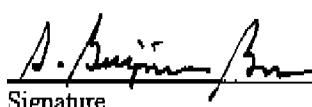


RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Customer No. 23910		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party: Auckland UniServices Ltd. Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies): Name: <u>NeuronZ Ltd.</u> Address: <u>Level 3, 2-6 Park Avenue</u> <u>Grafton, Auckland</u> <u>New Zealand</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No	
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: <u>December 20, 2003</u>		
4. Application numbers or patent numbers: A. Patent Application No(s): <u>Application No.</u> <u>08/656,331</u> <u>09/508,897</u> <u>10/157,542</u> <u>08/907,918</u>	B. Patent No(s): <u>Patent No.</u> <u>6,187,906</u> <u>6,204,240</u> <u>5,714,460</u> <u>5,861,373</u> Additional numbers attached? ☐ Yes ☒ No If this document is being filed together with a new application, the execution date of the application is: _____	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>D. Benjamin Borson, Ph.D.</u> Address: <u>Fliesler Meyer LLP</u> <u>Four Embarcadero Center, Fourth Floor</u> <u>San Francisco, CA 94111</u> Telephone: <u>(415) 362-3800</u>	6. Total Number of applications and patents involved: <u>8</u> X \$40.00 each 7. Total fee (37 CFR 3.41).....\$ <u>320.00</u> ☐ Check Enclosed 8. Fee Authorization. Authorization is given to charge any additional fees or credit any overpayment to Deposit Account No. 06-1325. <i>Copy.</i> (A duplicate copy of this authorization is <u>not</u> enclosed.)	
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>D. Benjamin Borson, Ph.D.</u> Attorney (Reg. No.: <u>42,349</u>)  Signature <u>January 25, 2005</u> Date		
10. Total number of pages to be recorded: <u>6</u> (1 page cover sheet and <u>5</u> page document).		

**CORPORATE TO CORPORATE
ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS**

WHEREAS, Auckland UniServices Ltd. (hereinafter termed "the Assignor"), a New Zealand company having a place of business at a New Zealand company of 70 Symonds Street, Auckland, New Zealand, is the owner of all right title and interest in the following patent applications:

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	PCT/NZ94/00143; WO 95/17204
Attorney Docket No.	NRNZ-1002WO

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	AU 700838
Attorney Docket No.	NRNZ-1002AU

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	NZ 330758
Attorney Docket No.	NRNZ-1002NZ

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	CA 2178711
Attorney Docket No.	NRNZ-1002CA

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	CN 84185037.9

Attorney Docket No.	NRNZ-1002CN
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Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	EP 95904702.6
Attorney Docket No.	NRNZ-1002EP

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	JP 517336/95
Attorney Docket No.	NRNZ-1002JP

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	08/656,331
Attorney Docket No.	NRNZ-1002US1

Title:	Compositions and methods to improve neural outcome
Inventors:	Gluckman Williams
Application No. & Publication No.:	08/907,918 (continuation of 08/656,331)
Attorney Docket No.	NRNZ-1002US1 C

Title:	Methods to improve neural outcome
Inventors:	Gluckman, Williams, Guan
Application No. & Publication No.:	US 8,187,906 (application number 09/332,868)
Attorney Docket No.	NRNZ-1002US2

Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams Guan
Application No. & Publication No.:	NZ 299511 NZ 299512

	NZ289513
Filing Date:	4 October 1996
Attorney Docket No.	NRNZ-1003L

Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams Guan
Application No. & Publication No.:	PCT/NZ97/00132; WQ98/14202
Attorney Docket No.	NRNZ-1003WO

Title:	Regulation of Neural Enzymes
Inventors:	Gluckman, Williams Guan
Application No. & Publication No.:	AU 743412 CA 2287523 EP 97945108.5 JP 516411/98
Attorney Docket No.	NRNZ-1003

Title:	Neuronal Rescue Agent (Activin)
Inventors:	Peter D. Gluckman, Christopher E. Williams, Dahao Wu, Paul Edmund Hughes, Maggie Lai
Application No. & Publication No.:	US 10/157,542; (continuation of 09/508,897)
Filing Date:	May 28, 2002
Attorney Docket No.	NRNZ-1004US1 DBB

Title:	Neuronal Rescue Agent (Activin)
Inventors:	Peter D. Gluckman, Christopher E. Williams, Dahao Wu, Paul Edmund Hughes, Maggie Lai
Application No. & Publication No.:	09/508,897
Filing Date:	March 21, 2000
Attorney Docket No.	1004USD

Title:	Neuronal Rescue Agent (Activin)
Inventors:	Peter D. Gluckman, Christopher E. Williams, Dahao Wu, Paul Edmund Hughes, Maggie Lai
Application No. & Publication No.:	PCT/NZ98/00139
Attorney Docket No.	1004

Title:	Neuronal Rescue Agent
Inventors:	Peter D. Gluckman, Christopher E. Williams, Dahao Wu, Paul Edmund Hughes, Maggie Lai
Application No. & Publication No.:	AU 738192
Attorney Docket No.	1004

WHEREAS, the Assignor is the joint owner of all right title and interest in the following patent applications:

Title:	IGF-1 to improve neural condition
Inventors:	Gluckman, Williams
Application No. & Publication No.:	US 08/500,273 US 08/460,365 EP 92 917 908.3 CA 2 114 261 <u>Updated status:</u> US 5,714,460; continuation - US 5,661,373 EP 887033 B1
Attorney Docket No.	1030

Title:	TGF-beta to improve neural outcome
Inventors:	Gluckman, Williams
Application No. & Publication No.:	US 08/232,116 US 08/486,446 EP 92 925330.0 CA 2 122 058 JP 508515/1993

	<u>Updated status:</u> US 6,204,240 B1 EP 025050 B1 JP 509515/1993
Attorney Docket No.	1032

WHEREAS, PATENT PROPERTY shall include each and all of the following:

- (a) the foregoing patent applications and the inventions disclosed therein, and all embodiments of such inventions heretofore assigned to ASSIGNOR (all collectively hereinafter termed "said inventions");
- (b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding;
- (c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in each and every country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;
- (d) each and every reissue or extension of any of said patents;
- (e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and
- (f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

WHEREAS NeuronZ Ltd. (hereinafter termed "Assignee"), a New Zealand company having a place of business at Level 3, 2-6 Park Avenue, Grafton, Auckland, Country of New Zealand, is to acquire from ASSIGNOR the entire rights, title and interest in and to said Patent Property.

NOW THEREFORE, for good and valuable consideration acknowledged by said ASSIGNOR to have been received in full from said ASSIGNEE:

1. ASSIGNOR hereby sells, assigns, transfers and otherwise conveys to ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns, the entire right, title, and interest in and to the PATENT PROPERTY.
2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer and delivered to ASSIGNEE this 20th day of December, 2003 in the Country of New Zealand.

Auckland UniServices Ltd.

By: C. Brown

(Signature)

Name: Craig Brown

Title: Chief Financial Officer