



09-16-2004

FILE NO. A30339-B

RECORDATION FOR
PATENTS



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9-14-04

1. Name of conveying party(ies): Shanta M. Modak, Lester Sampath Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	2. Name and address of receiving party(ies) Name: The Trustees Of Columbia University In The City Of New York Address: 116th Street & Broadway City: New York State: NY Zip: 10027 Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: May 24, 2001	

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s) **09/758,930 filed January 11, 2001**

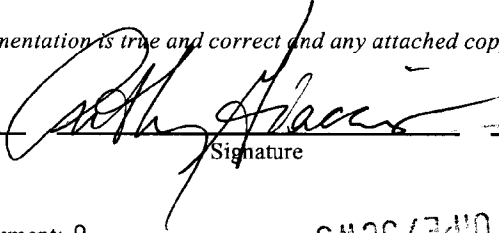
B. Patent No.(s)

Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Anthony Giaccio, Esq. BAKER BOTTS L.L.P. 30 Rockefeller Plaza - 44 Fl. New York, NY 10112	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41): \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number : 02-4377 (Charge any additional fees to this account) (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.

Anthony Giaccio (PTO Reg. No. 39,684)  **June 1, 2001**

Name of Person Signing Signature Date

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Total number of pages including cover sheet, attachments and document: 9

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

10. Name of conveying party(ies):

Lauserpina A. Caraos

11. Application number(s) or registration number(s):

A. Patent Application No.(s)

B. Patent Registration No.(s)

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Commissioner of Patents and Trademarks
Box Assignments
Washington, DC 20231

ASSIGNMENT

WHEREAS, WE, SHANTA M. MODAK, LESTER SAMPATH, and LAUSERPINA A. CARAOS, all citizens of the United States, having post office addresses at 184 Howland Avenue, River Edge, New Jersey 07661, 7 Lawrence Street, Nyack, New York, and 89-19 184th Street, Hollis, New York 11423C, respectively, have made an invention entitled "TRIPLE ANTIMICROBIAL COMPOSITION" as set forth and described in application for Letters Patent of the United States, Serial No. 09/758,930, filed January 11, 2001; and

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK (hereinafter "COLUMBIA"), having its principal place of business at 116th Street & Broadway, New York, New York 10027, is desirous of acquiring the whole right, title and interest in and to said Letters Patent and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said, SHANTA M. MODAK, LESTER SAMPATH, and LAUSERPINA A. CARAOS for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said COLUMBIA, and other valuable considerations unto us moving from said COLUMBIA, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said COLUMBIA, its successors and assigns, the entire right, title and interest in and to the said invention entitled "TRIPLE ANTIMICROBIAL COMPOSITION" as set forth and described in application for Letters Patent of the United States, Serial No. 09/758,930, filed January 11, 2001, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said, SHANTA M. MODAK, LESTER SAMPATH, and LAUSERPINA A. CARAOS do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said COLUMBIA, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said COLUMBIA, its successors and assigns;

AND WE, the said, SHANTA M. MODAK, LESTER SAMPATH, and LAUSERPINA A. CARAOS for the considerations aforesaid, do hereby covenant and agree to and with said COLUMBIA, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall

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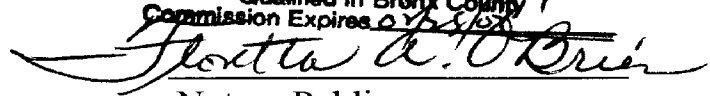

LESTER SAMPATH

STATE OF NEW YORK)

: SS.:

COUNTY OF NEW YORK)

BE IT KNOWN, that on this 24th day of May, 2001, before me personally came LESTER SAMPATH, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

FLORETTA A. O'BRIEN
Notary Public, State of New York
No. 03-4619838
Qualified in Bronx County
Commission Expires 07/25/03

Notary Public

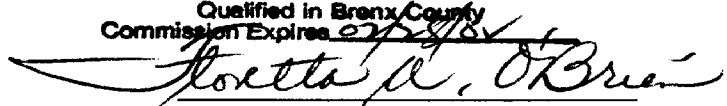

LAUSERPINA A. CARAOS

STATE OF NEW YORK)

: SS.:

COUNTY OF NEW YORK)

BE IT KNOWN, that on this 24th day of May, 2001, before me personally came LAUSERPINA A CARAOS, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and she acknowledged to me that she executed the same as her free act and deed for the use and purposes therein mentioned.

FLORETTA A. O'BRIEN
Notary Public, State of New York
No. 03-4619838
Qualified in Bronx County
Commission Expires 07/25/03

Notary Public