

RECORDATION

08-11-2004

PAT



To the Honorable commissioner of Patents and Trade
Please record the attached original documents or c

102812002

1. Name of conveying party(ies):

Benjamin T.H. Cox

Michael Kazar

Daniel S. Nydick

Richard N. Sanzi, Jr.

Michael Eisler

Additional name(s) of conveying

party(ies) attached? _____ Yes _____ No

2. Name and address of receiving party(ies):

Name: Network Appliance, Inc.

Street Address: 495 East Java Drive

City: Sunnyvale

State: CA

Zip: 94089

Additional name(s) & address(es)

Attached? _____ Yes _____ No

22581 U.S.PTO
10/910164

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: July 19, 2004, July 26, 2004, July 30, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution
date of the application is: July 19, 2004, July 26, 2004, July 30, 2004

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? _____ Yes _____ No

5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name: Duane H. Dreger

Street Address: Cesari and McKenna, LLP

88 Black Falcon Avenue

City: Boston State: MA Zip: 02210

6. Total number of applications and
patents involved:..... 1

7. Total fee (37 CFR 3.41) \$40.00

☒ Enclosed☐ Authorized to be charged to deposit
Account8. Deposit account no. 03-1237 (Attach duplicate
copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.

Duane H. Dreger, Reg. No. 48,836

August 2, 2004

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

08/11/2004 6TON11 00000013 10910164

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PATENT
REEL: 015657 FRAME: 0826

ASSIGNMENT

Whereas We, Benjamin T.H. Cox, whose residence address is 3021 Willett Road, Pittsburgh, PA 15227, Michael Kazar, whose residence address is 1233 Shady Avenue, Pittsburgh, PA 15232, Daniel S. Nydick, whose residence address is 2569 Barnwood Drive, Wexford, PA 15090, Richard N. Sanzi, Jr., whose residence address is 2659 Ravenwood Court, Wexford, PA 15090, and Michael Eisler, whose residence address is 5765 Chase Point Circle, Colorado Springs, CO 80919, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled SYSTEM AND METHOD FOR A SIDECAR AUTHENTICATION MECHANISM, identified by Cesari and McKenna File No. P01-1831/112056-0182, which application was executed by us herewith; and

Whereas Network Appliance, Inc., whose address is 495 East Java Drive, Sunnyvale, CA 94089, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer, and convey to Assignee our entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and

enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment as an instrument under seal on the dates indicated next to our names.

Benjamin T.H. Cox, Inventor

Commonwealth of Pennsylvania)
)ss.
County of)

On this _____ day of _____, 2004, before me appeared Benjamin T.H. Cox, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

Date

Michael Kazar, Inventor

Commonwealth of Pennsylvania)
)ss.
County of _____)

On this _____ day of _____, 2004, before me appeared Michael Kazar,
to me known and known to me to be the person described in and who executed the
foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

Date

Daniel S. Nydick, Inventor

Commonwealth of Pennsylvania)
)ss.
County of _____)

On this _____ day of _____, 2004, before me appeared Daniel S.
Nydick, to me known and known to me to be the person described in and who executed
the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

Date _____

Richard N. Sanzi, Jr., Inventor

Commonwealth of Pennsylvania)
)ss.
County of _____)

On this _____ day of _____, 2004, before me appeared Richard N. Sanzi, Jr., to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]


7/30/2004
Date

Michael R. Eisler
Michael Eisler, Inventor

State of Colorado)
)ss.
County of El Paso)

On this 30th day of July, 2004, before me appeared Michael Eisler, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.




Notary Public

My Commission Expires: 08/18/2007

ASSIGNMENT

Whereas We, Benjamin T.H. Cox, whose residence address is 3021 Willett Road, Pittsburgh, PA 15227, Michael Kazar, whose residence address is 1233 Shady Avenue, Pittsburgh, PA 15232, Daniel S. Nydick, whose residence address is 2569 Barnwood Drive, Wexford, PA 15090, Richard N. Sanzi, Jr., whose residence address is 2659 Ravenwood Court, Wexford, PA 15090, and Michael Eisler, whose residence address is 5765 Chase Point Circle, Colorado Springs, CO 80919, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled SYSTEM AND METHOD FOR A SIDECAR AUTHENTICATION MECHANISM, identified by Cesari and McKenna File No. P01-1831/112056-0182, which application was executed by us herewith; and

Whereas Network Appliance, Inc., whose address is 495 East Java Drive, Sunnyvale, CA 94089, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer, and convey to Assignee our entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;
2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;
4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;
5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and

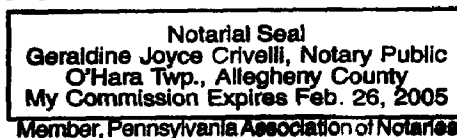
enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment as an instrument under seal on the dates indicated next to our names.

7/19/04
Date

Benjamin T.H. Cox
Benjamin T.H. Cox, Inventor

Commonwealth of Pennsylvania)
County of Allegheny) ss.



On this 19 day of July, 2004, before me appeared Benjamin T.H. Cox, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Geraldine Crivelli
Notary Public

[seal]

7/19/2004
Date

Michael Kazar
Michael Kazar, Inventor

Commonwealth of Pennsylvania)
County of Allegheny)ss.
)

On this 19 day of July, 2004, before me appeared Michael Kazar, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notarial Seal
Geraldine Joyce Crivelli, Notary Public
O'Hara Twp., Allegheny County
My Commission Expires Feb. 26, 2005
Member, Pennsylvania Association of Notaries

Geraldine Crivelli
Notary Public

[seal]

7/19/2004
Date

Daniel S. Nydick
Daniel S. Nydick, Inventor

Commonwealth of Pennsylvania)
County of Allegheny)ss.
)

On this 19 day of July, 2004, before me appeared Daniel S. Nydick, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notarial Seal
Geraldine Joyce Crivelli, Notary Public
O'Hara Twp., Allegheny County
My Commission Expires Feb. 26, 2005
Member, Pennsylvania Association of Notaries

Geraldine Crivelli
Notary Public

[seal]

7/26/04 Richard N. Sanzi, Jr.
Date Richard N. Sanzi, Jr., Inventor

Notarial Seal
Geraldine Joyce Crivelli, Notary Public
O'Hara Twp., Allegheny County
My Commission Expires Feb. 26, 2005
Member, Pennsylvania Association of Notaries

Commonwealth of Pennsylvania)
County of Allegheny)ss.
)

On this 28 day of July, 2004, before me appeared Richard N. Sanzi, Jr., to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Geraldine Crivelli
Notary Public

[seal]

Date Michael Eisler, Inventor

State of Colorado)
County of _____)ss.
)

On this _____ day of _____, 2004, before me appeared Michael Eisler, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]