

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Robert A. Baum Corp.

Execution Date(s) January 6, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Corrective assignment to correct assignee's name, previously recorded on rec/frame 015223/0402 on 10/07/2004.

2. Name and address of receiving party(ies)

Name: Samuel Aaron Licensing, LLC

Internal Address: _____

Street Address: 31-00 47th Avenue

City: Long Island City

State: NY

Country: U.S.A. Zip: 11101

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

6,718,796

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Gibbons, Del Deo, Dolan, Griffinger & Vecchione

Internal Address: _____

Street Address: One Riverfront Plaza

City: New York

State: NY Zip: 07102

Phone Number: (212) 649-4700

Fax Number: (212) 333-5980

Email Address: bmatvenko@gibbonsfaw.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number 03-3839

Authorized User Name GIBBONS DEL DEO DOLAN

9. Signature:

Boris A. Matvenko
 Signature

02/04/05
 Date

Boris A. Matvenko

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

700151682

PATENT
REEL: 015653 FRAME: 0958

CH \$40.00 033839 6718796

01/28/2005
700149565Form PTO-1595 (Rev. 09/04)
OMB No. 0551-0027 (exp. 9/30/2006)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Robert A. Baum Corporation
1 East 33rd Street
New York, NY 10016Execution Date(s) January 8, 2005Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☒ Other: recorded on reul/frame 015223/0402 on 10/07/2004.

2. Name and address of receiving party(ies)

Name: Robert A. Baum Corp.

Internal Address: _____

Street Address: 1 East 33rd StreetCity: New YorkState: NYCountry: U.S.A. Zip: 10016Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.B. Patent No.(s)
6,718,796Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Gibbons, Del Deo, Dolan, Griffinger & Vecchione

Internal Address: _____

Street Address: One Riverfront PlazaCity: New YorkState: NY Zip: 07102Phone Number: (212) 648-4700Fax Number: (212) 333-8980Email Address: bmatvenko@gibbonsdeldeo.com6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 0☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 03-3531

Authorized User Name _____

9. Signature:

Boris MatvenkoMatvenko

Signature

Name of Person Signing

Date

01/28/05Total number of pages including cover sheet, attachments, and documents: 4Documents to be recorded (including cover sheet) should be faxed to (703) 306-5885, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1480, Alexandria, V.A. 22313-1480

CORRECTION OF ASSIGNEE NAME


U.S. Patent No. 6,718,796, which issued April 13, 2004 and matured from U.S. Application Serial No. 10/338,016 filed January 7, 2003, has a recorded assignment at reel/frame No. 015223/0402 with a recordation date of October 7, 2004. Although the recorded assignment names Robert A. Baum Corporation, a New Jersey corporation, as the assignee, the correct corporate name is not Robert A. Baum Corporation but rather Robert A. Baum Corp., a New Jersey corporation. The corporate address is 1 East 33rd Street, New York, New York 10016,

Assignor:


Robert A. Baum

DATED: January 6, 2005

Assignee:


Robert A. Baum
Chief Executive Officer
Robert A. Baum Corp.

DATED: January 6, 2005

STATE OF New York) ss:
COUNTY OF New York)Subscribed and sworn to before me this 6th day of January, 2005.
Notary PublicCONSTANCE J. KAPLAN
Notary Public, State of New York
No. 61142007128
Qualified in New York County
Commission Expires July 18, 2008454010 v2
105200-62107

01/14/2005
700145918Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 06/30/2005)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

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1. Name of conveying party(ies)/Execution Date(s):

Robert A. Baum Corp.
1 East 33rd Street
New York, NY 10018

Execution Date(s) January 8, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 8424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Samuel Aaron Licensing, LLC

Internal Address: 31-00 47th Avenue

Street Address:

City: Long Island City

State: NY

Country: U.S.A. Zip: 11101

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

08/247,488
10/810,108
10/861,177☐ This document is being filed together with a new application.

B. Patent No.(s)

5,718,278 8,032,719
5,916,271 8,123,141
5,879,537 8,718,786Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Gibbons, Del Deo, Dolan, Grifflinger & Vrachione

Internal Address: One Riverfront Plaza

Street Address:

City: Newark

State: NJ Zip: 07102

Phone Number: (212) 618-4700

Fax Number: (212) 633-5989

Email Address: bmatvenko@gibbonslaw.com

6. Total number of applications and patents involved: 8

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 500.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 03-9639

Authorized User Name GIBBONS DEL. DEO. DOLAN

9. Signature:

Signature

January 7, 2005

Date

Boris A. Matvenko, Esq.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (703) 305-6805, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA, 22313-1450

PATENT ASSIGNMENT

This Assignment is made effective January 6, 2005 between **Robert A. Baum Corp., a New Jersey corporation, having an address at 1 East 33rd Street, New York, NY 10016** (hereinafter referred to as the "Assignor") on one part and **Samuel Aaron Licensing, LLC, a New York limited liability company, having an address at 31-00 47th Avenue, Long Island City, NY 11101, (hereinafter referred to as the "Assignee")** of the other part.

