Docket No.: YOR920040236US1 09-16-2004 FORM PTO-1595 (Modified) EET U.S. DEPARTMENT OF COMMERCE (Rev. 03-01) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08AREV03 102838135 Tab settings → → To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof. Name of conveying party(ies): 2. Name and address of receiving party(ies): Minhua Lu Lawrence S. Mok Name: International Business Machines Corporation Address: New Orchard Road ☐ Yes 🏻 No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ★ Assignment ☐ Merger ☐ Security Agreement City: Armonk State/Prov.: NY ☐ Change of Name □ Other Country: USA ZIP: 10504 Execution Date: 8/23/04 & 8/23/04 ☐ Yes Additional name(s) & address(es) ⊠ No 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: 8/23/04 & 8/23/04 Patent Application No. Filing date B. Patent No.(s) 9-2-04 ☐ Yes 🛛 No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Customer No. 00877 7. Total fee (37 CFR 3.41):....\$ 40.00 Enclosed - Any excess or insufficiency should be Registration No. credited or debited to deposit account Address: _ Authorized to be charged to deposit account 09/15/2004 GTON11 00000120 500510 10933051 8. Deposit account number: 40.00 DA 01 FC:8021 50-0510 State/Prov.: _____ (Attach duplicate copy of this page if paying by deposit account) ZIP: Country: ___ DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 08/30/2004 Date Jack P. Fredna Jack P. Friedman, Reg. No. 44,688 Name of Person Signing Total number of pages including cover sheet, attachments, and Mail documents to be recorded with required cover sheet information to: Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office

> PATENT REEL: 015781 FRAME: 0654

(JOINT FORM)

Assignment

Whereas, we

INVENTOR AND CITY

(1) Minhua Lu

County of Westchester

of Mohegan Lake

and State of New York

INVENTOR

AND CITY

(2) Lawrence S. Mok County of Putnam of Brewster

and State of New York

have invented certain improvements in

COOLING OF SUBSTRATE USING INTERPOSER CHANNELS

and executed, respectively, a United States patent application therefor on

DATES THAT INVENTORS SIGNED THE DECLARATION (1) 8/23/04 2004, and (2) 8/23/04 2004.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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(JOINT FORM)

YOR920040236US1

Signed and sealed

CITY AND DATE

(1) at Yorktown Heights, NY on Aug. 23, 2004,

Lи FIRST NAME MIDDLE INITIAL

MIDDLE INITIAL

LAST NAME

CITY AND DATE

(2) at YORK 70 WN HZIGHTS, NY on Jug. 23, 2004,

AUG 23 '04 17:37

RECORDED: 09/02/2004

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