

03-14-2005

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RE

MRD 3-2-05

102858098

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Vivek Mehrotra
Ira Goldberg

Execution Date(s) April 22, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other CORRECTION OF ASSIGNEE

2. Name and address of receiving party(ies)

Name: Rockwell Scientific Licensing LLC

Internal Address: _____

Street Address: P.O. Box 1085, Mail Code A5

City: Thousand Oaks

State: California

Country: USA Zip: 91360

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
10/837,552☐ This document is being filed together with a new application.
B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Koppel, Jacobs, Patrick & Heybl

Internal Address: _____

Street Address: 555 St. Charles Drive, Ste 107

City: Thousand Oaks

State: CA Zip: 91360

Phone Number: (805) 373-0060

Fax Number: (805) 373-0051

Email Address: generalmail@koppelpatent.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 18-1750

Authorized User Name Richard S. Koppel

9. Signature:

Signature

February 28, 2005

Date

Michael J. Ram

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

05-10-2004

Revised Form PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

102741847
PATENTS ONLY

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U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:
Vivek Mehrotra and Ira Goldberg

4-30-04

2. Name and address of receiving parties:

Name: ROCKWELL SCIENTIFIC LICENSING LLC

Internal Address:

Street Address: Mail Code A15, 1049 Camino dos Rios

City: Thousand Oaks State CA 91358

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 22, 2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number:

If this document is being filed together with a new application, the execution date of the application is: April 22, 2004

A. Patent Application:

10837552

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael J. Ram

Internal Address: Koppel & Jacobs

Street Address: 555 St. Charles Drive, Suite 107

City: Thousand Oaks State: CA Zip: 91360

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account.

8. Deposit account number: 18-1750

☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required or credit any overpayment to Deposit Account No. 11-1580

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Michael J. Ram

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Record 01SC086US6

Docket No 01SC080US6

ASSIGNMENT

04/24/04 Vm
We, Vivek Mehrotra, residing at 1800 W. Hill Crest Dr #302 ^{Newbury Park} ~~Thousand~~ Oaks, California 91320 and Ira Goldberg residing at Thousand Oaks, California (hereinafter "Inventors"), represent that we have invented improvements in a new and useful SYNTHESIS OF MAGNETIC, DIELECTRIC OR PHOSPHORESCENT NANO COMPOSITES (hereinafter the "Invention"). The Invention has been disclosed to Rockwell Scientific Licensing, LLC, P.O. Box 1085 Mail Code A5, Thousand Oaks, California, 91358-0085 and identified as Docket No. 01SC080US6. The invention is covered by a patent application executed and filed concurrently herewith.

It is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Inventor has sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto Rockwell Scientific Licensing, LLC the entire right, title, and interest in and to the Invention and the patent application for the territory of the United States of America and for all foreign countries; the Invention and application to be held and enjoyed by Rockwell Scientific Licensing, LLC, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on the same are granted, as fully and entirely as the same would have been held by the Inventor had this Assignment and sale not been made.

FURTHER, the Inventors hereby agree to sign all lawful papers necessary or helpful in obtaining patents, whether U.S. or foreign, on the Invention, including any divisional, continuation, substitution, or reissue applications.

EXECUTED at Thousand Oaks County of Ventura, and State of California this 22 day of April, 2004

Vivek Mehrotra
Vivek Mehrotra

Ira Goldberg
Ira Goldberg

STATE OF CALIFORNIA)
)ss.
COUNTY OF VENTURA)

On April 22, 04 before me, Marissa Zaragoza, a Notary Public in and for said State, personally appeared Vivek Mehrotra and ~~Ira Goldberg~~ personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to this instrument, and acknowledged to me that they executed it.



WITNESS my hand and official seal.

Marissa Zaragoza
Notary Public

01SC086
Docket No 01SC080US6

ASSIGNMENT

We, Vivek Mehrotra, residing at _____, Thousand Oaks, California _____ and Ira Goldberg residing at 59 Westbury Ct, Thousand Oaks, California 91360 (hereinafter "Inventors"), represent that we have invented improvements in a new and useful SYNTHESIS OF MAGNETIC, DIELECTRIC OR PHOSPHORESCENT NANO COMPOSITES (hereinafter the "Invention"). The Invention has been disclosed to Rockwell Scientific Licensing, LLC, P.O Box 1085 Mail Code A5, Thousand Oaks, California, 91358-0085 and identified as Docket No. 01SC080US6. The invention is covered by a patent application executed and filed concurrently herewith.

It is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Inventor has sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto Rockwell Scientific Licensing, LLC the entire right, title, and interest in and to the Invention and the patent application for the territory of the United States of America and for all foreign countries; the Invention and application to be held and enjoyed by Rockwell Scientific Licensing, LLC, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on the same are granted, as fully and entirely as the same would have been held by the Inventor had this Assignment and sale not been made.

FURTHER, the Inventors hereby agree to sign all lawful papers necessary or helpful in obtaining patents, whether U.S. or foreign, on the Invention, including any divisional, continuation, substitution, or reissue applications.

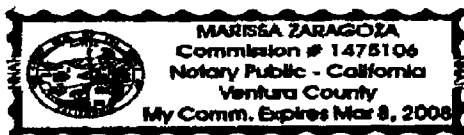
EXECUTED at Thousand Oaks, County of Ventura, and State of California this 22 day of April 22, 2004

Vivek Mehrotra

Ira B. Goldberg
Ira Goldberg

STATE OF CALIFORNIA)
)ss.
COUNTY OF VENTURA)

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WITNESS my hand and official seal.

Marissa Zaragoza
Notary Public