

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Affymax Technologies, N.V.

Execution Date(s) August 15, 2001

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Affymax, Inc.

Internal Address: _____

Street Address: 4001 Miranda Avenue

City: Palo Alto

State: California

Country: United States Zip: 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

5,639,603 (06/17/1997)

5,767,234 (02/03/1995)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edward O. Kreusser

Internal Address: _____

Street Address: 658 Marsolan Avenue

City: Solana Beach

State: California Zip: 92075

Phone Number: _____

Fax Number: _____

Email Address: _____

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number 01-0430

Authorized User Name Tracy Dunn

9. Signature:

Signature

Date

Edward O. Kreusser, Registered Patent Attorney

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

700152914

PATENT
REEL: 015687 FRAME: 0603

CH \$80.00 010430 5639603

AFFIDAVIT

I, TRACY J. DUNN, declare as follows:

1. I am Vice-President of Intellectual Property for Affymax, Inc., a Delaware Corporation, having a place of business at 4001 Miranda Avenue, Palo Alto, California 94303.
2. On or about 15 August 2001, Affymax NV assigned its rights in specific intellectual property to Affymax, Inc.
3. Attached as Exhibit A is a true and correct copy of that assignment, with the specific intellectual property that was assigned identified in schedules A through Q.
4. All statements made herein of my own knowledge are true or else are based on information and belief that are believed to be true.
5. I acknowledge that willful false statements and the like may be punishable by fine or imprisonment, or both, under Title 18, Section 1001 of The United States Code.

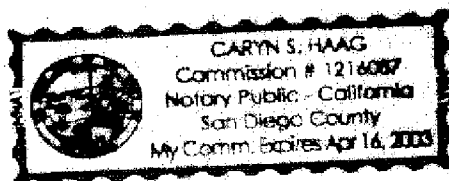
Tracy J. Dunn
Signature of Affiant: TRACY J. DUNN

STATE OF CALIFORNIA)
) SS.
COUNTY OF SAN DIEGO)

On ~~June~~ ^{July} 17, 2002, before me, Caryn S. Haag, a Notary Public, personally appeared TRACY J. DUNN, personally known to me (or proved to me on the basis of satisfactory evidence) to be the **person(s) whose name(s) is/are subscribed to the within** instrument and acknowledged to **me that he/she/they executed the same in his/her/their** authorized capacity(ies), and that by **his/her/their signature(s) on the instrument the person(s), or** the entity **upon behalf of which the person(s) acted, executed the instrument.**

WITNESS my hand and official seal.

Seal:



Caryn S. Haag
Notary Public

ASSIGNMENT

WHEREAS, AFFYMAX TECHNOLOGIES N.V., a corporation organized under the laws of the Netherlands Antilles, having a place of business at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 0NN, England (the "ASSIGNOR"), is the sole and exclusive owner, by assignment, of certain United States Letters Patents, Letters Patents of foreign countries, and United States and foreign patent applications (including without limitation patent applications under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, and the European Patent Convention), listed in the attached Assigned Patents Schedules A to Q, and

WHEREAS, AFFYMAX, INC., a Delaware corporation, having a place of business at 4001 Miranda Avenue, Palo Alto, California 94303 (the "ASSIGNEE"), is desirous of acquiring the entire right, title, and interest in and to such United States Letters Patents, Letters Patents of foreign countries, and United States and foreign patent applications;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the ASSIGNOR, the ASSIGNOR does hereby assign, transfer, convey, deliver and grant unto the ASSIGNEE, all of its right, title, and interest in and to the United States Letters Patents, Letters Patents of foreign countries, and United States and foreign patent applications, listed in the attached Assigned Patents Schedules A to Q, this assignment including said patents and/or applications and any and all United States and foreign applications and/or patents claiming priority to said patents or applications, including all divisions, continuations, reissues, continuations-in-part, continued prosecution applications (CPA), requests for continued examination (RCE), and extensions thereof, and the right to claim priority based on the filing date of said applications and based on the filing date of any provisional applications of which said applications claim the benefit under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, including the right to sue and recover for all past, present and future infringement of any of said patents;

And the ASSIGNOR hereby agrees to sign all lawful documents and make all rightful oaths and declarations relating to the foregoing United States Letters Patents, Letters Patents of foreign countries, and United States and foreign patent applications, when reasonably requested to do so by the ASSIGNEE;

And the ASSIGNOR authorizes the ASSIGNEE to apply in all countries for patents and like rights of exclusion and for inventor's certificates for said inventions that claim priority to said patents or applications; and ASSIGNOR agrees for itself and its legal representatives and assigns, without further compensation,

And the ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all Letters Patent to the ASSIGNEE.

WHEREIN, the ASSIGNOR'S agent sets its hand and seal:

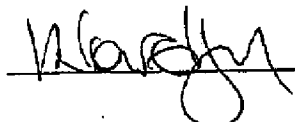
Date: _____

AFFYMAX TECHNOLOGIES N.V.

By:

Name:

Title:



VICTORIA CEREY

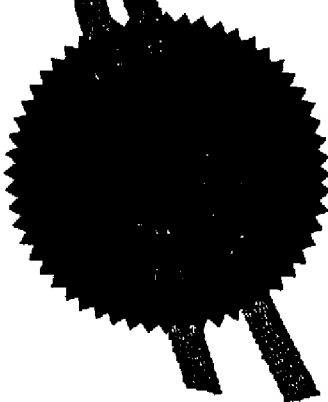
Secretary Affymax
Technologies N.V.

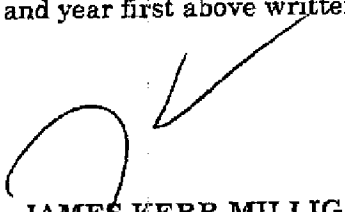
DE PINNA
NOTARIES

KINGDOM OF ENGLAND)
CITY OF LONDON) SS

BE IT KNOWN that on this fifteenth day of August in the Year Two thousand and one before me, the undersigned, JAMES KERR MILLIGAN, Notary Public of the City of London, duly admitted and sworn, practising in the said City, personally came and appeared VICTORIA ANNE LLEWELLYN, to me known to be a duly authorised officer of AFFYMAX TECHNOLOGIES N.V., a corporation organised and existing under the laws of the Netherlands Antilles, the Assignor named in the foregoing Instrument, and acknowledged to me that she executed such Instrument as her free act on behalf of the said Assignor and pursuant to authority duly received.

IN TESTIMONY WHEREOF I have hereunto set my hand and Seal of Office at London aforesaid, the day month and year first above written.




JAMES KERR MILLIGAN
A Notary Public of London, England
My Commission expires with life

ASSIGNED PATENT SCHEDULE A

ARI Docket#	Sub	Ctry	Appl Number	Filing Date	Patent Number	Issue Date	Publication Number	Application Title
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1037.2		US	08/146,886	02-Nov-1993	5,638,603	17-Jun-1997		Synthesizing and screening molecular diversity
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ARI Docket#	Sub	Ctry	Appi Number	Filing Date	Patent Number	Issue Date	Publication Number	Application Title
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1019.3		US	08/383,474	01-Feb-1995	5,767,234	16-Jun-1998		Peptides and compounds that bind to the IL-1 receptor
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