

Docket No.: 161441

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
PC9A/REV03

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

Tab settings

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
**Warren Arthur Nelson; Brian Peter Arness;
Paul Thomas Marks; Raymond Edward Chupp; and Tara
Easter Mcgovern**

2. Name and address of receiving party(ies):

Name: General Electric Company

Address: 1 River Road

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

City: Schenectady State/Prov.: NY

Country: USA ZIP: 12345

Execution Date: 11/23/04; 11/24/04; 11/30/04; 11/24/04; 11/24/04

Additional name(s) & address(es) Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.	Filing date
10/996,878	11/24/2004

B. Patent No.(s)

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philmore H. Colburn II

Registration No. 35,101

Address: Cantor Colburn LLP

55 Griffin Road South

City: Bloomfield State/Prov.: CT

Country: USA ZIP: 06002

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Chad L. Thorson, Reg. No. 55,675

Name of Person Signing

Signature

February 4, 2005

Date

31

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

700151441

REEL: 015656 FRAME: 0684

CH \$40.00 061130 10996878

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we, Warren Arthur Nelson of 2 Stratford Court, Clifton Park, NY 12065; Brian Peter Arness of 201 Buckler Court, Simpsonville, SC 29681; Paul Thomas Marks of 134 Crane Street, Scotia, NY 12302; Raymond Edward Chupp of 119 Acorn Drive, Glenville, NY 12302; and Tara Easter McGovern of 115 Summer Hill Road, Simpsonville, SC 29681, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled Pattern for the Surface of a Turbine Shroud GE Docket Number 161441

- executed concurrently herewith, or
- filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1

Signed at town of Schenectady State of NY, this 23 day of Nov., 2004.

Inventor:

Warren Arthur Neslon
Warren Arthur Neslon

Witnessed by: Joseph Albanese Date: 11-23-04
Signature

Joseph G. Albanese
Printed Name of Witness

Witnessed by: Raymond G. Rowe Date: 11/23/04
Signature

Raymond G. Rowe
Printed Name of Witness

Inventor 2

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Brian Peter Arness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Inventor 3

Signed at town of _____, State of _____, this ___ day of _____, 2004.

Inventor:

Paul Thomas Marks

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Inventor 4

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Raymond Edward Chupp

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Inventor 5

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Tara Easter McGovern

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

1

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we, Warren Arthur Nelson of 2 Stratford Court, Clifton Park, NY 12065; Brian Peter Arness of 201 Buckler Court, Simpsonville, SC 29681; Paul Thomas Marks of 134 Crane Street, Scotia, NY 12302; Raymond Edward Chupp of 119 Acorn Drive, Glenville, NY 12302; and Tara Easter McGovern of 115 Summer Hill Road, Simpsonville, SC 29681, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled Pattern for the Surface of a Turbine Shroud GE Docket Number 161441

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GE Docket No. 161441

2

Inventor 1

Signed at town of _____, State of _____, this ___ day of _____, 2004.

Inventor:

Warren Arthur Neslon

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

3

Inventor 2

Signed at town of Greenville, State of SC, this 24 day of Nov, 2004.

Inventor:

Brian Peter Arness
Brian Peter Arness

Witnessed by: [Signature] Date: 11/24/2004
Signature

John D. Ward, Jr.
Printed Name of Witness

Witnessed by: [Signature] Date: 11/24/2004
Signature

CHRISTOPHER TRANGSRUD
Printed Name of Witness

GE Docket No. 161441

4

Inventor 3

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Paul Thomas Marks

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

GE Docket No. 161441

5

Inventor 4

Signed at town of _____, State of _____, this ___ day of _____, 2004.

Inventor:

Raymond Edward Chupp

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

GE Docket No. 161441

6

Inventor 5

Signed at town of _____, State of _____, this ___ day of _____, 2004.

Inventor:

Tara Easter McGovern

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

1

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GE Docket No. 161441

Inventor 1

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Warren Arthur Neslon

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

Inventor 2

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Brian Peter Arness

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

4

Inventor 3

Signed at town of Greenville, State of SC, this 30th day of November, 2004.

Inventor:

Paul Thomas Marks
Paul Thomas Marks

Witnessed by: Mandy Arnold
Signature

Date: 11-30-04

Mandy Arnold
Printed Name of Witness

Witnessed by: Paul Hanscom
Signature

Date: 11/30/04

Paul Hanscom
Printed Name of Witness

GE Docket No. 161441

5

Inventor 4

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Raymond Edward Chupp

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

6

Inventor 5

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Tara Easter McGovern

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

1

ASSIGNMENT

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GE Docket No. 161441

2

Inventor 1

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Warren Arthur Neslon

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

3

Inventor 2

Signed at town of _____, State of _____, this ___ day of _____, 2004.

Inventor:

Brian Peter Arness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

4

Inventor 3

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Paul Thomas Marks

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

5

Inventor 4

Signed at town of GLENVILLE, State of NEW YORK, this 24 day of Nov, 2004.

Inventor:

Raymond Edward Chupp
Raymond Edward Chupp

Witnessed by: Norman A. Turnquist Date: Nov. 24, 2004
Signature

Norman A. Turnquist
Printed Name of Witness

Witnessed by: Cynthia K. Davidheiser Date: Nov. 24, 2004
Signature

Cynthia K. Davidheiser
Printed Name of Witness

GE Docket No. 161441

6

Inventor 5

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Tara Easter McGovern

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

Witnessed by: _____ Date: _____

Signature

Printed Name of Witness

GE Docket No. 161441

1

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GE Docket No. 161441

Inventor 1

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Warren Arthur Neslon

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

GE Docket No. 161441

Inventor 2

Signed at town of _____, State of _____, this ____ day of _____, 2004.

Inventor:

Brian Peter Arness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

GE Docket No. 161441

Inventor 3

Signed at town of _____, State of _____, this _____ day of _____, 2004.

Inventor:

Paul Thomas Marks

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

GE Docket No. 161441

Inventor 4

Signed at town of _____, State of _____, this _____ day of _____, 2004.

Inventor:

Raymond Edward Chupp

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

GE Docket No. I61441

Inventor 5

Signed at town of Meriden, State of CT, this 24 day of Nov., 2004.

Inventor:

Tara E. McGovern
Tara Easter McGovern

Witnessed by: [Signature] Date: 11/24/04

Signature

Kevin T. McGovern
Printed Name of Witness

Witnessed by: [Signature] Date: 11/24/04

Signature

Jennifer L. Stewart
Printed Name of Witness