Attv. Dkt. No. 044463-0297

	Auy. Dkt. No. 044463-0297
FORM PTO-1595 (modified)	U.S. DEPARTMENT OF COMMERCE
(Rev 6-93) RECORDATION FO	RM COVER SHEET Patent and Tradomerk Office
PATEN1	TS ONLY
To the Director of the United States Patent and Trademark Office	: Please record the attached original documents or copies thereof.
Name of conveying party(ies):	Name and address of receiving party(ies):
1) Marie B. CONNETT	ArborGen LLC
2) Robert J. Kodrzycki	180 Westvaco Rd.
- Nobelt B. Modizyoki	P.O. Box 840001
4	Summerville, South Carolina 29404
3) Leonard N. BLOKSBERG	Genesis Research and Development
4) Sarah Jane EMERSON	Corporation Limited
5) Michael J. FROST	One Fox Street
6) Richard Llewellyn Syndey FORSTER	Parnell, Auckland, New Zealand
7) Murray Robert GRIGOR	
8) IIkka HAVUKKALA	
9) Colleen M. HIGGINS	
10) Steve LUND	
11) Andreas MAGUSIN Additional conveying party(ies) NO	
Additional conveying party(ies) NO  3. Nature of conveyance:	
ASSIGNMENT	
Execution Date:	
1) 11/12/2004, 2) 11/15/2004, 3) 11/24/2004, 4) 11/23/2004, 5) 11/25/2004, 6) 11/24/2004, 7) 11/24/2004, 8) 11/24/2004,	Additional name(s) & address(es) attached? YES
9) 11/26/2004 (0) 11/29/2004, 7) 11/24/2004, 8) 11/24/2004,	
Application number(s) or patent number(s):	
If this is being filed together with a new application, the exec	cution date of the application is:
in this is being fried together with a new application, the exec	
A. Patent Application Number(s):	B. Patent Number(s):
40/004 040	
10/861,910	
Additional numbe	ers attached? NO
5. Name and address of party to whom correspondence	6. Total number of applications/patents involved:
concerning document should be mailed:	
51.10.5	7. Total fee (37 C.F.R. § 3.41): \$40.00
Richard C. Peet FOLEY & LARDNER LLP	Check Enclosed
Washington Harbour	
3000 K Street, N.W., Suite 500	X Charge to deposit account
Washington, D.C. 20007-5143	8. Deposit account number: 19-0741
DO NOT USE	THIS SPACE
9. Statement and signature:  To the best of my knowledge and belief, the foregoing copy of the original document. The Commissioner is hereby at be required in this matter to the above-identified deposi	
Richard C. Peet	1 total ( total )
Tronaid of Foot	1003 1003
Name of person signing	Signature Date
Total number of page	es including cover sheet, attachments, and document: 14
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**PATENT** 

**REEL: 15634 FRAME: 0291** 

Atty. Dkt. No. 044463-0297

# ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

ArborGen LLC 180 Westvaco Rd. P.O. Box 840001 Summerville, South Carolina 29404

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

# COMPOSITIONS AND METHODS FOR REGULATING POLYSACCHARIDES OF A PLANT CELL

as set forth in this United States Patent Application

check one	[	] executed	concurrently	herewith,	
	[	] executed	on		,
	[ 2	[ ] Serial No	. 10/861,910	Filed June 7,	2004

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

Atty. Dkt. No. 044463-0297

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner LLP the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner LLP do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Atty. Dkt. No. 044463-0297

Executed this 12 day of NOVEMBER, 2014.	law Dent
State of South Caroling ss.  County of Peachern	MARIE B. CONNETT
On this 187 day of, 2004_, before me, a notal CONNETT, who is personally known to me to be the same person whose name is subsacknowledged that he/she signed, sealed, and delivered the said instrument as his/her forth.	scribed to the foregoing instrument, and he/she
	My Commission Expires: Fringery Com
(Seal)	My Commission Expires. Programy
Executed this 15 day of November, 2004.	ald Mole
State of South Cardin )ss. County of Sections run	ROBERT L KODRZYCK
On this 1814ay of 10/end of 2004, before me, a notar KODRZYCKI, who is personally known to me to be the same person whose name is successful that he/she signed, scaled, and delivered the said instrument as his/her frorth.	y public in and for said county, appeared ROBERT J. abscribed to the foregoing instrument, and he/she free and voluntary act for the uses and purposes therein set
	Notary Public
(\$cal)	My Commission Expires: Fibrary 6th Late

