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FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, New York 10151 (212) 588-0800

Attorney Docket No. 450100-04670

Applicant(s)

Masaaki HARA et al.

For

APPARATUS FOR DRIVING A TAPE-SHAPED OPTICAL

RECORDING MEDIUM

Corresponding Int'l Appln.

PCT/JP03/08894

3-15-04

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International Filing Date

July 14, 2003

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1. Names of Conveying Parties Masaaki HARA, Jun SAWAI and Yujiro ITO

2. Names and addresses of receiving parties Sony Corporation

7-35, Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan

3. Nature of Conveyance **Execution Dates** 

Assignment.

February 17, 2004

4. Application No.:

This document is being filed together with a new application, the execution date of the application is: February 17, 2004

5. Name and address of party to whom the recorded assignment and any correspondence concerning the document should be mailed:

03/19/2004 MKAYPAGH 00000018 10489743 William S. Frommer

02 FC:8021

Registration No. 25,506

40. FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue

New York, New York 10151.

6. Total number of applications and patents involved:

Total Fee (37 CFR 3.41): \$40.00. 7.

> $\mathbf{X}$ Fee enclosed.

8. Charge Fee Deposit Account No. 50-0320 (Attach duplicate copy of this page if paying by deposit 

X If any additional fee is required, authorization is hereby given to charge Deposit Account No. 50-0320.

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William S. Frommer, Esq. Name of Person Signing

March 15, 2004

00179113.DOC

**PATENT** REEL: 015601 FRAME: 0304

## **ASSIGNMENT**

**FLH File No.:** 

WHEREAS, I, as below named inventors, residing at the addresses stated next to our names, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in APPARATUS FOR DRIVING A TAPE-SHAPED OPTICAL RECORDING MEDIUM

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, SONY CORPORATION, a Japanese corporation, with offices at 7-35 Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001, Japan (hereinafter referred to as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: International Serial Number: <a href="PCT/JP03/08894">PCT/JP03/08894</a>, International Filing Date: <a href="14 July 2003">14 July 2003</a>.

This assignment executed on the dates indicated below.

Masaaki HARA	
Name of first or sole inventor	Execution date of U.S. Patent Application
Tokyo, Japan	
Residence of First or sole inventor	
Masaaki Xara	Date of this assignment
Signature of first or sole inventor	Date of this assignment
Jun SAWAI	
Name of second inventor	Execution date of U.S. Patent Application
Kanagawa, Japan	The same of the same approaches
Residence of second inventor	
Chin Adwa's Signature of second inventor	February 17, 2004
Signature of second inventor	Date of this assignment
Yujiro ITO	
Name of third inventor	Execution date of U.S. Patent Application
Kanagawa, Japan	
Residence of third inventor	
Jujiro Ito	February 17, 2004  Date of this assignment
Signature of third inventor	Date of this assignment

ASSIGNMENT

PATENT REEL: 015601 FRAME: 0305

**RECORDED: 03/15/2004**