) 631-3125

Email Address: _____

6. Total number of applications and patents involved:1**7. Total fee (37 CFR 1.21 (h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Credit Card

Last 4 Numbers _____

Expiration Date _____

Deposit Account Number 500348

Authorized User Name _____

9. Signature:Mark A. Wilson

Signature

January 7, 2005

Date

Mark A. Wilson
Name of Person SigningTotal number of pages including cover
sheet, attachments, and documents: 6Documents to be recorded (including cover sheet) should be faxed to (703) 308-5905, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

700014441224

**PATENT
REEL- 015566 FRAME: 0309**

CH \$40.00 600348 10943799

**ATTACHMENT FOR RECORDATION FORM COVER SHEET
PATENTS ONLY (Form PTO-1595)****1. Name of conveying party(ies):**Tacey X. Viegas

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No**2. Name and address of receiving party(ies)**

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

☐ Yes ☒ No**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)**B. Patent No.(s)**

Additional numbers attached?

☐ Yes ☒ No

Practitioner's Docket No. SHE0077.00**PATENT**

For: U.S. Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Xuan Zhao
2805 Southhurst Drive, S.E.
Huntsville, AL 35803
Nationality: China

Michael D. Bentley
4017 Nolen Avenue, S.E.
Huntsville, AL 35801
Nationality: U.S.A.

Zhongxu Ren
140 Antique Rose Drive
Madison, AL 35758
Nationality: China

Tacey X. Viegas
155 Windy Way Drive
Madison, AL 35758
Nationality: U.S.A.

hereby sell, assign and transfer to

ASSIGNEE:

Nektar Therapeutics AL, Corporation
490 Discovery Drive
Huntsville, AL 35806

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

MULTI-ARM POLYMER PRODRUGS

and which is found in (37 C.F.R. § 3.21) U.S. application no. 10/943,799 filed on September 17, 2004 and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date:

Signature of Xuan Zhao

State of _____

County of _____

On _____ before me _____
personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Date: Dec 9, 2004

Signature of Michael D. Bentley

State of Alabama

County of Madison

On Dec 9, 2004 before me Evamarie Green
personally appeared Michael D. Bentley, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

My commission expires Nov 6, 2005

Date: Dec 9, 2004

Zhongxu Ren
Signature of Zhongxu Ren

State of AlabamaCounty of Madison

On December 9, 2004 before me Evamarie Green
personally appeared Zhongxu Ren, personally known to me/or proved to me on the
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged
to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or
the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

E. Green

Date: Dec 9, 2004

Tacey X. Viegas
Signature of Tacey X. Viegas

State of AlabamaCounty of Madison

On Dec 9, 2004 before me Evamarie Green
personally appeared Tacey X. Viegas, personally known to me/or proved to me on the
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged
to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or
the entity upon behalf of which the person, executed the instrument.


WITNESS my hand and official seal.

E. Green

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 12-14-2004


Signature of Xuan Zhao

State of _____

County of _____

On _____ before me _____

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Date:

Signature of Michael D. Bentley

State of _____

County of _____

On _____ before me _____

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.
