

08-18-2004

RE

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

8.10.04

102816040

Customer No. 23910

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying parties:

Rodney McCauley  
James Owen  
Jalpesh Patadia  
Brad Posner  
Alexander ToussaintAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party:

Name: BEA Systems, Inc.Address: 2315 North First StreetSan Jose, California 95131Additional name(s) & address(es) attached? ☐ Yes ☒ No3. Nature of conveyance: **Assignment**

Execution Dates:

Rodney McCauley August 4, 2004  
James Owen August 1, 2004  
Jalpesh Patadia August 2, 2004  
Brad Posner August 2, 2004  
Alexander Toussaint August 4, 20044. Application number(s) or patent number(s): **This document is being filed with a new application.**

Patent Application No.: Unassigned

Title: **System And Method For Information Lifecycle Workflow Integration**Filed Date: HerewithAdditional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sheldon R. MeyerAddress: Fliesler Meyer LLPFour Embarcadero Center, Fourth FloorSan Francisco, CA 94111Telephone: (415) 362-38006. Total Number of applications and patents involved: 1 X \$40.00 each7. Total fee (37 CFR 3.41).....\$ 40.00☒ Check Enclosed8. *Fee Authorization.* Authorization is given to charge any additional fees or credit any overpayment to Deposit Account No. 06-1325.Copy. (A duplicate copy of this authorization is not enclosed.)

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Daniel J. Burns

Attorney (Reg. No.: 50,222)

Signature

Date

8/10/200410. Total number of pages to be recorded: 5 (1 page cover sheet and 4 page document).

08/17/2004 ECRPER 00000138 10915506

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Attorney Docket No.: BEAS-01555US1 SRM/DJB  
djb/beas/1555.US1 recordation sheet.wpdPATENT  
REEL: 015677 FRAME: 0631U.S. PTO  
10/9/15506

081004

## JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) **Rodney McCauley**, a resident of 2102 Flora Court, Loveland, Colorado;
- (2) **James Owen**, a resident of 330 Aspen Drive, Evergreen, Colorado;
- (3) **Jalpesh Patadia**, a resident of 1507 Bradley Drive, Boulder, Colorado;
- (4) **Brad Posner**, a resident of 916 Marfell Street, Erie, Colorado; and
- (5) **Alexander Toussaint**, a resident of 765 Eldorado Blvd. #2226, Broomfield, Colorado,

have invented certain new and useful improvements in:

### SYSTEM AND METHOD FOR INFORMATION LIFECYCLE WORKFLOW INTEGRATION

for which we have executed a declaration for an application for a United States patent on or about the date of this Assignment which is being filed herewith and which claims priority to U.S. provisional patent application no. 60/561,646, filed on April 13, 2004.

WHEREAS BEA Systems, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 2315 North First Street, San Jose, California 95131, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in

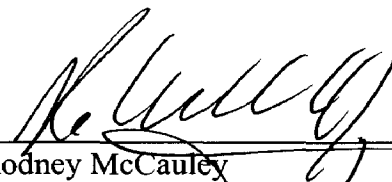
the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee:

8/4/2004  
Date

(1)   
Rodney McCauley

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

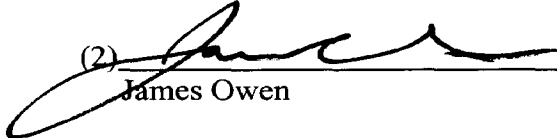
personally appeared Rodney McCauley, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

Date 8/1/09

(2)   
James Owen

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_,  
(name and title of officer)

personally appeared James Owen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

Date 2-Aug-04

(3)   
Jalpesh Patadia

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_,  
(name and title of officer)

personally appeared Jalpesh Patadia, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

8/2/04  
Date

(4)   
Brad Posner

State of \_\_\_\_\_)

County of \_\_\_\_\_)

On \_\_\_\_\_ before me, \_\_\_\_\_,  
(name and title of officer)

personally appeared Brad Posner, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

8/4/2004  
Date

(5)   
Alexander Toussaint

State of \_\_\_\_\_)

County of \_\_\_\_\_)

On \_\_\_\_\_ before me, \_\_\_\_\_,  
(name and title of officer)

personally appeared Alexander Toussaint, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

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