

09-03-2004



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bel: EV 386942024 US  
PATENT

Practitioner's Docket No. 1582.36  
Customer No.: 24040

31.04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

Attached please find an assignment (document) for recordal.

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL  
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

OPR/PLA/03  
AUG 31 11 7:25

1. This assignment is for the following patent application and/or issued patent:
  - a. U.S. Patent Application Serial No 10/493,725, filed on 4/23/04 and PCT Application No.: PCT/JP03/00656, filed on January 24, 2003.

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE

2. A. The total number of applications and/or patents identified in this cover sheet is: 1.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

\_\_\_\_\_ deposited with the United States Postal Service in an envelope addressed to the Director of the United States Patent and Trademark Office,  
P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

\_\_\_\_\_ with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

as "Express Mail Post Office to Addressee"  
Mailing Label No. EV 386942024 US (mandatory)

TRANSMISSION

\_\_\_\_\_ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_.

*Ann McClow*

Signature

Ann McClow

(type or print name of person certifying)

Date: August 31, 2004

\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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B. The total fee is (37 C.F.R. Section 1.21(h)):

1 x \$40.00 = \$40.00

C. Payment of fee is made by:

Authorization is hereby made to charge the amount of \$40.00 to Deposit Account  
No. 13-1992

A duplicate of this paper is attached.

**NAME OF PARTIES CONVEYING INTEREST  
(37 C.F.R. § 3.31(a)(1))**

3. The parties conveying interest are:

Mitsuhiro SHIMOZAKI

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST  
(37 C.F.R. § (a)(2))**

4. The rights are being conveyed to:

SHINWA KABUSHIKI KAISHA  
12-17, Yayoi-cho 4-chome  
Nakano-ku, Tokyo 164-0013  
JAPAN

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))**

5. The accompanying document intends to accomplish an assignment.

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))**

6. Please address correspondence to:

Name: Dennis G. LaPointe  
Address: Mason Law, P.L.  
17757 U.S. Highway 19 North  
Suite 500  
Clearwater, FL 33764-6559  
Telephone No.: 1-727-538-3800

**DATE ASSIGNMENT (DOCUMENT) EXECUTED**

7. The attached assignment (document) was executed on May 19, 2004.

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

8. The attached document is in the English language.

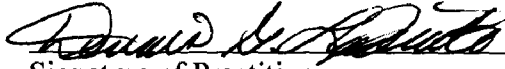
**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

9. Submitted herewith is the original document.

**STATEMENT (37 C.F.R. § 3.31(a)(9)) AND  
SIGNATURE (37 C.F.R. § 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 8/31/04



Signature of Practitioner

Dennis G. LaPointe

Mason Law, P.L.

17757 U.S. Highway 19 North

Suite 500

Clearwater, FL 33764-6559

Reg. No.: 40,693

Tel. No.: 1-727-538-3800

Customer No.: 24040

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

11. The total number of pages being submitted, **including documents** is: **5**.

Practitioner's Docket No. 1582.36

PATENT

For: U.S. Rights  
By: Inventor

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Print Name of Inventor Mitsuhiro SHIMOZAKI  
Country of Citizenship Japan  
Residence Gunma, Japan  
Post Office Address 768-8, Kokuwabara-cho, Tatebayashi-shi, Gunma 374-0037 JAPAN

hereby sells, assigns and transfers to

**ASSIGNEE:**

**SHINWA KABUSHIKI KAISHA**  
**12-17, Yayoi-cho 4-chome**  
**Nakano-ku, Tokyo 164-0013**  
**JAPAN**

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

**DISC DRIVE DEVICE**

and which is found in (37 C.F.R. Section 3.21) PCT Application No. PCT/JP03/00656, filed on January 24, 2003, as well as U.S. patent application serial number 10/493,725 filed on April 23, 2004 2004, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 19 day of  
MAY, 2004.

Date: 19.MAY.2004

Mitsuhiro Shimozaki  
Signature of Mitsuhiro SHIMÓZAKI

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Assignment of Invention--page 2 of 2

RECORDED: 08/31/2004

PATENT  
REEL: 015745 FRAME: 0065