

08-05-2004

T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket No. 290.00440102



102807324

To the Honorable Commissioner of Patents

enclosed are the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Riyi SHI
(2) Richard B. BORGES

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ License
☐ Other

Execution Date: (1) 13 September 2002 (2) 9 October 2002

2. Name and address of receiving party(ies):

Name: Purdue Research Foundation

Street Address: 3000 Kent Avenue

City: West Lafayette State: IN ZIP: 47906

Additional name(s) & address(es) attached? ☐ Yes ☒ No

17548 U.S. PTO
10/901481
072804

4. Application number(s) or patent number(s):

101901481

If this document is being filed together with a new application, the execution date of the application is: 28 July 2004

A. Patent Application No(s).

B. Patent No(s).

Title: METHODS AND COMPOSITIONS FOR TREATING MAMMALIAN NERVE TISSUE INJURIES

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Victoria A. Sandberg

Address: MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415

Customer Number 26813

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Victoria A. Sandberg, Reg. No. 41,287
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document(s): 3

Mail documents to be recorded with required cover sheet information to:
Commissioner, United States Patent and Trademark Office

Box Assignments
P.O. Box 1450
Alexandria, VA 22313-1450

08/04/2004 6TON11 00000113 134895 10901481
01 FC:8021 40.00 BA

PATENT
REEL: 015637 FRAME: 0610

ASSIGNMENT

Whereas We. Riya SHI. and Richard B. BORGES, with residences and citizenships as indicated below, have made an invention in

METHODS AND COMPOSITIONS FOR TREATING MAMMALIAN NERVE TISSUE INJURIES

Int'l Appln. No. PCT/US 02/13375, filed 24 APRIL 2002

U.S. Patent Application Serial No. 10/132,542, filed 24 APRIL 2002

Provisional Appln. Serial No. 60/286,200, filed 24 APRIL 2001

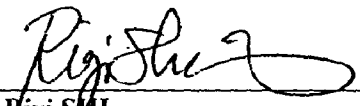
and executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto Purdue Research Foundation ("Company"), a corporation of Indiana, having its principal office at 1291 Cumberland Avenue, West Lafayette, Indiana, 47906, its successors and assigns, our entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to Purdue Research Foundation as the assignee of our entire right, title, and interest therein.

In witness whereof, we have hereunto signed our names on the days and years set forth below.


Name: Riya SHI Date: 9/13/02 *Sept. 13. 20*
Address: 20 Steuben Court, West Lafayette, Indiana 47906
Citizenship: People's Republic of China United States of America

STATE OF Indiana)
) ss.
COUNTY OF Tippicanoe)

On this 23rd day of September 2002, before me personally appeared Riya SHI, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

 exp. 2/24/2007
Notary Public

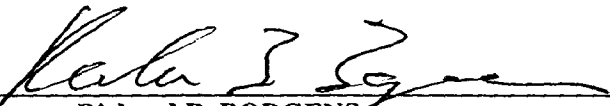
ASSIGNMENT

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Int'l Appln. No. PCT/US 02/13375, filed 24 APRIL 2002

U.S. Patent Application Serial No. 10/132,542, filed 24 APRIL 2002

Methods and Compositions for Treating Mammalian Nerve Tissue Injuries




Name: **Richard B. BORGENS**
Address: R.R. 4 Box 161, Delphi, Indiana 46923
Citizenship: United States of America

Oct 9, 2002
Date

STATE OF Indiana)
) ss.
COUNTY OF Tippecanoe)

On this 9th day of October 2002, before me personally appeared **Richard B. BORGENS**, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.



Notary Public

Carlene R. Linn

Comm. Exp.: 2/14/2008