

07-28-2004



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PATENT

Docket No.: U 015046-5

15364 U.S. PTO  
10/892655



Box Patent Application  
Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

7-16-04

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING  
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21  
and 37 CFR 3.31(a)4))

1. The patent application filed herewith and to which the attached assignment (document)  
refers is identified as follows:

- a. Date of execution: JULY 1, 2004, JULY 1, 2004, JULY 8, 2004, JULY 7, 2004.
- b. Name of each inventor:
  - 1. DAVID C. JACKSON
  - 2. IKE BALDWIN
  - 3. GARRY KNIGHT
  - 4. SIMON WORBOYS
- c. Title of invention: APPARATUS FOR SIGNALING ROTATION OF A WINCH TO  
AN OPERATOR THEREOF

10892655

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date JULY 16, 2004 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV481669346US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

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CONNIE YANNOTTI

(type or print name of person mailing paper)

(Signature of person mailing paper)

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

PATENT  
REEL: 015600 FRAME: 0392

**NOTE:** "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

**Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))**

2. The party(ies) conveying this interest is (are):

1. DAVID C. JACKSON
2. IKE BALDWIN
3. GARRY KNIGHT
4. SIMON WORBOYS

**Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:

Name: TULSA WINCH, INC.

Address: 11135 S. JAMES AVENUE  
JENKS OKLAHOMA 74037 United States of America

Telephone No.: ( )

**Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

**Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: *JULIAN H. COHEN*  
Address: Ladas & Parry  
26 West 61 Street  
New York, NY 10023  
Telephone No.: (212) 708-1447

**Date Assignment (Document) Executed (37 CFR 3.31(a)(7))**

6. The attached assignment (document) was executed on JULY 1, 2004, JULY 1, 2004, JULY 8, 2004, JULY 7, 2004.

**Language of Assignment (Document) to be Recorded**

*NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26*

The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

**Original Document or True Copy Submitted**

*NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.*

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

*NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.*

**Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))**

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00

- ☒ Attached is a check for \$40.00
- ☐ Please charge Account 12-0425 \$40.00.  
A duplicate of this cover sheet is attached.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

**Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: JULY 16, 2004

\_\_\_\_\_  
(Name of party submitting document)

\_\_\_\_\_  
(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)

\_\_\_\_\_  
(Signature of attorney)

Reg. No. 20302

Tel. No. (212) 708-1887

JULIAN H. COHEN  
c/o LADAS & PARRY  
26 WEST 61ST STREET  
NEW YORK, NEW YORK 10023

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 7

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 4 of 4)

Practitioner's Docket No. U 015046-5**PATENT**

For: ☐ U.S. and/or ☐ Foreign Rights  
 For: ☒ U.S. Application or  
       ☐ U.S. Provisional Application  
 For: ☐ U.S. Patent  
 For: ☐ PCT Application  
 By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**

<u>David C. JACKSON</u> <i>(type or print name of inventors)</i>	<u>11135 S. James Avenue</u> <u>Jenks, OK 74037</u> <u>Address</u> <u>American</u> Nationality
<u>Ike BALDWIN</u>	<u>11135 S. James Avenue</u> <u>Jenks, OK 74037</u> <u>Address</u> <u>American</u> Nationality
<u>Garry KNIGHT</u>	<u>1918 E. Glenwood Pl.</u> <u>Santa Ana, CA 92705</u> <u>Address</u> <u>American</u> Nationality
<u>Simon WORBOYS</u>	<u>1918 E. Glenwood Pl.</u> <u>Santa Ana, CA 92705</u> <u>Address</u> Nationality
<u> </u>	<u> </u> <u>Address</u> Nationality

Added page(s) for signature by subsequent inventors. Number of pages added



**EXPRESS MAIL LABEL**  
 (Assignment of Invention—Multiple Inventors—page 1 of 3) 16-32  
 NO.: EV 481609346 US

**PATENT****REEL: 015600 FRAME: 0396**

(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to  
ASSIGNEE

TULSA WINCH, INC.

11135 S. James Avenue

(type or print name of ASSIGNEE)

Address

American

Jenks, OK 74037

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_ %) right, title and interest  
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority, in and to any and all  
improvements which are disclosed in the invention entitled:

APPARATUS FOR SIGNALING ROTATION OF A WINCH TO AN OPERATOR THEREOF

Name of inventor(s) David C. JACKSON, Ike BALDWIN, Garry KNIGHT,

Simon WORBOYS

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith  
(b) ☐ Not yet filed U.S. patent application executed on \_\_\_\_\_  
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for  
the above-entitled invention  
[X] To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an  
ASSIGNOR signing below, hereby authorize and request my  
attorney to insert below the filing date and application number when  
they become known.  
(d) ☐ U.S. patent or provisional patent application no. \_\_\_\_\_  
filed on \_\_\_\_\_  
(e) ☐ International application no. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding  
patent maintenance fees is being sent separately.

