08-31-2004



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P08A/REV03

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Docket No.: 15436.266.2

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings → → →	<b>V V</b>	▼	▼	▼	▼	▼	
To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.							
Name of conveying     Charles Hu	g party(ies):	74.04	Name: 1	nd address of receiving  Finisar Corporation  1308 Moffett Park D		U.S. PTO 24691	
Additional names(s) of co	onveying party(ies)	☐ Yes ☒ No				10/6	
3. Nature of conveya	nce:						
☑ Assignment		Merger					
☐ Security Agreer	ment $\square$	Change of Name	City: Sur	nnyvale	State/Prov.:	<u>CA</u>	
Other			Country:	USA	ZIP: 94089	<del></del>	
Execution Date: Au	gust 23, 2004	Additional na	ame(s) & address(es)	☐ Yes 5	⊠ No		
Application number(s) or patent numbers(s):  If this document is being filed together with a new application, the execution date of the application is:  August 24, 2004							
Patent Application	No. Filing	В.	Patent No.(s)				
08/38/2004 SZEWDIE1 000 02 F3:8021	000009 10924691 40.00 D						
		Additional numbers	Ye:	s 🛛 No			
5. Name and address concerning docum	s of party to whom o ent should be maile	6. Total nur	6. Total number of applications and patents involved: 1				
Name: Eric L. Ma	aschoff	- 7. Total fee	7. Total fee (37 CFR 3.41):\$ 40.00				
Registration No. 36,596							
Address: 1000 Eagle Gate Tower				☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account			
60 East South Temp	ole	☐ Auth	☐ Authorized to be charged to deposit account				
			8. Deposit	account number:			
City: Salt Lake Cit	ySta	ate/Prov.: <u>UT</u>	23-3178				
Country: USA	ZIF	P: 84111	(Attach o	duplicate copy of this page i	if paying by deposit ac	count)	
0 Statement and =:=	noturo	DO NO	T USE THIS SPAC	Œ			
9. Statement and sig To the best of my of the original doct	knowledge and belie	ef, the foregoing infor	mation is true a	and correct and any att	lached copy is a t	rue copy	
Sara D. Jones		X/60	ia //	fores -	August 24, 2004		
Name of F	Person Signing	number of pages includes	Signature	[ 3	Date		
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PATENT APPLICATION

Docket No: 15436.266.2

Express Mailing Label No. EV 510 293 069 US

Workman Nydegger 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111

## ASSIGNMENT

I, Charles Hu of 278 Delano Avenue, San Francisco, California, 94112, have invented a method and apparatus entitled METHOD FOR ACTIVELY ALIGNING AN OPTOELECTRONIC DEVICE hereinafter called the "invention."

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, California 94089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to me by the Assignee, the receipt and sufficiency of which I hereby acknowledge, I HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and Foreign countries.

PATENT REEL: 015726 FRAME: 0736 The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

I hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behalf of the said Assignee, its successors, assigns and legal representatives.

I hereby agree, without further consideration and without expense to me, to sign all lawful papers and to perform all other lawful acts which the Assignce may request of me to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of my ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon my heirs and legal representatives.

Dated this Z day of August

United States Citizen

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RECORDED: 08/24/2004