

09-15-04

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FORM PTO-1595

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SEP 14 2004

09-20-2004

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



102839385

d original documents or copy thereof.

To the Honorable Commissioner of Patent

1. Name of Conveying Party(ies): ALL INDIVIDUALS

T. Erik Mirkov

2910 LaCosta Court

Harlingen, Texas 78550

Citizenship: United States of America

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: 1st Inventor: 8/26/04; 2nd Inventor: 9/2/04

3rd Inventor: 8/16/04; 4th Inventor: 9/2/04; 5th Inventor: 8/20/04

6th Inventor: 8/20/04; and 7th Inventor: 8/16/04

2. Name and Address of receiving Party(ies):

Name: The Texas A&amp;M University System

Street Address:

3369 TAMU

City: College  
Station

State: Texas

Zip: 77843-3369

Additional name(s) &  
address(es) attached?☐ Yes☒ No☐

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application Serial No.

10/751,612 Filed January 5, 2004

B. Patent No.(s)

Additional Number(s) attached?

☐ Yes☒ No☐5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Michelle M. LeCointe

Internal Address: Baker Botts L.L.P.

Street Address: 800 Trammell Crow Building  
2001 Ross Avenue, Suite 600

City: Dallas

State: Texas Zip: 75201-2980

6. Total number of applications and patents involved: One

7. Total Fee (37 CFR 3.41): \$40.00

☒

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit account number:

No. 02-0384 of Baker Botts L.L.P.

(Attach Duplicate Copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Michelle M. LeCointe

Name of Person Signing

Signature

Date

Total number of pages including cover sheet

10

OMB No. 0651-0011 (exp. 4/94)

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Section 1 - Name of conveying parties

Additional names (individual)

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Citizenship: India

Siva Prasad Kumpatla

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Zionsville, Indiana 46077

Citizenship: United States of America

### ASSIGNMENT

**WHEREAS**, I, the undersigned joint inventor of residence as listed, having invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been executed on the date set forth below; and

**WHEREAS**, The Texas A&M University System (hereinafter referred to as "Assignee"), a non-profit organization and an agency of the State of Texas, with an address of 3369 TAMU, College Station, Texas 77843-3369, desires to acquire my entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

**NOW, THEREFORE**, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I assign to Assignee, all right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to Assignee.

I also assign to Assignee, all right, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

I will communicate to Assignee any facts known to me respecting any improvements; and, at the expense of Assignee, I will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention:

**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

Serial No.:

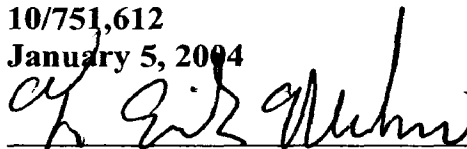
**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:

Inventor's Name:



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Address:

2910 LaCosta Court  
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Date Assignment executed

8/26/04

Date Application (Declaration) executed

8/26/04

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**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

Serial No.:

**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:

*Damaj Mona*

Inventor's Name:

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1501 Harvey Road, Apt. 828  
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Date Assignment executed

*September 2nd, 2004*

Date Application (Declaration) executed

*September 2nd, 2004*

Title of Invention:

**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

Serial No.:

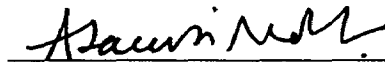
**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:

Inventor's Name:



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Date Assignment executed

8/16/04

Date Application (Declaration) executed

8/16/04

Title of Invention:

**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

Serial No.:

**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:



Inventor's Name:

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Date Assignment executed

09/02/04

Date Application (Declaration) executed

09/02/04

Title of Invention:

**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

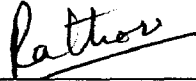
Serial No.:

**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:



Inventor's Name:

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Date Assignment executed

Aug. 20, 2004

Date Application (Declaration) executed

Aug. 20, 2004

Title of Invention:

**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

Serial No.:

**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:



Inventor's Name:

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August 20, 2004

Date Application (Declaration) executed

August 20, 2004

Title of Invention:

**STEM-REGULATED, PLANT DEFENSE  
PROMOTER AND USES THEREOF IN  
TISSUE-SPECIFIC EXPRESSION IN  
MONOCOTS**

Serial No.:

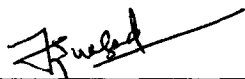
**10/751,612**

Filing Date:

**January 5, 2004**

Signature of Inventor:

Inventor's Name:

  
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Date Assignment executed

8/16/04

Date Application (Declaration) executed

8/16/04