

08-12-2004

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



102812697

8.3.04

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

16884 U.S. PTO  
10/9/1313



080304

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other   
**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached  
Execution Date  
Month Day Year  
07162004

Name (line 1) MILITELLO, David R.

Name (line 2)

**Second Party**

Execution Date  
Month Day Year  
07152004

Name (line 1) SHEVICK, Barry L.

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Shades Unlimited, Inc.

Name (line 2)

Address (line 1) 361 Blodgett Street

Address (line 2)

Address (line 3) Cotati CA 94931

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

08/2004 STEUMEL1 00000012 10911313

08/2002 40.00 DP

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 015663 FRAME: 0751**

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Lathrop, Robert L. III

Execution Date  
Month Day Year  
07262004

Name (line 2)

Execution Date  
Month Day Year  
07222004

Name (line 1) Dendulk, Bruce R.

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



16894 U.S. PTO  
10/911313  
080304

**Correspondent Name and Address**

Area Code and Telephone Number **916-781-6634**

Name **Bradley P. Heisler**

Address (line 1) **Heisler & Associates**

Address (line 2) **3017 Douglas Blvd., Suite 300**

Address (line 3) **Roseville, CA 95661**

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **8**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
**07 16 2004**

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

**only if a U.S. Application Number has not been assigned.**

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

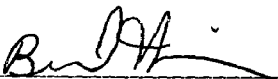
Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

**Bradley P. Heisler**



**8-3-04**

Name of Person Signing

Signature

Date

## ASSIGNMENT

I, David R. Militello, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Shades Unlimited, Inc., whose address is 361 Blodgett Street, Cotati, CA 94931, the entire right, title and interest in and to the invention known as: PROGRESSIVE RESISTANCE LIFTING MECHANISM FOR A WINDOW COVERING, for which an application for patent in the United States Patent and Trademark Office has been executed by signing of the declaration on the 16 day of July, 2004.

1) The undersigned hereby authorizes Attorney, Bradley P. Heisler, of 3017 Douglas Boulevard, Suite 300, Roseville, CA 95661, to insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

2) The undersigned agrees to execute all papers necessary in connection with each application to protect the invention, and any continuing or divisional applications thereof, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

3) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application relating to the invention, or any continuation or division thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with any such interference.

4) The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

5) The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks, and all equivalent foreign officials, to assign any and all Letters Patent resulting from said application or any divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that Assignor has full right to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith.

6) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of valid patents to the Assignee relating to the invention.

7) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

Date 7-16-04

Name of Inventor

  
David R. Militello

**ACKNOWLEDGMENT**

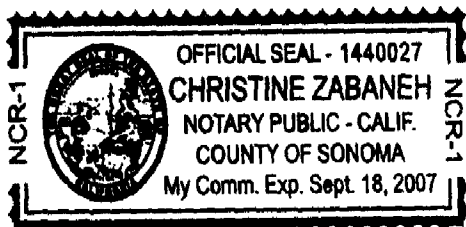
State of California


County of Sonoma

Title of Document Assignment for Progressive Resistance Lifting Mechanism for a Window Covering

On July 16<sup>th</sup>, 2004, before me, Christine Zabaneh personally appeared David R. Militello ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the persons(s) acted, executed the instrument.

WITNESS my hand and seal.



  
SIGNATURE OF NOTARY

## ASSIGNMENT

I, Barry L. Shevick, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Shades Unlimited, Inc., whose address is 361 Blodgett Street, Cotati, CA 94931, the entire right, title and interest in and to the invention known as: PROGRESSIVE RESISTANCE LIFTING MECHANISM FOR A WINDOW COVERING, for which an application for patent in the United States Patent and Trademark Office has been executed by signing of the declaration on the 9 day of July, 2004.

1) The undersigned hereby authorizes Attorney, Bradley P. Heisler, of 3017 Douglas Boulevard, Suite 300, Roseville, CA 95661, to insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

2) The undersigned agrees to execute all papers necessary in connection with each application to protect the invention, and any continuing or divisional applications thereof, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

3) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application relating to the invention, or any continuation or division thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with any such interference.

4) The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

5) The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks, and all equivalent foreign officials, to assign any and all Letters Patent resulting from said application or any divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that Assignor has full right to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith.

6) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of valid patents to the Assignee relating to the invention.

7) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

Date 7/15/2004 Name of Inventor Barry L. Shevick  
Barry L. Shevick

*see attached notary acknowledgment*

Assignment, Page 1 of 1

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Sacramento

SS.

