

07-28-2004

Docket No. 3968.096

FORM PTO-1595

OMB No. 0651-0027



102801211

COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Y

U.S. Patent Office

7-26-01

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Birgit SCHLEIFENBAUM and Sven SIEGEL

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 10/27/03 and 10/30/03

2. Name and address of receiving party(ies):

Name: Symrise GmbH & Co. KG

Internal Address: _____

Street Address: Muehlenfeldstrasse 1

City: Holzminden

State: _____

Country: GERMANY

Zip: 37603

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

10/476,828

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher J. Kay

Registration No. 44,820

PENDORF & CUTLIFF

Address: 5111 Memorial Highway

City: Tampa State: FL Zip: 33634-7356

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account.
☒ Authorized to be charged to deposit account 16-0877

8. Deposit account number:

16-0877

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true and correct copy of the original document.

Christopher J. Kay
 Name of Person Signing

Christopher J. Kay
 Signature

July 23, 2004
 Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
 Mail Stop Assignment Recordation Services, Director, U.S. Patent and Trademark Office,
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PATENT
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Assignment

In consideration of the payment by ASSIGNEE to ASSIGNORS of valuable consideration, receipt whereof is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS

Birgit SCHLEIFENBAUM
Rte de la Versoix 5
CH-1299 Crans pres Celigny
Switzerland

Sven SIEGEL
Reitergarten 9
37603 Holzminden
Germany

hereby sell, assign and transfer to

ASSIGNEE

Symrise GmbH & Co. KG
Muehlenfeldstrasse 1
37603 Holzminden
Germany

and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions, and countries foreign thereto, in and to any and all improvements which are disclosed in the invention entitled "**CYCLODEXTRIN~~E~~ PARTICLE**", and which is found in U.S. Patent Application Serial Number 10/476,828 filed 11/3/2003, (We hereby authorize and request our attorneys Pendorf & Cutliff of 5111 Memorial Highway, Tampa, Florida 33634-7356, to insert the application number and filing date of said application when known) or any continuation, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof, including the right to claim priority under 35 U.S.C. §119, and we request the Commissioner of Patents to issue any

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Attorney Docket:

Letters Patent granted upon the invention set forth in the application to the ASSIGNEE, its successors and assigns; and we will execute without further consideration all papers deemed necessary by the ASSIGNEE in connection with the United States application when called upon to do so by the Assignee;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been made or will be made or entered into which would conflict with this Assignment;

ASSIGNORS further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

DESIGNATION OF DOMESTIC REPRESENTATIVE

Stephan A. Pendorf, Yaté K. Cutliff, Evelyn A. Defillo, Christopher Kay, Michael J. Bootcheck and Carrie L. Bootcheck located at PENDORF & CUTLIFF, 5111 Memorial Highway, Tampa, FL 33634, are hereby designated Applicants representative in the

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Attorney Docket:

United States of America upon whom notice of process in
proceedings affecting the application/patent may be served.

Date: 27.10.2003

Date: 30.10.2003

B. Schleifenbaum
Birgit SCHLEIFENBAUM

Sven Siegel
Sven SIEGEL

(Legalization not required for recording but is *prima facie* evidence of execution under 35
U.S.C. §261.)