A/D 581-40

## DT15 Rec'd PST/PTO 0 5 FEB 2004

| Form PTO-1595 07 - 28 - 200  | ET U.S. DEPARTMENT OF COMMERCE                                    |  |  |  |
|--|---|--|--|--|
| (Rev. 03/01)<br>OMB No. 0651-0027 (exp. 5/31/200   | U.S. Patent and Trademark Office                                  |  |  |  |
| Tab settings 🖒 🖒 🖒 🗸   | ₩₩₩ <b>, 10</b> /48600 <b>2</b>                                   |  |  |  |
| To the Honorable Commiss. 102800032  | , attached original documents or copy thereof.                    |  |  |  |
| Name of conveying party(ies):  | Name and address of receiving party(ies)                          |  |  |  |
| Mitsuru SHIRAISHI, Masanori BABA, Masaki SETO,<br>Yoshio ARAMAKI, Naoyuki KANZAKI, Naoki   | Name: Takeda Chemical Industries Ltd.                             |  |  |  |
| MIYAMOTO, Yuji IIZAWA  | Internal Address:   |  |  |  |
|  | Intellectual Property Department                                  |  |  |  |
| Additional name(s) of conveying party(les) attached? 📮 Yes 🔼 No  |   |  |  |  |
| 3. Nature of conveyance:   |   |  |  |  |
| 🛂 Assignment 🖵 Merger  | Street Address: 1-1, Doshomachi 4-chome                           |  |  |  |
| 🖫 Security Agreement 🖳 Change of Name  |   |  |  |  |
| ☐ Other  | Chuo-ku   |  |  |  |
|  | City:State:_Japan Zip:_540-8645                                   |  |  |  |
| January 14, 2004  Execution Date:  | OnyStateZip   |  |  |  |
|  | Additional name(s) & address(es) attached? 📮 Yes 🔼 No             |  |  |  |
| 4. Application number(s) or patent number(s):  | 2//   |  |  |  |
|  | ication, the execution date of the application is: 2/5/2004       |  |  |  |
| A. Patent Application No.(s)   | B. Patent No.(s)  |  |  |  |
| 101486002  |   |  |  |  |
| Additional numbers attached? 🛂 Yes 🛂 No  |   |  |  |  |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved: 1           |  |  |  |
| Mark Chao, PhD, JD   | 7. Total fee (37 CFR 3.41)\$_\$40.00                              |  |  |  |
|  | □ Enclosed  |  |  |  |
| Internal Address:  | _   |  |  |  |
| Takeda Pharmaceuticals North America, Inc.   | Authorized to be charged to deposit account                       |  |  |  |
| Intellectual Property Department   |   |  |  |  |
| Suite 500  | 8. Deposit account number:  |  |  |  |
| Street Address: Suite 500  | 500799  |  |  |  |
| 475 Half Day Road  |   |  |  |  |
| City: Lincolnshire State: Illinois Zip: 60069  | (Attach duplicate copy of this page if paying by deposit account) |  |  |  |
| DO NOT USE THIS SPACE  |   |  |  |  |
| 9. Statement and signature.  |   |  |  |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. |   |  |  |  |
| Mark Chao, PhD, JD Reg. No. 37,293   |   |  |  |  |
| Name of Person Signing   | Signature Date  |  |  |  |

Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

**PATENT** 

**REEL: 015600 FRAME: 0727** 

## **ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor hereby sells and assigns, to TAKEDA CHEMICAL INDUSTRIES LTD., a corporation of Japan, 1–1, Doshomachi 4–chome, Chuo–ku, Osaka–shi, Osaka, Japan (hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to the following invention and U.S. application filed thereon, and the entire right, title and interest in and to any and all Letters Patents which may be granted therefor in the United States, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made.

Title of Invention: A BENZAZEPINE DERIVATIVE, PROCESS FOR PRODUCING THE SAME, AND USE

## **United States Patent Application:**

| [ ] | executed concurrently herewith |                  |  |
|-----|--------------------------------|------------------|--|
| [X] | executed on                    | January 14, 2004 |  |
| [ ] | Serial No                      | Filed _          |  |

Each of the undersigned acknowledges that this sale and assignment includes any and all divisions or continuations of said United States Patent application, and any and all Letters Patent of the United States which may issue on any such applications, including any and all reissues or extensions thereof.

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said

1

ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, assist in and testify in any related proceedings including interferences or lawsuits concerning this application or continuation, division or reissue thereof, and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to obtain a grant of a valid United States Patent on said invention;

Each of the undersigned hereby grants ASSIGNEE and its legal representatives, the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment and specifically, the power to insert in the space provided above, the filing date and application number of the application when known.

In witness hereof, executed by the undersigned on the date(s) opposite the undersigned names.

| NA.                                | MES AND SIGNATURES OF INVENTORS |                  |  |  |  |
|------------------------------------|---------------------------------|------------------|--|--|--|
| 1.Name: Mitsuru SHIRAISHI          | Signature: Mitam Shrink         | Date: 14/01/2004 |  |  |  |
| 2.Name: Masanori BABA              | Signature: Masanan Bala         | Date: 14/01/2004 |  |  |  |
| 3.Name: Masaki SETO                | Signature: Masak Seto           | Date: 14/01/2004 |  |  |  |
| 4.Name: Yoshio ARAMAKI             | Signature: Vishio Annah         | Date: 14/01/2004 |  |  |  |
| 5.Name: Naoyuki KANZAKI            | Signature: naoguki kanzaki      | Date: 14/01/2004 |  |  |  |
| 6.Name: Naoki MIYAMOTO             | Signature: Mowhi Minamoto       | Date: 14/01/2004 |  |  |  |
| 7.Name: Yuji <b>II</b> ZAWA        | Signature: ( Ling Sigawa        | Date: 14/01/2004 |  |  |  |
|                                    |                                 |                  |  |  |  |
| NAMES AND SIGNATURES OF WITNESSES" |                                 |                  |  |  |  |
| Name/ Tomoyo KOZAWA                | Signature:                      | Date:            |  |  |  |
| For: 1,3,4,5,6 and 7               | Tomoyo Kosawa                   | 14/01/2004       |  |  |  |
| Name/ Mika OKAMOTO                 | Signature:                      | Date:            |  |  |  |
| For: 2                             | mika Okamora                    | 14/01/2004       |  |  |  |
| Name/                              | Signature:                      | Date:            |  |  |  |
| For:                               |                                 |                  |  |  |  |
| Name/                              | Signature:                      | Date:            |  |  |  |
| For:                               |                                 |                  |  |  |  |
| Name/                              | Signature:                      | Date:            |  |  |  |
| For:                               |                                 |                  |  |  |  |
| Name/                              | Signature:                      | Date:            |  |  |  |
| For:                               |                                 |                  |  |  |  |

<sup>\*</sup>Notice for Witnesses! Please indicate which inventor(s) you are signing for by writing the corresponding numbers after "For:"

PATENT REEL: 015600 FRAME: 0729