

7/15/04

07-22-2004



Form PTO-1595

(Rev. 03/01)

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OMB No. 0651-0027 (exp. 5/31/2002)

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☐ Yes☒ No

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Execution Date: September 25, 2003

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If this document is being filed together with a new application, the execution date of the new application is: _____

A. Patent Application No.(s):

10/378,957

B. Patent No.(s): _____

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Name: Robert Berliner

FULBRIGHT & JAWORSKI L.L.P.

Internal Address: Atty. Dkt.: 1279-398C1/10209526

Street Address:

865 South Figueroa
Twenty-Eighth Floor

City:

Los Angeles

State:

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90017-2571

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)

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Execution Date: September 25, 2003

2. Name and address of receiving party(ies)

Name: Mitsubishi Chemical Corporation

Internal Address:

Street Address: 5-2 Marunouchi

2-Chome, Chiyoda-KU

City: Tokyo

Country: Japan

Zip: 100-105

Additional name(s) & address(es) attached:

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4. Application number(s) or patent number(s):

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10/378,957

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert Berliner

FULBRIGHT & JAWORSKI L.L.P.

Internal Address: Atty. Dkt.: LA-1279-398.US

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865 South Figueroa

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CA

Zip:

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6. Total number of applications and patents involved:

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ASSIGNMENT

U.C. Case No. 2003-070-1
F & J Docket No. 1279-398C

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS,

1. Fumihiko Shimizu

hereby sells, assigns and transfers to ASSIGNEE, MITSUBISHI CHEMICAL CORPORATION, a Japanese Corporation, having its office located at 5-2, Marunouchi 2-Chome, Chiyoda-Ku, Tokyo 100-005 Japan and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: "A QUASI-LIVING METAL CATALYST FOR ETHYLENE HOMO-POLYMERIZATION AND COPOLYMERIZATION WITH 5-NORBORNEN-2-YL ACETATE," U. S. Serial No. 10/378,957 filed in the U. S. Patent and Trademark Office on March 3, 2003 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and document relating to said invention and said Letters patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNORS requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, MITSUBISHI CHEMICAL CORPORATION executed this

Signature of Inventors

25 day of September, 2003

Fumihiko Shimizu
Fumihiko Shimizu