

In place of Form PTO-1595

Docket No. AUS920040325US1

RECORDATION FORM COVER SHEET
PATENTS

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (1) Michael Norman Day (2) Charles Johns (3) Thuong Truong Additional name(s) of conveying party(ies) attached? Yes <input checked="" type="checkbox"/> No	Execution Date: <u>October 25, 2004</u> <u>October 25, 2004</u> <u>October 11, 2004</u>
2. Name and address of receiving party(ies): International Business Machines Corporation New Orchard Road Armonk, New York 10504	3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment ___ Merger ___ Security Agreement ___ Change of Name ___ Other _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is
(1) _____ (2) _____ (3) _____

A. Patent Application No. (s) 10/976,263 B. File Date October 28, 2004

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert M Carwell
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, TX 78758
(512) 823-1017

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

___ Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:

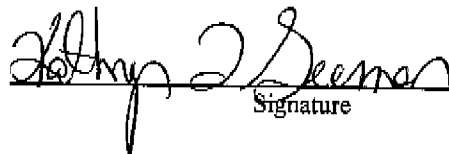
09/0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Katryn L Seeman
Name of Person Signing


Signature

February 8, 2005
Date

Total number of pages including cover sheet: **5**

CH \$40.00 090447 10976263

IBM DOCKET NO. AUS920040325US1

ASSIGNMENT

Whereas, we,

(1) Michael Norman Day of 2201 Mayfield Drive, Round Rock, Texas 78681
County of Williamson and State of Texas

and

(2) Charles Johns of 10703 Cassia Drive, Austin, Texas 78759
County of Travis and State of Texas

and

(3) Thuong Truong of 12612 Picket Rope Lane, Austin, Texas 78727
County of Travis and State of Texas

have invented certain improvement in

DIRECT DEPOSIT USING LOCKING CACHE

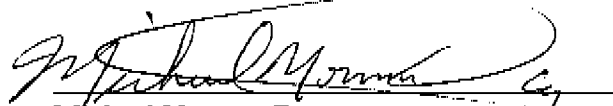
and executed a United States patent application therefore on (1) 10/25, 2004;
(2) 10/25, 2004; and (3) _____, 2004.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

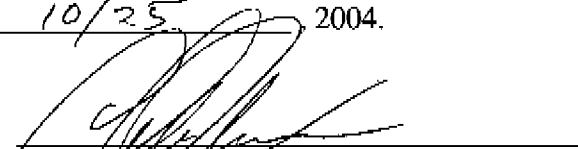
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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(1) Signed at Austin on 10/25, 2004.


Michael Norman Day

(2) Signed at AUSTIN on 10/25, 2004.


Charles Johns

(3) Signed at _____ on _____, 2004.

Thuong Truong

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and executed a United States patent application therefore on (1) _____, 2004;
(2) _____, 2004; and (3) 10-11, 2004.

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

IBM DOCKET NO. AUS920040325US1

(1) Signed at _____ on _____, 2004.

Michael Norman Day

(2) Signed at _____ on _____, 2004.

Charles Johns

(3) Signed at Balegh on 10 - 11, 2004.

Thuong

Thuong Truong