

07-23-2004

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

R



102798391

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

William Justin McDonald - 6/30/2004,
Marc William Mentry - 7/1/2004,
Carolyn DeNoia McCarty - 7/1/2004,
Daniel W. McCaskey - 6/30/2004.

Execution Date(s) (listed next to names above)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Capital One Financial Corporation

Internal Address: _____

Street Address: 2980 Fairview Park Drive

City: Falls Church

State: VA

Country: United States of America Zip: 22042

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

7-14-04
29209375

5. Name and address to whom correspondence concerning document should be mailed:

Name: Frank M. Gasparo, Esq.

Internal Address: Baker & McKenzie

Street Address: 805 Third Avenue

City: New York

State: NY Zip: 10022

Phone Number: 212-751-5700

Fax Number: 212-759-9133

Email Address: nycipd@bakernet.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 02-0393

Authorized User Name Frank M. Gasparo

9. Signature:

Signature

July 14, 2004

Date

Frank M. Gasparo, Esq. (Reg. no. 44,700)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

19

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

07/22/2004 ECDOPER 00000078 020393 29209375

01 FC:8021

40.00 BA

PATENT
REEL: 015594 FRAME: 0371

ASSIGNMENT

WHEREAS, I/We,

William Justin McDonald
8019 Greenwich Woods Dr.
McLean, VA 22102
United States

Citizenship: United States,

Marc William Mentry
12319 Bridgehead Place
Glen Allen, VA 23059
United States

Citizenship: United States,

Carolyn DeNoia McCarty
8906 Wishart Pl.
Richmond, VA 23229
United States

Citizenship: United States, and

Daniel W. McCaskey
1104 Spring Meadow Dr.
Chapel Hill, NC 27517
United States

Citizenship: United States,

have made certain new and useful inventions and discoveries, for which I/we have filed an application for Letters Patent entitled **DATA CARD**, the specification of which is being filed herewith as U.S. Design Patent Application, and

WHEREAS, **Capital One Financial Corporation**, having a place of business at 2980 Fairview Park Drive, Falls Church, VA 22042, United States of America, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, and other valuable consideration furnished by Assignee to me/us, receipt and sufficiency of which I/we hereby acknowledge, I/we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my/our name(s) or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I/we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I/we have good right to assign the same to Assignee without encumbrance.

5. Bind my/our heirs, legal representatives, and assigns, as well as myself/ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me/us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me/us, my/our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me/us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my/our control or in the control of my/our heirs, legal representatives, or assigns which may be useful for establishing the facts of my/our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of
 _____, 2004

William Justin McDonald

STATE OF _____)
)
 COUNTY OF _____)

On this _____ day of _____ 2004, before me came **William Justin McDonald**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

 Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of

_____, 2004

Marc William Mentry

STATE OF _____)

COUNTY OF _____)

On this _____ day of _____ 2004, before me came **Marc William**

Mentry, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of _____, 2004

Carolyn DeNoia McCarty

STATE OF _____)
)
 COUNTY OF _____)

On this _____ day of _____ 2004, before me came **Carolyn DeNoia McCarty**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

 Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this 30 day of

June, 2004



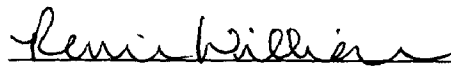
Daniel W. McCaskey

STATE OF NC)
COUNTY OF Durham)

On this 30 day of June 2004, before me came **Daniel W.**

McCaskey, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Notary Public



expires 8/23/04

ASSIGNMENT

WHEREAS, I/We,

William Justin McDonald
8019 Greenwich Woods Dr.
McLean, VA 22102
United States

Citizenship: United States,

Marc William Mentry
12319 Bridgehead Place
Glen Allen, VA 23059
United States

Citizenship: United States,

Carolyn DeNoia McCarty
8906 Wishart Pl.
Richmond, VA 23229
United States

Citizenship: United States, and

Daniel W. McCaskey
1104 Spring Meadow Dr.
Chapel Hill, NC 27517
United States

Citizenship: United States,

have made certain new and useful inventions and discoveries, for which I/we have filed an application for Letters Patent entitled **DATA CARD**, the specification of which is being filed herewith as U.S. Design Patent Application, and

WHEREAS, **Capital One Financial Corporation**, having a place of business at 2980 Fairview Park Drive, Falls Church, VA 22042, United States of America, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, and other valuable consideration furnished by Assignee to me/us, receipt and sufficiency of which I/we hereby acknowledge, I/we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my/our name(s) or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I/we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I/we have good right to assign the same to Assignee without encumbrance.

