

07-23-2004

OMB No. 0651-0011 (exp. 4/94)



To the Honorable Commissioner of Patents

102797599

1 original documents or copy thereof.

1. Name of conveying party(ies):

Joachim Nozulak

2. Name and address of receiving party(ies)

Name: Novartis AGAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Lichtstrasse 35City: Basel State: Switzerland ZIP: 4056Execution Date: October 11, 2002Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/258,920

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: NovartisCorporate Intellectual PropertyStreet Address: One Health Plaza, Building 430City: East Hanover State: NJ ZIP: 07936-10806. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Edward J. Wilusz, Jr.Name of Person Signing
Reg. No. 52,370

Signature

July 20, 2004

Date

☒ Certificate of Express Mail belowTotal number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

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FILING BY "EXPRESS MAIL"
UNDER 37 CFR 1.10
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July 20, 2004

Date of Deposit

ASSIGNMENT

I / We **Joachim NOZULAK, In der Ziegelei 1, 79423 Heitersheim, Germany, citizen of Germany**

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**AZABICYCLIC CARBAMATES AND THEIR USE AS ALPHA-7 NICOTINIC
ACETYLCHOLINE RECEPTOR AGONISTS**

invented by me/us and described in the international application

No. **PCT/EP01/05008** filed **03/05/2001**

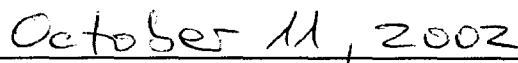
and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.



Joachim NOZULAK

Signed on



October 11, 2002