

08-20-2004



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DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hickman Palermo Truong & Becker,
LLP

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 04/19/2004

2. Name and address of receiving party(ies):

Name: Michael J. Witz

Internal Address:

Street Address: 1119 Alvira Street

City: L.A. State: CA Zip: 90035

Country: U.S.A.

Additional name(s) & address(es) attached? ☒ No ☐ Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/599,051, 09/739,565
09/821,504

B. Patent No.(s)

Additional numbers attached? ☒ No ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor & Zafman

Internal Address:

Street Address: 12400 Wilshire Boulevard
7th Floor

City: Los Angeles State: CA ZIP: 90025

6. Total number of applications and patents involved: 3

7. Total Fee (37 CFR 3.41).....\$ 120.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Thomas M. Coester

Name of Person Signing

Signature

08/13/2004

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

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08/19/2004 MGETACHE 00000048 09599051

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120.00 DP

Docket No. 3801P197

PATENT
REEL: 015688 FRAME: 0309

Atty. Docket No.:
04816.P001
04816.P002
04816.P003

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, **Michael J. Witz**, ("Assignor"), an individual residing at 1119 Alvira Street, Los Angeles, California 90035, hereby sells, assigns, transfers and conveys to **Hickman Palermo Truong & Becker LLP**, ("Assignee"), a limited liability partnership duly organized under and pursuant to the laws of California, having its principal place of business at 1600 Willow Street, San Jose, California 95125-5106, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to:

(1) the patent applications identified below, and, any and all inventions or improvements disclosed therein, in

(a) U.S. Patent Application Ser. No. 09/599,051, filed June 21, 2000, in the name of Michael J. Witz, Brian A. Levy, Brian N. Sawyer, Dan Lin, and Gordon K. Gustafson, Attorney Docket No. 004816.P001, entitled "Community Based Financial Product";

(b) U.S. Patent Application Ser. No. 09/739,565, filed December 15, 2000, in the name of Daniel Lin, Lisa R. Pozzi, Brian N. Sawyer, Gordon K. Gustafson, and Akber N. Zaidi, Attorney Docket No. 004816.P002, entitled "Analytical Tools for a Community of Investors Having Investment Portfolios"; and

(c) U.S. Patent Application Ser. No. 09/821,504, filed March 28, 2001, in the name of Brian N. Sawyer, Attorney Docket No. 004816.P003, entitled "Graphical User Interface for Filtering a Population of Items";

(2) all original and reissued Letters Patents that have been or shall be issued in the United States and in all foreign countries on any of said improvements; and in and to all counterpart, divisional, continuing, continuing-in-part, reissue, extension, substitution and renewal applications disclosing, claiming or relating to said improvements, and all other patent applications that have been filed or shall be filed in the United States and in any foreign countries, on any of said improvements; and in and to all rights attaching to said improvements; and

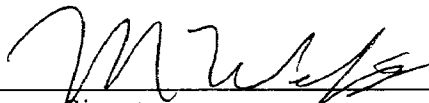
(3) all proceeds of said Letters Patents (including, but not limited to, all license royalties and proceeds of infringement suits); and in and to any and all causes of action (excepting claims upon the United States of America) for past, present, and future infringement of any of said Letters Patents, or relating to any inventions or discoveries described therein, including the right to collect royalties for all such infringements, the right to sue on all such causes of action for their own use and benefit and the use and benefit of their successors, assigns and legal representatives, and all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, the same to be held and enjoyed by the Assignee;

each and every of the foregoing rights, titles and interests herein assigned to be held and enjoyed by Assignee, its successors, assigns and legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor had this Assignment not been made.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which could conflict with this assignment, and that full right to convey said rights, titles and interest is possessed by the Assignor.

IN TESTIMONY WHEREOF, Assignor has caused this Assignment to be duly executed in its name and behalf by affixing its hand and seal thereto by its designated officer, director, or agent, whose name and title appear below.

MICHAEL J. WITZ


Signature

Name: Michael J. Witz

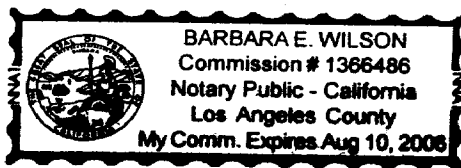
Date: 4/21/04

State of California)
) ss.
County of Los Angeles)

On this 21st day of April, 2004, at the hour of 2:15 p.m., Pacific Time, before me personally appeared MICHAEL J. WITZ, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

S E A L




Notary Public

My commission expires 8/10/2006