Page 3 of 3

REEL: 15634 FRAME: 0294

# PATENT APPLICATION

#### ASSIGNMENT

WHEREAS, we, Leonard N. Bloksberg, Sarah Jane Emerson, Michael J. Frost, Richard Llewellyn Sydney Forster, Murray Robert Grigor, Ilkka Havukkala, Colleen M. Higgins, Steven Troy Lund and Andreas Magusin have invented the subject matter which is described and claimed in the patent application entitled Compositions and Methods for Regulating Polysaccharides of a Plant Cell, the specification of which was filed June 7, 2004 with the U.S. Patent and Trademark Office under Serial No. 10/861,910; and

WHEREAS, GENESIS RESEARCH AND DEVELOPMENT CORPORATION LIMITED, a New Zealand corporation having a place of business at One Fox Street, Parnell, Auckland, New Zealand is desirous of acquiring an interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, Leonard N. Bloksberg, Sarah Jane Emerson, Michael J. Frost, Richard Llewellyn Sydney Forster, Murray Robert Grigor, Ilkka Havukkala, Colleen M. Higgins, Steven Troy Lund and Andreas Magusin, hereby sell, assign and transfer to Genesis Research and Development Corporation Limited the full and exclusive right, title and interest in and to said subject matter, patent application, and patent rights throughout the world, including foreign patent priority rights; said subject matter, application and patent rights in this or any foreign country and all divisions, continuations, reissues, reexamination certificates and extensions of any type, to be held and enjoyed by Genesis Research and Development Corporation Limited for its own use and benefit, and for the use and benefit of its successors and assigns to the full end of the term for which patents may be granted in this or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have the full right to do so, and agree that we will communicate to GENESIS RESEARCH AND DEVELOPMENT CORPORATION LIMITED or its successors and assigns, any facts known to us respecting said patent application and the subject matter described therein, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and

1 of 3

Corporation Limited and its successors and assigns to obtain and enforce patent protection for said subject matter in this or any foreign country.

Executed at Anckland	, this <u>24</u> day of <u>November</u> , 2004.
(City)	
	Leonard N. Bloksberg
Executed at Auchtand	, this <u>23 rd</u> , this <u>23 rd</u> <u>November</u> , 2004.
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	Sargh Jane Emerson
Executed at Auck land, New Zee	a kind this 25th day of November, 2004.
(City)	
	Mand
	Michael J. Frost
Executed at Auction d	, this 24 Hay of Dovember, 2004.
(City)	All t
	Richard Elewellyn Sydney Forster
Executed at Auckland (City)	, this <u>24/1</u> day of <u>November</u> , 2004.
	Murray Robert Grigor
	Murray Robert Grigor
Executed at Quel Can	this 2-4 day of Northby, 2004.
(City)	
	Tilka Havukkala
Executed at AUCECAND	, this 25 day of NOVENBER, 2004.
(City)	•
	2 of 3

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Executed at Auchland	l <u>, New<i>Peul</i>and</u> this Tity)	ZS day of	November	, 2004
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•		Steven Lund	i	<del></del> ,
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3 of 3

# PATENT APPLICATION

## ASSIGNMENT

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1 of 3

CORPORATION LIMITED and its successors and assigns to obtain and enforce patent protection for said subject matter in this or any foreign country.

Executed at Auckland	this 24 day of November, 2004.
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	Leonard N. Bloksberg
Executed at Auduma	_ this 23rd ay of November, 2004.
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	Sargh Jane Emerson
	od this 25th day of November, 2004.
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	Ilkka Havukkala
Executed at AUCCUMD	this 25 day of Noven BER, 2004.
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Executed at	(City)	, this	day of	, 2004
		c	olleen Higgins	
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		Ā	ndreas Magusin	

3 of 3

10/861,910

PATENT APPLICATION

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CORPORATION LIMITED and its successors and assigns to obtain and enforce patent protection for said subject matter in this or any foreign country.

Executed at Anckland, this 24 day of November, 2004.
(City) Executed at Auchland, this 23rd day of November, 2004. Sarah Jane Emerson Executed at Aced and, New Featard this 25 day of November, 2004. Executed at Auckland, this 24 May of November, 2004. Murray Robert Grigor Executed at Queland this Lyday of Works by Tille Unible Executed at AUCEUMO, this 27 day of NOVEMBER, 2004. . 2 of 3

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Colleen Higgins, thisday of	
Steven Lund , thisday of	2004 2004
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RECORDED: 01/28/2005