08-11-2004 U.S. DEPARTMENT OF COMMERCE FORM PTO-1595 RECOR 1-31-92 Patent and Trademark Office Docket No. 13634.4004 102811844ginal documents or copy thereof. To the Honorable Commissioner of Patents and . 1. Name of conveying party(ies): 2. Name and address of receiving party: WILLIAM SUTTLE PETERS and SCOTT HUGH MILLER Name: Sunshine Heart Company Pty Ltd. Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No Internal Address:_ Nature of conveyance: ☐ Merger City: Birchgrove, New South Wales 2041 Country: Australia ☐ Security Agreement ☐ Change of Name Street Address: 2A River Street Other _ City: Birchgrove, New South Wales 2041 Country: Australia Execution Date: July 7, 2004 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No(s).: 10/702,834 B. Patent No(s).: _ Additional numbers attached?
Yes
No Name and address of party to whom correspondence 6. Total number of applications and patents involved: one concerning document should be mailed: Name: James W. Geriak Total fee (37 CFR 3.41): \$40.00 Enclosed. Internal Address: Orrick, Herrington & Sutcliffe LLP 4 Park Place, Suite 1600 Charge this Deposit Account if any additional fee is Irvine, CA 92614-2558 required Customer No. 34313 Deposit Account Number: 15-0665 **DO NOT USE THIS SPACE** Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Date: August 4, 2004 James W. Geriak, Reg. No. 20,233 Total number of pages including cover sheet: 2 OMB No. 0651-0011 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover sheet information to: 08/10/2004 STEM11 00000077 150665 10702834 **Mail Stop Assignments** Commissioner for Patents and Trademarks

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ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, WE William Suttle PETERS a New Zealand citizen and Scott Hugh MILLER a United States of America citizen

residing at

93 Bassett Road, Remuera, Auckland 1005, New Zealand 42 35/10 Darley Road, Manly, New South Wales 2095, Australia Sn7/7/04

hereby sell, assign, transfer and convey unto Sunshine Heart Company Pty Ltd

a corporation of an Australian company ACN 090 552 300

having a place of business at 2A River Street, Birchgrove, New South Wales 2041, Australia

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

Heart Assist Device Utilising Aortic Deformation

and described in an application for Letters Patent of the United States filed by us on 5 November 2003

and which has been accorded Application No. 10/702834

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

William Suttle PETERS

Scott Hugh MILLER

Date: Day Month Year

Date: O7 July 04

Day Month Year

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