5. Bind my/our heirs, legal representatives, and assigns, as well as myself/ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me/us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me/us, my/our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me/us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my/our control or in the control of my/our heirs, legal representatives, or assigns which may be useful for establishing the facts of my/our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this 30TH day of

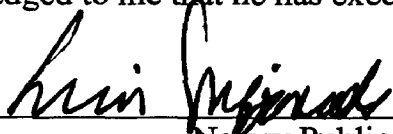
JULIE, 2004

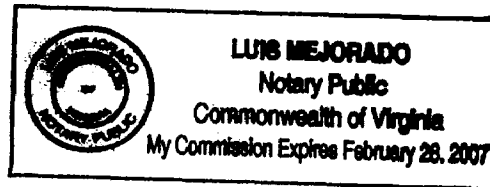

William Justin McDonald

STATE OF VIRGINIA)
COUNTY OF FAIRFAX)

On this 30TH day of JULIE 2004, before me came **William Justin**

McDonald, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.


Notary Public



IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of

_____, 2004

Marc William Mentry

STATE OF _____)

COUNTY OF _____)

On this _____ day of _____ 2004, before me came **Marc William**

Mentry, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of _____, 2004

STATE OF _____)
)
 COUNTY OF _____)

Carolyn DeNoia McCarty

On this _____ day of _____ 2004, before me came **Carolyn DeNoia McCarty**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

 Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of

_____, 2004

Daniel W. McCaskey

STATE OF _____)
COUNTY OF _____)

On this _____ day of _____ 2004, before me came **Daniel W.**

McCaskey, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Notary Public _____

ASSIGNMENT

WHEREAS, I/We,

William Justin McDonald
8019 Greenwich Woods Dr.
McLean, VA 22102
United States

Citizenship: United States,

Marc William Mentry
12319 Bridgehead Place
Glen Allen, VA 23059
United States

Citizenship: United States,

Carolyn DeNoia McCarty
8906 Wishart Pl.
Richmond, VA 23229
United States

Citizenship: United States, and

Daniel W. McCaskey
1104 Spring Meadow Dr.
Chapel Hill, NC 27517
United States

Citizenship: United States,

have made certain new and useful inventions and discoveries, for which I/we have filed an application for Letters Patent entitled **DATA CARD**, the specification of which is being filed herewith as U.S. Design Patent Application, and

WHEREAS, **Capital One Financial Corporation**, having a place of business at 2980 Fairview Park Drive, Falls Church, VA 22042, United States of America, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, and other valuable consideration furnished by Assignee to me/us, receipt and sufficiency of which I/we hereby acknowledge, I/we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my/our name(s) or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I/we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I/we have good right to assign the same to Assignee without encumbrance.

5. Bind my/our heirs, legal representatives, and assigns, as well as myself/ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me/us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me/us, my/our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me/us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my/our control or in the control of my/our heirs, legal representatives, or assigns which may be useful for establishing the facts of my/our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of _____, 2004

William Justin McDonald

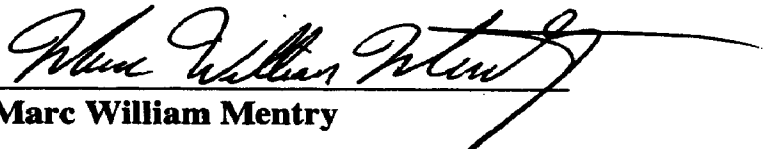
STATE OF _____)
)
 COUNTY OF _____)

On this _____ day of _____ 2004, before me came **William Justin McDonald**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

 Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this 1st day of

July, 2004



Marc William Mentry

STATE OF VIRGINIA)
COUNTY OF GOODLAND)

On this 1st day of July, 2004, before me came **Marc William Mentry**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.



Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this 1st day of

July, 2004

Carolyn DeNoia McCarty
Carolyn DeNoia McCarty

STATE OF VIRGINIA)
COUNTY OF Spotsylvania)

On this 1st day of July 2004, before me came **Carolyn DeNoia McCarty**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Daniel K. Joy
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set our hand and seal this _____ day of

_____, 2004

Daniel W. McCaskey

STATE OF _____)

COUNTY OF _____)

On this _____ day of _____ 2004, before me came **Daniel W.**

McCaskey, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Notary Public

Page 6 of 6

NYCDMS/425054.1

RECORDED: 07/14/2004

PATENT
 REEL: 015594 FRAME: 0389