FORM PTO-15 (Rev. 06/04) (5/)
OMB No. 0651-127 (exp. 6/30/2405)

09-15-2004

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

	ARE HINE BINDI BINDI YEREN TROTTERI					
102836588						
To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.						
1. Name of conveying party(ies)/Execution Date(s):	2. Name and address of receiving party(ies):					
Mark Mathis, David Reuter, Lucas Gordon, Cruz Beeson, Garrett Beget, Frederick Stewart	Name: Cardiac Dimensions, Inc.					
beeson, Garrett beget, Frederick Glewart	Internal Address:					
Execution Date(s) <u>8/2/04</u> , <u>8/5/04</u> , <u>8/13/04</u> , <u>8/27/04</u>	9-8-04					
Additional name(s) of conveying party(ies) attached? Yes No	Street Address: <u>5540 Lake Washington Blvd. NE</u>					
3. Nature of conveyance:						
	City: Kirkland					
Security Agreement Change of Name	State: _CA					
☐ Government Interest Assignment ☐ Executive Order 9424, Confirmatory License	Country USA Zip 98033					
Other	Additional name(s) & address(es) attached? ☐ Yes ☒ No					
4. Application number(s) or patent number(s):	his document is being filed together with a new application.					
A. Patent Application No.(s) 10/840,188	B. Patent No.(s):					
	,					
	/					
Additional numbers atta	ched? 🗌 Yes 🗵 No					
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1					
Name: James R. Shay	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00					
Internal Address: Wilson Sonsini Goodrich & Rosati	Authorized to be charged by credit card					
Street Address: 650 Page Mill Road	Authorized to be charged to deposit account (29912-719.201)					
onostrianoss. <u>Goor ago minitoda</u>	☐ None required (government interest not affecting title)					
City: Palo Alto	8. Payment Information					
<u>State: CA</u> Zip: <u>94304-1050</u>	a. Credit Card Last 4 Numbers Expiration Date					
Phone Number: (650) 493-9300	B. Deposit account number: _23-2415					
Fax Number: (650) 493-6811	Authorized User Name Wilson Sonsini Goodrich & Rosati					
Email Address: jshay@wsgr.com	Additionaged Oser Harrie Vision Sonsilin Cooding a Trosati					
9. Signature.						
Signature	Date					
James R. Shay, Reg. No. 32,062	Total number of pages including cover ⊟					
Name of Person Signing	sheet, attachments, and documents:					
Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:						

09/14/2004 GTDH11 01 FC:8021

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PATENT REEL: 015771 FRAME: 0354

ASSIGNMENT OF APPLICATION		Docket Number 29912-719.201			
Whereas, the undersigned:					
1. MATHIS, Mark Fremont, CA 2. REUTER, David 3. GORDON, Lucas Vashon, WA		GET, Garrett 6. STEWART, Frederick thell, WA Bellevue, WA			
hereinafter termed "Inventors", have invented certain new and useful imp	rovements in				
DEVICE AND METHOD FOR MODIF	YING THE SHAPE OF A B	ODY ORGAN			
 ✓ for which an application for United States Patent was filed ☐ for which a United States Patent issued on, U.S. Patent 		No. <u>10/840,188</u> .			
WHEREAS, Cardiac Dimensions, Inc., a corporation of the State of Delaware, having a place of business at 5540 Lake Washington Blve. NE, Kirkland, WA 98033, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.					
NOW, THEREFORE, in consideration of good and valuable α from said Assignee:	onsideration acknowledged by	said Inventors to have been received in full			
1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.					
2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.					
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.					
4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.					
IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said assignee as of the dates written below: Date: Mark Mathis					
Date:	David Reuter				
Date:					
Date:	Lucas Gordon				
	Cruz Beeson				
Date:	Garrett Beget				
Date:	Frederick Stewart				

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ASSIGNMENT OF APPLICATION	Docket Number	29912-719.201			
Whereas, the undersigned:					
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NOW, THEREFORE, in consideration of good and valuable of from said Assignee:	consideration acknowledged by	said Inventors to l	nave been received in full		
1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.					
2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.					
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.					
4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.					
IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:					
Date:	Mark Mathis	7			
Date:	David Reuter	nde	we		
Date:	Lucas Gordon				
Date:					
Date:	Cruz Beeson				
Date:	Garrett Beget				
	Frederick Stewart		-		

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1. MATHIS, Mark Fremont, CA	2. REUTER, David Bothell, WA	3. GORDON, Lucas Vashon, WA	4. BEESON, Cruz 5. Sacramento, CA	BEGET, Garrett Bothell, WA	6. STEWART, Frederick Bellevue, WA	
hereinafter termed "Inv	entors", have invented of	ertain new and useful imp	rovements in			
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IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:						
Date:			Mark Mathis			
Date:			D. HD.			
Date: <u>A4645</u>	T2,200°	4	David Reuter Lucas Gordon	Yadon		
Date:			Cruz Beeson			
Date:			Garrett Beget			
Date:			Frederick Stewart			

	ASSIGNMENT	Γ OF APPLICATION	•		Docket Number	29912-719.201
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NOW, THER from said Assignee:	EFORE, in consideration	on of good and valuable c	onsideration acknowledg	ged by sa	aid Inventors to	have been received in full
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Date:			Mark Mathis			
Date:	<u> </u>		David Reuter			
Date:			David Reuter			
Date: 8/2=	7/2004	<u>/</u>	Lucas Gordon Cruz Beeson	»	m	
Date:			Garrett Beget		- · · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·
Date:			Frederick Stewart			
			Freuerick Stewart			

ASSIGNMENT OF APPLICATION	Docket Number 29912-719.201				
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NOW, THEREFORE, in consideration of good and valuable from said Assignee:	consideration acknowledged by	said Inventors to have been received in full			
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	Lucas Gordon				
Date: 8/2/04	Cruz Beeson Garrett Beget				
Date:	Frederick Stewart				

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Onder the Paperwork	(Reduction Act of 1993,	no persons are required	to respond to a conection of infor	nation diffess it displays a valid OMB conduct humber		
4	ASSIGNMENT OF	APPLICATION		Docket Number 29912-719.201		
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	UTER, David 3. thell, WA	GORDON, Lucas Vashon, WA		GET, Garrett 6. STEWART, Frederick hell, WA Bellevue, WA		
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Date:		_	Garrett Beget	7		
Date:		_	Frederick Stewart	ton / 8/13/04		

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PATENT REEL: 015771 FRAME: 0360

RECORDED: 09/08/2004