On July 15, 2004

Date

before me, Debra R. Prouty

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Barry L. Shewick

Name(s) of Signer(s)

☐ I personally known to me

☒ I proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment

Document Date: July 15, 2004

Number of Pages: 1

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

## ASSIGNMENT

I, Robert L. Lathrop III, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Shades Unlimited, Inc., whose address is 361 Blodgett Street, Cotati, CA 94931, the entire right, title and interest in and to the invention known as: PROGRESSIVE RESISTANCE LIFTING MECHANISM FOR A WINDOW COVERING, for which an application for patent in the United States Patent and Trademark Office has been executed by signing of the declaration on the 26 day of July, 2004.

1) The undersigned hereby authorizes Attorney, Bradley P. Heisler, of 3017 Douglas Boulevard, Suite 300, Roseville, CA 95661, to insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

2) The undersigned agrees to execute all papers necessary in connection with each application to protect the invention, and any continuing or divisional applications thereof, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

3) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application relating to the invention, or any continuation or division thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with any such interference.

4) The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

5) The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks, and all equivalent foreign officials, to assign any and all Letters Patent resulting from said application or any divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that Assignor has full right to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith.

6) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of valid patents to the Assignee relating to the invention.

7) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

Date 7/26/04 Name of Inventor Robert L. Lathrop III  
Robert L. Lathrop III



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

SANTA CLARA

SS.

On

7-26-04

Date

, before me,

THEODORE M. DANEN NOTARY

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

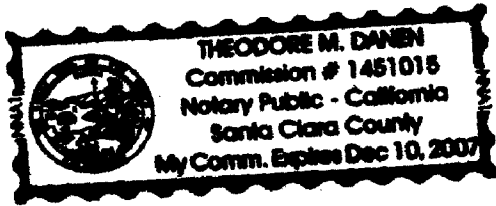
personally appeared

ROBERT L. LATHROP, III

Name(s) of Signer(s)

☒ personally known to me

☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Theodore M. Danen

Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

- ☐ Individual
- ☐ Corporate Officer — Title(s): \_\_\_\_\_
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here.

## ASSIGNMENT

I, Bruce R. Dendulk, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Shades Unlimited, Inc., whose address is 361 Blodgett Street, Cotati, CA 94931, the entire right, title and interest in and to the invention known as: PROGRESSIVE RESISTANCE LIFTING MECHANISM FOR A WINDOW COVERING, for which an application for patent in the United States Patent and Trademark Office has been executed by signing of the declaration on the 22 day of July, 2004.

1) The undersigned hereby authorizes Attorney, Bradley P. Heisler, of 3017 Douglas Boulevard, Suite 300, Roseville, CA 95661, to insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

2) The undersigned agrees to execute all papers necessary in connection with each application to protect the invention, and any continuing or divisional applications thereof, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

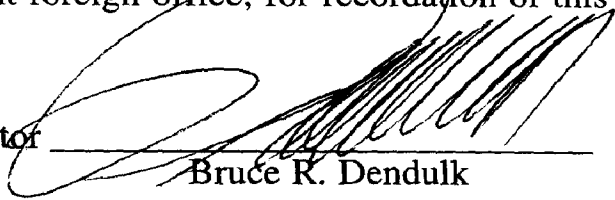
3) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application relating to the invention, or any continuation or division thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with any such interference.

4) The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

5) The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks, and all equivalent foreign officials, to assign any and all Letters Patent resulting from said application or any divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that Assignor has full right to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith.

6) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of valid patents to the Assignee relating to the invention.

7) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

Date 7-22-04 Name of Inventor   
Bruce R. Dendulk

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

SANTA CLARA

SS.

On

7-22-04

Date

before me,

THEODORE M. DANEN NOTARY

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

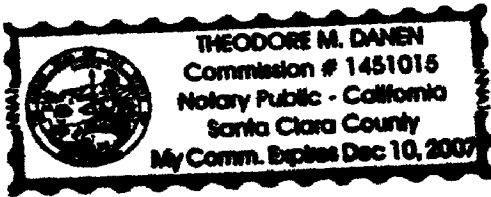
personally appeared

BRUCE R. DENDUCK

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Theodore M. Danen

Signature of Notary Public

Place Notary Seal Above

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Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

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### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

- ☐ Individual
- ☐ Corporate Officer — Title(s): \_\_\_\_\_
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here