

Docket No: 112701-511

Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Ruguo Hu                      William F. Overbaugh  
Corey Arrick                    Ennio Bardin  
Eugene Scoville                Randall C. Chrisman  
John Bernardi  
Brita Frangsmyr Sheehan  
Additional name(s) of conveying party(ies) attached?    Yes    X    No

2. Name and address of receiving party(ies):  
Name: Nestec S.A.  
Internal Address: \_\_\_\_\_  
\_\_\_\_\_  
Street Address: Avenue Nestle 55.  
CH-1800 Vevey  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Country: Switzerland  
Additional name(s) & address(es) attached?    Yes    X    No

3. Nature of conveyance:  
 Assignment                       Merger  
 Security Agreement             Change of Name  
 Other \_\_\_\_\_  
Execution Date(s): 10/30/02; 10/24/02; 10/24/02;  
10/24/02; 11/15/02; 10/30/02; 8/11/02; and 10/28/02

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No.(s)  
11/001,060  
B. Patent No.(s)  
Additional numbers attached?     Yes     No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Robert M. Barrett, Esq.  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41).....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
02-1818  
(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Robert M. Barrett (30,142)                      February 23, 2005  
Name of Person Signing                      Signature                      Date

Total number of pages including cover sheet, attachments, and documents: 13

Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents, Mail Stop Assignments, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 021818 11001060

**ASSIGNMENT**

WHEREAS, We,

**Ruguo HU**, a citizen of China residing at 11 Concord Way, New Milford, Connecticut 06776,

**Corey ARRICK**, a citizen of the United States, residing at 91 Beard Drive, New Milford, Connecticut 06776,

**Eugene SCOVILLE**, a citizen of the United States, residing at 29 Cornwall Drive, New Milford, Connecticut 06776,

**John BERNARDI**, a citizen of the United States, residing at 73 Long Mountain Road, New Milford, Connecticut 06776,

**Brita Frangsmyr SHEEHAN**, a citizen of Sweden, residing at 34 Beard Drive, New Milford, Connecticut 06776,

**William F. OVERBAUGH**, a citizen of the United States, residing at 6 Burgess Road, Lakeside, Connecticut 06758,

**Ennio BARDIN**, a citizen of Switzerland, residing at Chemin de Floréal 2 bis, Orbe, Switzerland, and

**Randall C. CHRISMAN**, a citizen of the United States, residing at 325 Sanford Road, Southbury, Connecticut 06488,

ASSIGNORS, are the inventors of the invention in **METHOD AND DEVICE FOR PREPARING A HOT BEVERAGE**, for which we have executed an application for a Patent of the United States

- which is identified by Winston & Strawn Docket No. 88265-7016
- which was filed on September 20, 2002 as Application No. 10/247,377

WHEREAS, **NESTEC S.A.**, a Swiss corporate body having a place of business at Avenue Nestlé 55, 1800 Vevey, Switzerland, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and

continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention.

IN WITNESS WHEREOF, the undersigned inventors have affixed their signatures.

Oct 30, 2002

Date

Ruguo HU

Ruguo HU

STATE OF Connecticut )  
COUNTY OF Litchfield ) ss.: New Milford

On this 30<sup>th</sup> day of October, 2002, before me appeared **Ruguo HU** to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

October 30, 2002

Date

Margaret N. Kaswer

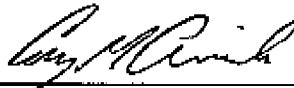
Notary Public

MARGARET N. KASWER  
NOTARY PUBLIC

My Commission expires MY COMMISSION EXPIRES DEC. 31, 2004

10.24.2002

Date



Corey ARRICK

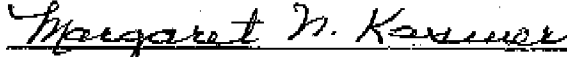
STATE OF Connecticut )

COUNTY OF Litchfield ) ss.: New Milford

On this 24<sup>th</sup> day of October, 2002, before me appeared Corey ARRICK and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

October 24, 2002

Date



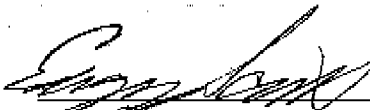
Notary Public

MARGARET N. KASWER  
NOTARY PUBLIC

My Commission expires MY COMMISSION EXPIRES DEC. 31, 2004

October 24, 2002

Date



Eugene SCOVILLE

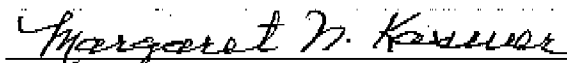
STATE OF Connecticut )

COUNTY OF Litchfield ) ss.: New Milford

On this 24<sup>th</sup> day of October, 2002, before me appeared Eugene SCOVILLE, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

October 24, 2002

Date



Notary Public

MARGARET N. KASWER  
NOTARY PUBLIC

My Commission expires MY COMMISSION EXPIRES DEC. 31, 2004

10/24/02

John Bernardi  
John BERNARDI

Date

STATE OF Connecticut )  
COUNTY OF Litchfield ) ss.: New Milford

On this 24<sup>th</sup> day of October, 2002, before me appeared **John BERNARDI**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