WITNESSETH:

WHEREAS, Assignor is the owner of certain intellectual property listed on Schedule A attached hereto ("Intellectual Property"); and

WHEREAS, Assignor has agreed to sell, assign, transfer and set over to Assignee the Intellectual Property.

NOW, THEREFORE, BE IT KNOWN that for and in good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we), the Assignor, has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the Assignee, its successors, assigns and nominees, the entire right, title and interest in and to the invention(s), application(s) and Letters Patent, when granted, and already existing Letters Patent, and in and to any divisions, continuations, continuation-in-parts, improvements, reexaminations, reissues or extensions that may be made or granted on any of them, including, without limitation, all proceeds thereof and the rights to sue for past, present, and future infringements; and

TO HAVE AND TO HOLD the same to the full end of the term or terms for which Letters Patent may be granted, as fully and completely as the same might be held by me had this sale and assignment not been made;

AND to request to issue said Letters Patent, when granted, in accordance with this sale and assignment.

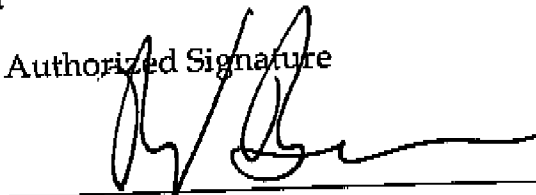
I(we) declare under penalty of perjury under the laws of the United States of America that I(we) have signed this document as my own free act and that all of the foregoing is true and correct.

This space intentionally left blank. Signature page to follow.

IN WITNESS WHEREOF these presents are executed with effect from January 6, 2005 for and on behalf of Robert A. Baum Corp.

Signed on behalf of Robert A. Baum Corp. at New York City, NY on January 6, 2005

Authorized Signature



Name: Robert A. Baum

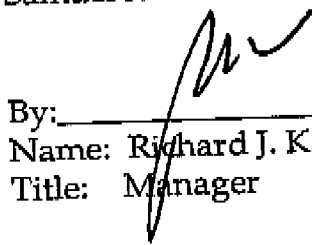
Title: Chief Executive Officer

Acceptance and Acknowledgment:

The Assignee, Samuel Aaron Licensing, LLC, hereby accepts the assignment of all right, title and interest in the Intellectual Property listed in Schedule A attached hereto, and in and to any and all reissues and extensions of such patents.

Signed at New York City, NY on January 6, 2005

For and on behalf of
Samuel Aaron Licensing, LLC



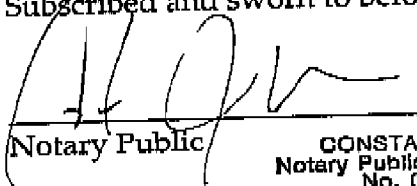
By: _____

Name: Richard J. Katz

Title: Manager

STATE OF New York) ss.:
COUNTY OF New York)

Subscribed and sworn to before me this 6th day of January, 2005.



CONSTANCE J. KAPLAN
Notary Public, State Of New York
No. 01KA6007186
Qualified in New York County
Commission Expires May 18, 2006

SCHEDULE A

U. S. Patent No. 5,718,278 Issued: February 17, 1998
Description: "Method for producing hollow ring having inner round radius design."

U. S. Patent No. 5,916,271 Issued: June 29, 1999
Description: "Hollow jewelry ring having inner round design."

U. S. Patent No. 5,979,537 Issued: November 9, 1999
Description: "Wax replica and soluble core insert used for producing hollow jewelry ring."

U. S. Patent No. 6,032,719 Issued: March 7, 2000
Description: "Method for producing hollow jewelry ring."

U. S. Patent No. 6,123,141 Issued: September 26, 2000
Description: "Method of forming a wax replica."

U. S. Patent No. 6,718,796 Issued: April 13, 2004
Description: "Design feature for distinguishing one earring of a set from the other."

U. S. Patent Application No. 09/247,468 Filed: February 8, 1999
Description: "Grid like gem setting with added reflective elements."

U. S. Patent Application No. 10/610,103 Filed: June 30, 2003
Description: "Engagement set with locking arrangement and rear crossover configuration."

U. S. Patent Application No. 10/961,177 Filed: October 8, 2004
Description: "Engagement set with locking arrangement and rear crossover configuration."
