RECC

08-06-2004

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office



To the Honorable Commissioner of Patents and Trademarks. 102808458	
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
John Rudolph Desjarlais, Jonathan Zalevsky	Name: Xencor
	Internal Address:
Additional name(s) of conveying party(ies) attached? [1 Yes [X] No	
party(ies) attached? [] Yes [X] No	
2 Nithern CO.	G. A.I.I.
3. Nature of Conveyance:	Street Address: <u>111 West Lemon Avenue</u>
[X] Assignment [] Merger	City: Monrovia
[] Security Agreement [] Change of Name [] Other	State: <u>CA</u> Zip: 91016
	Additional name(s) & address(es) attached?
Execution Dates: <u>7-16-04</u> , <u>7-16-04</u>	[] Yes [X] No
4. Application number(s) or patent number(s):	Pung.
If this document is being filed together with a new application, the execution date of the application is:	
A. Patent Application No.(s)	B. Patent No.(s)
10/820,466	ched? [] Yes [X] No
Additional numbers attached? [] Yes [X] No	
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: One
	7. Total fee (37 CFR 3.41):\$ 40.00
Name: RENEE M. KOSSLAK	7. Total fee (57 CFR 3.41)\$ 40.00
Internal Address: DORSEY & WHITNEY LLP	[] Enclosed
	[] Authorized to be charged to
	deposit account
Street Address: SUITE 3400	8. Deposit account number: 50-2319
	Please debit any underpayment or credit any
FOUR EMBARCADERO CENTER	overpayment to the above deposit account.
City: <u>SAN FRANCISCO</u> State: <u>CA</u> Zip: 94111-4187	Our Docket No. <u>34100/US/2/RMS/RMK (463077-272)</u>
<u> Дір. 94111-4167</u>	
DO NOT USE THIS SPACE	
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of	
the original document.	
RENEE M. KOSSLAK	
Reg. No. 47,717 7055/an 7/29/04	
Name of Person Signing Signature/Date	
Total number of pages including cover sheet, attachments and document: [7]	
OMB No. 0651-0011 (exp. 4/94)	
Do not detach this portion Mail documents to be recorded with required cover sheet information to:	

08/05/2004 STEM11

00000025 10820466

01 FC:8021

40.00 DP

Mail Stop Assignment Recordation Services Director of the US Patent and Trademark Office PO Box 1450

Alexandria, VA 22313-1450

Our File No. 34100/US/2/RMS/RMK (463077-272) 1148467

ASSIGNMENT

WHEREAS, the undersigned

John Rudolph Desjarlais, resident of Pasadena, state of California;

Jonathan R. Zaleveksy, resident of Riverside, state of California,

Jonathan Zalevsky

(hereinafter termed "Inventor(s)"), has invented certain new and useful improvements in

METHODS FOR RATIONAL PEGYLATION OF PROTEINS

for which an application for a United States Patent was filed on *March 31*, 2004 having Application Number 10/820,466 and

WHEREAS,

Xencor, a corporation of the State of California having a place of business at III West Lemon Avenue, Monrovia, California (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

1147330-1

PATENT REEL: 015645 FRAME: 0019

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as of the dates below written adjacent to each of their respective signatures.

Signature of Inventor:

John Rudolph Desjarlais

Date

County of 105 Angeles

State of

Calvaria

On this ______ day of _____ in the year 2004, before me way This Emilio Cascie. Notary Public of the State of _____ CA I Way personally appeared Mm Rhall Margues personally known to me (of proved to me on the

basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(jes), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

(Seal)



Signature of Inventor Jonathan Zaleysk-) ss. State of day of _ in the year 2004, before me MICE MILO CASCIM NOTARY Public of the State of personally appeared Fine way personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/ber authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal. Mula / La Signature (Seal)

JULIE EMIKO CASCIANI
Comm. # 1491559
NOTARY PUBLIC-CALIFORNIA U
Los Angeles County
My Comm. Expires MAY 25, 2008
T

RECORDED: 08/04/2004