

08-04-2004

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U.S. Department of Commerce
U.S. Patent and Trademark Office

To the Honorable Commission

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red original documents or copy thereof.

1. Name of conveying party(ies):

Tepesh Yadav, Karl Pfaffenbach, Clayton Kostelecky, Evan Franke, Bijan Miremadi, and Ming Au

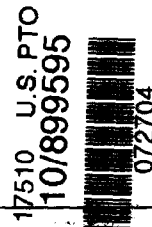
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Dates: 03/28/2002; 03/28/2002; 05/20/1998;
5/21/1998; 05/21/1998; 05/21/1998; 05/20/1998,
respectively.

Name and address of receiving party(ies)

Name: NanoProducts Corporation
Street address: 14330 Longs Peak Court, Longmont, CO
80504Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 03/28/2002; 11/17/2000;
11/27/2000; 12/29/2000; and 12/21/2000.

A. Patent Application No.(s)

Attorney Docket No.: 80741.0032.004

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: Stuart T. Langley
Internal Address: Hogan & Hartson LLP
One Tabor Center
1200 17th Street, Suite 1500
Street Address: same as above
City: Denver State: CO Zip: 80202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1123

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Stuart T. Langley

Name of Person signing

Signature

July 27, 2004

Date

Total number of pages including cover sheet, attachments and document: 5

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ASSIGNMENT

WHEREAS, we, TAPESH YADAV, having a residence address of 14330 Longs Peak Court, Longmont, CO 80504, and KARL PFAFFENBACH, having a residence address of 14330 Longs Peak Court, Longmont, CO 80504, have made a certain new and useful invention relating to a POST-PROCESSED NANOSCALE POWDERS AND METHODS FOR SUCH POST-PROCESSING, for which we are making or we have made application for Letters Patent of the United States, said application being identified by Docket No. A60 and Client/Matter No. 80741.0032, in the law firm of HOGAN & HARTSON LLP, One Tabor Center, 1200 17th Street, Suite 1500, Denver, Colorado 80202, and which is executed concurrently herewith, and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, NanoProducts Corporation, hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 14330 Longs Peak Court, Longmont, CO 80504, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefore;

NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE, and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant and agree with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect the ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining

original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: 03.28.02


TAPESH YADAV

Date: 3/28/02


KARL PFAFFENBACH

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned Tapesht Yadav, Clayton Kostlecky, Evan Franke, Bijan Miremadi, and Ming Au

Sell, assign and transfer to Nanomaterials Research Corporation, having a place of business at 2849 East Elvira Road, Tucson, AZ 85706, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed today, May 22, 1998, Serial No. _____ (authorization is given for counsel to fill in date and serial number), entitled "Nanostructured Fillers and Carriers" and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

Dated: 5-20-98

Tapesht Yadav
Tapesht Yadav

Dated: 5-20-98

Sonya Miremadi
Witnessed by:

Dated: 5-20-98

Charles R. Cox
Witnessed by:

Dated: 5/21/98

Clayton Kostelecky
Clayton Kostelecky - Kostelecky

Dated: 5/21/98

W. B. Hoffman
Witnessed by:

Dated: 5-21-98

Jim Miremad
Witnessed by:

Dated: 5/21/98

Evan Franke
Evan Franke

Dated: 5/21/98

W. B. Hoffman
Witnessed by:

Dated: 5/21/98

M. W. Kostelecky
Witnessed by:

Dated: 5/21/98

Bijan Miremadi
Bijan Miremadi

Dated: 5-21-98

James Frank
Witnessed by:

Dated: 5/21/98

W. B. Hoffman
Witnessed by:

Dated: 5/20/98

Ming Au
Ming Au

Dated: 5/20/98

Hong Kong Hu
Witnessed by:

Dated: 5/20/98

W. B. Hoffman
Witnessed by:

Dated: _____
DS1.399131.1
