



09-15-2004



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FORM PTO-1595 (modified)

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

9/10/04

To the Honorable Assistant Secretary and Commissioner of Patents and Trademarks: Please record the attached original document thereof.

1. Name of conveying party(ies): MITSUBISHI CHEMICAL CORPORATION Additional name(s) of conveying party(ies) attached? <u>NO</u>	2. Name and address of receiving party(ies): Name: <u>NIHON NOHYAKU CO., LTD.</u> Street Address: <u>2-5, Nihonbashi 1-chome, Chuo-ku,</u> <u>Tokyo, Japan</u> Additional name(s) & address(es) attached? <u>NO</u>
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>August 18, 2003</u>	

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) _____, filed _____ B. Patent No.(s) _____ 5,436,145, issued July 25, 1995 Additional numbers attached?	
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5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>WENDEROTH, LIND & PONACK, L.L.P.</u> <u>Attn: Warren M. Cheek, Jr., Esq.</u> Street Address: <u>2033 K Street, N.W., Suite 800</u> City: <u>Washington</u> , State: <u>DC</u> ZIP: <u>20006-1021</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41). \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed (Check No. <u>63992</u>) Authorized to be charged to deposit account 8. Deposit account number: <u>23-0975</u> (Attach duplicate copy of this page if paying by deposit account)
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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>
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Warren M. Cheek, Jr., Reg. No. 33,367
Name of Person Signing

Warren M. Cheek, Jr.
Signature

September 10, 2004
Date

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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09/14/2004 MGETACHE 00000098 5436145

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PATENT
REEL: 015766 FRAME: 0611

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: MITSUBISHI CHEMICAL CORPORATION
(Name of ASSIGNOR)

5-2, Marunouchi 2-chome, Chiyoda-ku, Tokyo, Japan
Address

having been assigned its right, title and interest in the invention by virtue of an Assignment

recorded at the U.S. Patent and Trademark Office on February 8, 1995

at Reel 7332, Frame 0577,

hereby sells, assigns and transfers to

ASSIGNEE: NIHON NOHYAKU CO., LTD.
(Name of ASSIGNEE)

2-5, Nihonbashi 1-chome, Chuo-ku, Tokyo, Japan
Address

and the successors, assigns and legal representatives of the ASSIGNEE

- (X) the entire right, title and interest
- () an undivided _____ percent (_____%) interest for the United States and its territorial possessions
- () and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

PROCESS FOR PREPARING 6-HYDROXY NITROGEN-CONTAINING

6-MEMBERED RING COMPOUNDS

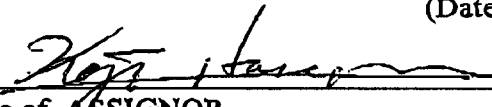
and which is found in

- (a) () U.S. patent application executed on even date herewith
- (b) () U.S. patent application executed on _____
- (c) () U.S. application serial no. _____ filed on _____
- (d) (X) U.S. patent no. 5,436,145 issued July 25, 1995
- () A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (e) () and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any re-issue or re-examination thereof,

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

IN WITNESS WHEREOF, I/WE have hereunto set hand and seal this 18th Aug. 2003
(Date of Signing)



Signature of ASSIGNOR

Koji HASEGAWA

(Type or print name of ASSIGNOR)

Associate Director

General Manager of Intellectual Property Department

Title

Witness 

Witness 