



Attorney Docket No. 02307Z-132800US

08-10-2004

Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 5/31/2002)

U.S. Department of Commerce
U.S. Patent and Trademark Office

Tab settings ⇨⇨⇨ ▼

To the Honorable Commissioner of Patents



102810087

original documents or copy thereof

1. Name of conveying party(ies):

Julin Wan
Amiya K. Mukherjee
Matthew J. Gasch

V-501

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address:

Street Address: 1111 Franklin St., 12th Floor

City: Oakland
5200

State: CA

ZIP: 94607-

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No.

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Additional name(s) and address(es) attached? ☐ Yes ☒ NoExecution Date: July 15, 2004, May 19, 2004, and May 25, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/773,758

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Henry Heines
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

08/09/2004 MGETACHE 00000166 201430 10773758

01 FC:8021

40.00 DA
M. Henry HeinesName of Person Signing
Atty. Reg. No. 28,219
SignatureAUG 2, 2004
Date

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

TTC Attorney Docket No. 02307Z-132800USASSIGNMENTU.C. Case No. 2003-145-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Julia Wan2. Amiya K. Mukherjee3. Matthew J. Gasch

4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

NANOCOMPOSITES OF SILICON NITRIDE, SILICON CARBIDE, AND BORON NITRIDE

and which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) X _____ U.S. application serial no. 10/773,758, filed on February 6, 2004

(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

15 day of July, 200419 day of May, 2004

____ day of _____, 20____

____ day of _____, 20____

Signature of Inventor(s)

Julia WanAmiya K. MukherjeeMatthew J. Gaschrev 10/03
80174868 v1

** TOTAL PAGE.03 **

PATENT
REEL: 015654 FRAME: 0081

TTC Attorney Docket No. 023072-132800USASSIGNMENTU.C. Case No. 2003-145-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Julia Wan 2. Amiya K. Mukherjee
3. Matthew J. Gasch 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

NANOCOMPOSITES OF SILICON NITRIDE, SILICON CARBIDE, AND BORON NITRIDE

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
(c) X _____ U.S. application serial no. 10/773,758, filed on February 6, 2004
(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

_____ day of _____, 20____

19 day of May, 200425 day of May, 2004

_____ day of _____, 20____

Julia WanAmiya K. MukherjeeMatthew J. Gasch

rev 10/03
60174866 v1

** TOTAL PAGE.04 **

PATENT

RECORDED: 08/05/2004

REEL: 015654 FRAME: 0082