October 24, 2002

Margaret N. Kaswer

Date

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004

My Commission expires \_\_\_\_\_

11/15/02

Brita Frangsmyr Sheehan

Date

Brita Frangsmyr SHEEHAN

STATE OF CALIFORNIA )  
COUNTY OF KERN ) ss.:

On this 15<sup>th</sup> day of November, 2002, before me appeared **Brita Frangsmyr SHEEHAN**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

November 15, 2002

Karen Naomi Burns

Date

Notary Public

My Commission expires \_\_\_\_\_



10/30/02  
Date

William F. Overbaugh  
William F. OVERBAUGH

STATE OF Connecticut )  
COUNTY OF Hitchfield ) ss.: New Milford

On this 30<sup>th</sup> day of October, 2002, before me appeared **William F. OVERBAUGH**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

October 30, 2002  
Date

Margaret N. Kaswer  
Notary Public

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 2004

My Commission expires \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Ennio BARDIN**

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **Ennio BARDIN**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Witness

10-28-02

Randall C Chrisman

Date

**Randall C. CHRISMAN**

STATE OF Connecticut )

COUNTY OF Litchfield ) ss.: New Milford

On this 28<sup>th</sup> day of October, 2002, before me appeared **Randall C. CHRISMAN**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

October 28, 2002

Margaret N. Kaswer

Date

Notary Public

**MARGARET N. KASWER**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES DEC. 31, 2004

My Commission expires

**ASSIGNMENT**

WHEREAS, We,

**Ruguo HU**, a citizen of China residing at 11 Concord Way, New Milford, Connecticut 06776,

**Corey ARRICK**, a citizen of the United States, residing at 91 Beard Drive, New Milford, Connecticut 06776,

**Eugene SCOVILLE**, a citizen of the United States, residing at 29 Cornwall Drive, New Milford, Connecticut 06776,

**John BERNARDI**, a citizen of the United States, residing at 73 Long Mountain Road, New Milford, Connecticut 06776,

**Brita Frangsmyr SHEEHAN**, a citizen of Sweden, residing at 34 Beard Drive, New Milford, Connecticut 06776,

**William F. OVERBAUGH**, a citizen of the United States, residing at 6 Burgess Road, Lakeside, Connecticut 06758,

**Ennio BARDIN**, a citizen of Switzerland, residing at Chemin de Floréal 2 bis, Orbe, Switzerland, and

**Randall C. CHRISMAN**, a citizen of the United States, residing at 325 Sanford Road, Southbury, Connecticut 06488,

ASSIGNORS, are the inventors of the invention in **METHOD AND DEVICE FOR PREPARING A HOT BEVERAGE**, for which we have executed an application for a Patent of the United States

- which is identified by Winston & Strawn Docket No. 88265-7016
- which was filed on September 20, 2002 as Application No. 10/247,377

WHEREAS, **NESTEC S.A.**, a Swiss corporate body having a place of business at Avenue Nestlé 55, 1800 Vevey, Switzerland, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and



continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention.

IN WITNESS WHEREOF, the undersigned inventors have affixed their signatures.

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Ruguo HU**

STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **Ruguo HU** to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Notary Public

My Commission expires \_\_\_\_\_

Date

**Corey ARRICK**

STATE OF )

COUNTY OF ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **Corey ARRICK** and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date

Notary Public

My Commission expires \_\_\_\_\_

Date

**Eugene SCOVILLE**

STATE OF )

COUNTY OF ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **Eugene SCOVILLE**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date

Notary Public

My Commission expires \_\_\_\_\_

Date

**John BERNARDI**

STATE OF )

COUNTY OF ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **John BERNARDI**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date

Notary Public

My Commission expires \_\_\_\_\_

Date

**Brita Frangsmyr SHEEHAN**

STATE OF )

COUNTY OF ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **Brita Frangsmyr SHEEHAN**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date

Notary Public

My Commission expires \_\_\_\_\_

Date

**William F. OVERBAUGH**

STATE OF )

COUNTY OF ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **William F. OVERBAUGH**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

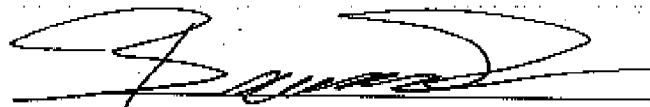
Date

Notary Public

My Commission expires \_\_\_\_\_

Date

8/11/2002

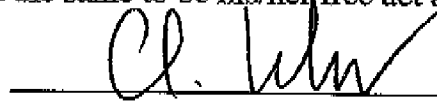


**Ennio BARDIN**

On this 8 day of November, 2002, before me appeared **Ennio BARDIN**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/hen free act and deed.

Date

8/11/2002



Witness

Date

**Randall C. CHRISMAN**

STATE OF )

COUNTY OF ) ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me appeared **Randall C. CHRISMAN**, to me known and known to me to be the person of that name, who signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date

Notary Public

My Commission expires \_\_\_\_\_