

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):
KELLER, Brian Charles

Execution Date(s) 10/7/2004

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: BioZone Laboratories, Inc.

Internal Address: _____

Street Address: 580 Garcia Avenue

City: Pittsburg

State: California

Country: U.S.A. Zip: 94565

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)
10/262,284

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: David B. Ritchie

Internal Address: Thelen Reid & Priest LLP

Street Address: P.O. Box 640640

City: San Jose

State: CA Zip: 95164-0640

Phone Number : (408) 292-5800

Fax Number: (408) 287-8040

Email Address: dritchier@thelenreid.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-1698

Authorized User Name Thelen Reid & Priest LLP

9. Signature :



David B. Ritchie, Reg. No. 31,562

1-20-2005

Date

Total number of pages including cover sheet, attachments, and documents

3

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5895, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, I, Brian Charles Keller, a citizen of the United States of America, residing at 2507 Brocket Court, Antioch, California, 94509, am one of the inventors of "Self Forming, Thermodynamically Stable Liposomes and Their Applications" for which I have executed application papers for a U.S. patent thereon, which were filed on September 30, 2002 and which received U.S. Patent Application Serial No. 10/262,284; and

WHEREAS, BioZone Laboratories, Inc., a California corporation, having a place of business located at 580 Garcia Avenue, Pittsburg, California 94565, is desirous of acquiring all my right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, Brian Charles Keller do sell, assign, transfer and set over unto the said BioZone Laboratories, Inc., its successors and assigns, all my right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said BioZone Laboratories, Inc., its successors and assigns, in accordance with this Assignment.

Docket No.: BIOZ-0002

ASSIGNMENT CONTINUATION

Re: U.S. Patent Application entitled: Self Forming, Thermodynamically Stable Liposomes and Their Applications

Inventor: Brian Charles Keller

Filing date: September 30, 2002

WITNESS MY HAND at Pittsburg, California, this 7 day of October, 2004

Brian Charles Keller
Brian Charles Keller

STATE OF CALIFORNIA)

COUNTY OF Contra Costa) ss.

On this 7 day of October, 2004 before me, Teresita N. del Rosario, a Notary Public, personally appeared Brian Charles Keller, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person/s whose name is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacities, and that by his/her/their signature/s on the instrument the person/s or the entity upon behalf of which the person/s acted, executed the instrument.

WITNESS my hand and official seal.

Teresita N. del Rosario
NOTARY PUBLIC

