

08-18-2004

8-16-04

Form PTO 1595

RECC



Docket No.: UCSD1530-3

102816121

To the Honorable Commissioner for Patents and

the attached original documents or copy thereof.

1. Name of Conveying party(ies):

- a. William Fenical
- b. Paul Jensen
- c. Tracy Mincer
- d. Robert H.R. Feling

Additional name(s) of conveying party(ies)
attached? ___ Yes ☒ No

2. Name and address of receiving Party(ies)

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin Street, Twelfth FloorCity: Oakland State: CA Zip: 94607-5200

Additional name(s) & address(es) attached?

___ Yes ☒ No

3. Nature of conveyance:

☒ Assignment _____ Merger
 _____ Security Agreement _____ Change of name
 _____ Other _____

Execution Date: a. July 6, 2004
 b. July 6, 2004
 c. July 6, 2004
 d. June 21, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/838,157

B. Patent No.(s)

Additional numbers attached? ___ Yes ☒ No
 DPT/FINANCE
 AUG 16 AM 7:33

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa A. Haile, J.D., Ph.D.
GRAY CARY WARE & FREIDENRICH LLP

Street Address: 4365 Executive Drive, Suite 1100
 City: San Diego State: CA Zip: 92121-2133

6. Total number of Applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed - Check No.: 564522
☒ Authorized to charge any underpayment to
 Deposit Account No. 50-1355.

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa A. Haile, J.D., Ph.D.
 Name of Person Signing
 Registration No. 38,347

Signature

August 12, 2004
 Date

08/17/2004 MGETACHE 00000006 10638157

01 FC:8021

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

U.C. Case No. SD 2001-208-4

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. William Fenical
2. Paul Jensen
3. Tracy Mincer
4. Robert H.R. Feling

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SALINOSPORAMIDES AND METHODS FOR USE THEREOF

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/838,157, filed on April 30, 2004
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

6 day of July, 2004

6 day of July, 2004

6 day of July, 2004

____ day of _____, 2004

Signature of Inventor(s)

William Fenical
William Fenical

Paul Jensen
Paul Jensen

Tracy Mincer
Tracy Mincer

Robert H.R. Feling

ASSIGNMENT

U.C. Case No. SD 2001-208-4

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. William Fenical
2. Paul Jensen
3. Tracy Mincer
4. Robert H.R. Feling

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SALINOSPORAMIDES AND METHODS FOR USE THEREOF

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/838,157, filed on April 30, 2004
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

_____ day of _____, 2004

_____ day of _____, 2004

_____ day of _____, 2004

21 day of June, 2004

Signature of Inventor(s)

William Fenical

Paul Jensen

Tracy Mincer

Robert H.R. Feling
Robert H.R. Feling