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Hoi Yeung Chan, Trieu C. Chieu, Jeffrey Owen Kephart

Execution Date(s) 8/2/2004, 8/2/2004, 8/2/2004

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: IBM Corporation

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- Assignment Merger
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4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

10/909,760

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: George A. Willingham, III

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

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- None required (government interest not affecting title)

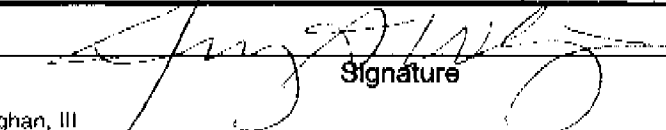
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Signature

January 11, 2005

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PATENT

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(JOINT FORM)

IBM Docket No. YOR220040244US1

Assignment

Whereas, we

INVENTOR (1) Hoi Young Chan of Stamford AND CITY County of Fairfield and State of Connecticut, USA

INVENTOR (2) Trieu C. Chieu of Scarsdale AND CITY County of Westchester and State of New York, USA

INVENTOR (3) Jeffrey Owen Kephart of Cortlandt Manor AND CITY County of Westchester and State of New York, USA

TITLE have invented certain improvements in AN APPROACH TO MONITOR APPLICATION STATES FOR SELF-MANAGING SYSTEMS and executed, respectively, a United States patent application therefor on

DATES THAT INVENTORS SIGNED THE DECLARATION (1) August 2, 2004, (2) August 2, 2004, and (3) August 2, 2004

That application was filed August 2, 2004 and assigned application no. 10/909,760.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor; Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said above-identified application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY AND (1) at on 1/4 2004 Hoi Young Chan SIGNATURE

CITY AND (2) at on 12/31/ 2004 Trieu C. Chieu SIGNATURE

CITY AND (3) at on 1/4/ 2004 Jeffrey Owen Kephart SIGNATURE