

07-26-2004

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22581 U.S. PTO
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:

Shin-ichi Sakata and Tetsuo Yamada

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 9, 2004

2. Name and address of receiving party:

Ube Industries, Ltd., a corporation of Japan, 1978-96 O-Aza Kogushi, Ube-shi, Yamaguchi-ken 755-8633, Japan

Additional name(s) and address(es) attached? ☐ Yes ☒ No

7-16-04

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 9, 2004

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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5. Name and address of party to whom correspondence concerning document should be mailed:

IP Department
Piper Rudnick LLP
One Liberty Place, Suite 4900
1650 Market Street
Philadelphia, PA 19103

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account No. 50-2719

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

T. Daniel Christenbury

Name of Person Signing

Signature

Date

Total number of pages including one cover sheet, attachments and document: 2

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U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter "ASSIGNOR") by

(Insert
ASSIGNEE's
Name(s)
Address(es))

Ube Industries, Ltd.

1978-96 O-Aza Kogushi, Ube-shi, Yamaguchi-ken 755-8633, Japan

(hereinafter "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

(Title of
Invention)

SIALON-BASED PHOSPHOR AND ITS PRODUCTION METHOD

relating to International Patent Application PCT/IP _____ and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(Insert date
of execution
of application,
if not
concurrent)

(a) executed on _____;

(b) filed on _____,
Serial No. _____;

(_____) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

1) <u>Shinichi Sakata</u> (SIGNATURE)	<u>Shin-ichi Sakata</u> (TYPE NAME)	<u>July 9, 2004</u> (DATE)
2) <u>Tetsuo Yamada</u> (SIGNATURE)	<u>Tetsuo Yamada</u> (TYPE NAME)	<u>July 9, 2004</u> (DATE)
3) _____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
4) _____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
5) _____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
6) _____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
7) _____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
8) _____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)