

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Peter Martin FISCHER (11/12/2004), Jan SAREK (11/03/2004), Paul M. BLANEY (11/23/2004), Piers COLLIER (10/07/2004).

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Cyclacel Limited

Internal Address:

Street Address:

Dundee Technopole
James Lindsay Place
DUNDEE
DD1 5JJ
UNITED KINGDOM

City:

State:

Country:

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
10/846217

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Giulio A. DeConti, Jr
LAHIVE & COCKFIELD, LLP

Internal Address: Atty. Dkt. CCI-031CN

Street Address: 28 State Street

City: Boston

State: MA Zip: 02109

Phone Number: (617) 227-7400

Fax Number: (617) 742-4214

Email Address:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date
b. Deposit Account Number 12-0080
Authorized User Name Giulio A. DeConti, Jr.

9. Signature:



Signature

Cynthia M. Sorros - 53,623
Name of Person Signing

January 14, 2005
Date

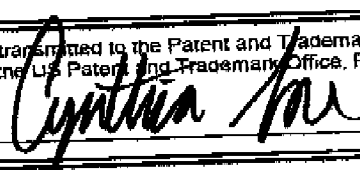
Total number of pages including cover sheet, attachments, and documents:

9

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 306-5995 at MS Assignment Recordation Services, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated January 14, 2005

Signature



(Cynthia M. Sorros)

RECORDATION FORM COVER SHEET
(continued)

Form PTO-1595

Additional Conveying Party(ies)/Execution Date(s) (1. Continued):

John R. FERGUSON (10/07/2004), and Jonathan D. HULL (10/08/2004)

Additional Assignees (2. Continued):

Assignee Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Additional Applications and/or Patents (4. Continued):

Additional Patent Application Numbers
4A. Continued:

Additional Patent Numbers
4B. Continued:

Additional numbers attached?

☐

Yes

☐

No

CCI-031CN

ASSIGNMENT

We, **Peter Martin Fischer** of Angus, United Kingdom, **Jan Sarek** of Ostrava-Poruba, Czech Republic, **Paul M. Blaney** of Burnley Lancashire, United Kingdom, **Piers Collier** of Didsbury, Manchester, United Kingdom, **John R. Ferguson** of Radcliffe, Manchester, United Kingdom and **Jonathan D. Hull** of High Peak, United Kingdom, in consideration of One Dollar and other valuable consideration paid to us by:

CYCLACEL LIMITED

a Scottish Company, of Dundee Technopole, James Lindsay Place, Dundee, DD1 5JJ, Scotland, United Kingdom (whose registered address is 12 St. James's Square, London, SW1Y 4RB, UK) the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said:

CYCLACEL LIMITED

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in:

MEDICAMENT

described in an application:

☐ executed by us of even date herewith and about to be filed

☒ Serial No. 10/846217, filed on May 14, 2004


in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

CCI-031CN

12.11.2004

Date

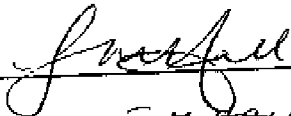


Peter Martin Fischer

Witness:

12.11.2004

Date



J.M. HALL

CCI-031CN

3. 11. 2004
Date

Jan Sarek

Witness:

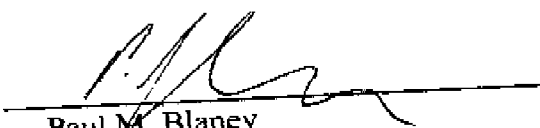
3. 11. 2004
Date

Kateřina Auerov
Kateřina Auerov

CCI-031CN

23 NOV 2004

Date


Paul M. Blaney

Witness:

23rd November 2004

Date


NICHOLAS C. RAY

CCI-031CN

7th Oct., 2004
Date

Piers Collier
Piers Collier

Witness:

8th Oct 04
Date

Amy King

CCI-031CN

07 - Oct - 2004

Date

J. Ferguson

John R. Ferguson

Witness:

07-Oct-04

Date

P. S. Bueh

Paul S. Bueh

CCI-031CN

08 OCT 2004
Date

JA Hull
Jonathan D. Hull

Witness:

08 Oct 04
Date

[Signature]