

Form PTO-1595 (Rev. 06/04)
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Tom Poehlman
Bryce Hesterman

Execution Date(s) 08/02/04 and 07/23/04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Universal Lighting Technologies, Inc.

Internal Address: _____

Street Address: 1430 Wall Triana Highway

City: Madison

State: Alabama

Country: U.S.A. Zip: 35758

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
10/631,672

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Anita S. Damian

Internal Address: Waddey & Patterson, P.C.

Bank of America Plaza

Street Address: 414 Union Street, Suite 2020

City: Nashville

State: Tennessee Zip: 37219

Phone Number: 615-242-2400

Fax Number: 615-242-2221

Email Address: lb@iplawgroup.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 23-0035

Authorized User Name _____

9. Signature: Anita S. Damian
Signature

1-26-2005
Date

Anita S. Damian
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 230035 10631672

ASSIGNMENT

In consideration of the payment of one (\$1.00) dollar, and for other good and valuable consideration, the receipt of which is hereby acknowledged, Tom Poehlman and Bryce Hesterman ("Assignors") assign to Universal Lighting Technologies, Inc. of Nashville, Tennessee ("Assignee") their entire right, title, and interest in and to an invention entitled "TRANSIENT DETECTION OF END OF LAMP LIFE CONDITION APPARATUS AND METHOD" (the "Invention"), and in any and all patents, reissue patents, certificates of inventorship, design registrations, utility models, and the like, that may be granted in the U.S. or in any foreign country with respect to the Invention and improvements thereto, and in any application, including continuations, continuations-in-part, or divisions, that may be filed with respect to the Invention or improvements thereto, including pending U.S. Patent Application Serial Number 10/631,672, filed July 31, 2003.

Assignors will, without additional charge to Assignee but at Assignee's expense, cooperate with and assist Assignee such that Assignee may realize and fully enjoy all the rights assigned hereunder, including the preparation and execution of all papers needed by Assignee, and providing such information and assistance as may reasonably be required by Assignee in any administrative or legal proceeding to obtain or protect such rights.

Assignors represent and warrant that they have not transferred, licensed, and/or assigned any interest in the Invention to anyone other than

Assignee, and that they have not entered into any contract, assignment, or license conveying any right granted to Assignee hereunder, or that would otherwise conflict with this Assignment.

This Assignment and each of its terms shall be binding on each Assignor, his representatives, heirs and assigns, and shall inure to the benefit of Assignee, its successors, and assigns.

Thomas M. Poehlman

Tom Poehlman

8/2/04

Date

STATE OF Alabama
COUNTY OF Madison

Before me, Vanessa Holcomb, a Notary Public of the state and county aforesaid, personally appeared Tom Poehlman, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged himself to be the within named joint Assignor, and that he executed the foregoing instrument for the purposes therein contained.

This 2nd day of August, 2004.

Vanessa Holcomb

Notary Public

My commission expires: 4/26/2006

Bryce Hesterman
Bryce Hesterman

July 23, 2004
Date

STATE OF COLORADO
COUNTY OF LARIMER

Before me, Bryce Hesterman, a Notary Public of the state and county aforesaid, personally appeared Bryce Hesterman, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged himself to be the within named joint Assignor, and that he executed the foregoing instrument for the purposes therein contained.

This 23rd day of July, 2004.

Christine Meyer
Notary Public



My commission expires: OCTOBER 14, 2007

MY COMMISSION EXPIRES
OCTOBER 14, 2007