

09-17-2004



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9-9-04

Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patents and Trademarks

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard E. Livesay  
Glen A. Spindel

Additional name of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

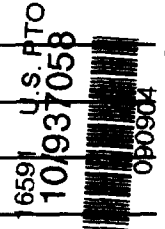
Name: Caterpillar, Inc.

Internal Address: \_\_\_\_\_

Street Address: 100 NE Adams Street

City: Peoria State: IL Zip: 61629

Additional Name(s) & address(es) attached?  Yes  No



3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: 08/30/04; 08/31/04

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 08/30/04; 08/31/04

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Michael B. McNeil

Internal Address: \_\_\_\_\_

Street Address: 511 S. Madison Street

P.O. Box 2417

City: Bloomington State: IN Zip: 47402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) . . . . . \$ 40

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

500226

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. McNeil  
Name of Person Signing

*Michael B. McNeil*  
Signature

09  
09/08/04  
Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Alexandria, VA 22313-1450

04-320  
09/16/2004 6TDW11 00000025 10937058

11 EC:8021

40.00 DP

ASSIGNMENT

WHEREAS, Richard E. Livesay, residing at Peoria, Illinois, hereinafter referred to ASSIGNOR has invented together with Glen A. Spindel a new and useful

VERTICAL IDLER ADJUSTER FOR TRACK TYPE WORK MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on Aug. 30, 2004; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Richard E. Livesay  
RICHARD E. LIVESAY

30AUG04  
Date

IN WITNESS OF the execution hereof by RICHARD E. LIVESAY we have hereunto set our hands.

Ronald O. Smith Aug. 31, 2004  
Witness (1) Date

Colin Graham Aug. 31, 2004  
Witness (2) Date

Address:  
P.O. Box 283  
MASSVILLE, IL 61552

Address:  
3908 WALROUND  
PEORIA, IL 61615

ASSIGNMENT

WHEREAS, Glen A. Spindel, residing at Divernon, Illinois, hereinafter referred to as ASSIGNOR has invented together with Richard E. Livesay a new and useful

VERTICAL IDLER ADJUSTER FOR TRACK TYPE WORK MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on Aug. 31, 2009; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

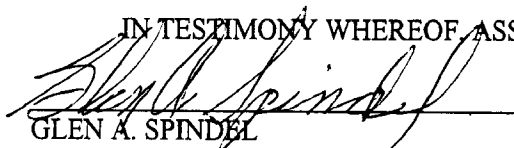
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

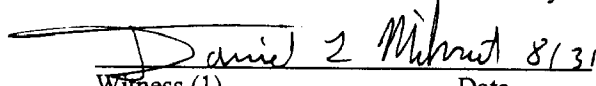
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

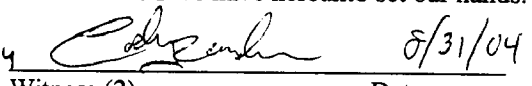
IN TESTIMONY WHEREOF ASSIGNOR has executed this instrument.

  
GLEN A. SPINDEL

AUG 31 2009  
Date

IN WITNESS OF the execution hereof by GLEN A. SPINDEL we have hereunto set our hands.

  
Witness (1) Date 8/31/09  
Address: Daniel Mikrut  
20704 Deer Bluffs DR  
Chillicothe IL 61523

  
Witness (2) Date 8/31/09  
Address: CODY RENSHAW  
3908 WALROUND  
PEORIA, IL 61615

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