

08-11-2004

Packet No.: 007180-20

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



102810955

Tab settings

To the Director of the United States Patent and Trademark Office, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

University of Utah  
615 Arapleen Drive  
Salt Lake City, UT 84108

8.9.04

2. Name and address of receiving party(ies):

Name: University of Utah Research Foundation

Address: 615 Arapleen Drive

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Salt Lake City

State/Prov.: UT

Country: US

ZIP: 84108

Execution Date: 31 October 2003

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

60/351,035

23 January 2002

10/502,565

22 July 2004

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jennifer McCallum Ph.D., Esq.

Registration No. 52,492

Address: 132 Kolar Court

08/10/2004 LNUELLER 00000147 60351035

01 FC:8021

80.00 DP

City: Erie

State/Prov.: CO

Country: US

ZIP: 80516

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 3.41):.....\$ 80.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

502679

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jennifer McCallum, Ph.D., Esq.

Name of Person Signing

*Jennifer M. McCallum*

Signature

5 August 2004

Date

5

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Mail Sign Assignment Recordation Services

NOV 04 2003

BY:

## ASSIGNMENT

Whereas, the University of Utah, a corporation of the State of Utah, having a place of business at Salt Lake City, Utah is the owner of the entire right, title and interest in and to a provisional application for United States Letters Patent entitled "Targeted Chromosomal Mutagenesis Using Zinc Finger Nucleases", filed in the United States Patent and Trademark Office as Serial No. 60/351,035 on the 23rd day of January 2002, which application is incorporated herein by reference; and the invention therein described; and

Whereas, University of Utah Research Foundation, a corporation of the State of Utah, having a place of business at 615 Arapen Dr., Salt Lake City, UT 84108, is desirous of acquiring the entire right, title and interest in and to the said invention within the United States of America and its territorial possessions and within the countries foreign to the United States and any United States or foreign Letters Patent that may be granted therefore; and in and to said application;

Now, Therefore, in consideration of the sum of One Dollar (\$1.00) paid by University of Utah Research Foundation to the University of Utah and other good valuable consideration, the receipt of which is hereby acknowledged, the University of Utah has sold, assigned and transferred and by these presents does hereby sell, assign and transfer unto the said University of Utah Research Foundation the entire right, title and interest in and to the said inventions within the United States of America and its territorial possessions and within all countries foreign to the United States and in and to any United States or foreign Letters Patent that may be granted therefore and in and to the said application and in and to any and all divisions, reissues, continuations, continuations in part, and extensions thereof and in and to any Letters Patent that may be granted thereon, all said rights to be held and enjoyed by the University of Utah Research Foundation for its own use and enjoyment of its successors and assigns to the full end of the term or terms for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the University of Utah if this assignment, transfer and sale had not been made.

The University of Utah hereby authorized and requests the United States Commissioner of Patents and Trademarks and all authorized officials of foreign patent offices to issue the said Letters Patent, when granted, to said University of Utah Research Foundation as the assignee of its entire right, title and interest in and to the same, for the sole use and enjoyment of said University of Utah Research Foundation, its successors and assigns.

The McCallum Law Firm, LLC.

Attorney Docket No.: 007180-1  
University of Utah Reference No.: U-3272

PATENT  
REEL: 015666 FRAME: 0759

In Testimony Whereof, the University of Utah has caused the hand of its proper representative to be subscribed hereto this 31<sup>st</sup> day of October, 2003.

UNIVERSITY OF UTAH

By:   
Jayne F. Carney  
Director, Technology Transfer

STATE OF UTAH )

:ss.

COUNTY OF SALT LAKE )

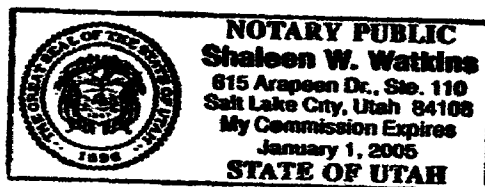
On October 31, 2003, before me personally appeared Jayne F. Carney, Director, Technology Transfer of said University of Utah known to me to be the person described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that she has read the same and knows the contents thereof and that the same is true of her own knowledge excepting as to matters therein alleged upon information and belief and as to those matters she believes them to be true, and that she executed the same as her free act and deed and for the purposes set forth therein.

NOTARY PUBLIC 

Residing at: SLC, UT

My Commission expires:

1.1.05



The McCallum Law Firm, LLC.

Attorney Docket No.: 007180-1  
University of Utah Reference No.: U-3272