



08-03-2004

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Attorney Docket U 014174-1

102804576

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof (with signed English translation if needed).

1. Name of conveying party(ies):

1. Asish Kumar **BANERJEE**
 2. Venkatachalam Sesha **GIRI**
 3. Rama **MUKHERJEE**

Additional name(s) of conveying party(ies) attached?
 Yes No

7-2904

2. Name and address of receiving party (ies):

Name: Dabur Research Foundation

Address: 22, Site IV Sahibabad, Ghaziabad
 201 010 (UP) India

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____ Change of Address
 (old address above)

Execution Date(s): 1. August 19, 2003
 2. August 19, 2003
 3. August 19, 2003

Additional name(s) & addresses(es) attached?
 Yes No

4. Application number(s) or patent number(s)

Being filed together with a new application.

Patent Application No.(s): 10/426,026 Patent No.(s):

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janet I. Cord

Address: Ladas & Parry LLP
 26 West 61st Street
 New York, N.Y. 10023

6. Total number of applications and patents involved:
 1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each
 Check Enclosed
 Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

DO NOT USE THIS SPACE

9. Signature:

Janet I. Cord
 Name of Person Signing

Signature

July 26, 2004
 Date

Total number of pages including coversheet, attachments, and documents: 9

08/02/2004 MGETACHE 00000195 10426026

01 FC:8021

40.00 DP

(Assignment (Document) Cover Sheet—page 2 of 2) 16-6

PATENT REEL: 015628 FRAME: 0098

1. Additional names of conveying party(ies):

- 4. Kamal K. **KAPOOR**
- 5. Gautam **DESIRAJU**
- 6. Manu **JAGGI**
- 7. Anu T. **SINGH**
- 8. Sankar Kumar **DUTTA**
- 9. Kalapatapu V.V.M. **SAIRAM**

- Execution Date(s):
- 4. August 19, 2003
 - 5. August 19, 2003
 - 6. August 19, 2003
 - 7. August 19, 2003
 - 8. August 19, 2003
 - 9. August 19, 2003

2. Additional names and addresses of receiving party (ies):

- Name:
- Address:
- Name:
- Address
- Name:
- Address
- Name:
- Address
- Name:
- Address

4. Additional application number(s) or patent number(s)

- 4.
- 5.
- 6.
- 7.
- 8.



Practitioner's Docket No. U014174-1

PATENT

For: [] U.S. and/or [] Foreign Rights
 For: [X] U.S. Application or
 [] U.S. Provisional Application
 For: [] U.S. Patent
 For: [] PCT Application
 By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

<u>Asish Kumar BANERJEE</u> <i>(type or print name of inventors)</i>	<u>INDIAN INSTITUTE OF CHEMICAL BIOLOGY</u> <u>4 RAJA S.C. MULLICK ROAD KOLKATA</u> <u>INDIA</u> <u>INDIAN</u> Address Nationality
<u>Venkatachalam Sesha GIRI</u>	<u>INDIAN INSTITUTE OF CHEMICAL BIOLOGY</u> <u>4 RAJA S.C. MULLICK ROAD KOLKATA</u> <u>INDIA</u> <u>INDIAN</u> Address Nationality
<u>Rama MUKHERJEE</u>	<u>DABUR RESEARCH FOUNDATION</u> <u>22 SITE IV, SAHIBABAD, GHAZIABAD</u> <u>INDIA</u> <u>INDIAN</u> Address Nationality
<u>Kamal K. KAPOOR</u>	<u>DABUR RESEARCH FOUNDATION</u> <u>22 SITE IV, SAHIBABAD, GHAZIABAD</u> <u>INDIA</u> <u>INDIAN</u> Address Nationality
<u>Gautam DESIRAJU</u>	<u>UNIVERSITY OF HYDERABAD</u> <u>HYDERABAD, INDIA</u> <u>INDIA</u> <u>INDIAN</u> Address Nationality

Added page(s) for signature by subsequent inventors. Number of pages added

1

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS

ASSIGNORS (Inventors):

Manu JAGGI

(type or print name of inventors)

DABUR RESEARCH FOUNDATION

22 SITE IV, SAHIBABAD, GHAZIABAD

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Anu T. SINGH

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INDIAN

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Nationality

Address

Nationality

(Assignment of Invention—Multiple Inventors—Added Page) 16-3.2a

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

DABUR RESEARCH FOUNDATION

(type or print name of ASSIGNEE)

22, SITE IV SAHIBABAD, GHAZIABAD

Address

INDIAN

Nationality

201 010 (UP) INDIA

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest
 an undivided _____ percent (_____ %) interest for the United States and
its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:

NEW HYDROANTHRACENE BASED COMPOUNDS AS ANTICANCER AGENTS

Name of inventor(s) Asish Kumar BANERJEE; Venkatachalam Sesha GIRI; Rama
MUKHERJEE; Kamal K. KAPOOR; Gautam DESIRAJU; Manu JAGGI;
Anu T. SINGH; Sankar Kumar DUTTA; Kalapatapu V.V.M. SAIRAM

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) U.S. patent application executed on even date herewith
(b) U.S. patent application executed on _____
(c) U.S. provisional application naming the above inventor(s) for the above-entitled
invention

To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my attorney to
insert below the filing date and application number when they become
known.

- (d) U.S. application no. 10/426,026
filed on APRIL 29, 2003
(e) International application no. PCT/_____/_____
(f) U.S. patent no. _____ issued _____
 A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) [] and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

ASISH KUMAR BANERJEE
(type name of Assignor)

(X) AK Banerjee
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

VENKATACHALAM SESA GIRI
(type name of Assignor)

(X) Vesgi
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

RAMA MUKHERJEE
(type name of Assignor)

(X) R Mukherjee
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

KAMAL K. KAPOOR
(type name of Assignor)

(X) K Kapoor
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

GAUTAM DESIRAJU
(type name of Assignor)

(X) Gautam R Desiraju
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

MANU JAGGI
(type name of Assignor)

(X) M Jaggi
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

ANU T. SINGH
(type name of Assignor)

(X) A Singh
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

SANKAR KUMAR DUTTA
(type name of Assignor)

(X) Sankar Kumar Dutta
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

KALAPATAPU V.V.M. SAIRAM
(type name of Assignor)

(X) K.V.V.M. Sairam
Signature of ASSIGNOR

this (X) 19.08.2003
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.