

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

09-22-2004

SHEET

U.S. Department of Commerce
Patent and Trademark Office



102840699

To the Honorable Commissioner of Patents and Trademarks: Please record the assignment, transmission, or copy thereof

1. Name of conveying party(ies):

Raymond P. Warrell, Jr.

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution date(s): February 27, 2004.

2. Name and address of receiving party(ies)

Name: Genta Incorporated

Address: Two Connell Drive
Berkeley Heights, New Jersey 07922

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application numbers or patent numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Applications: 10/738,867

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph A. Coppola, Esq.

Internal Address: KENYON & KENYON

Street Address: One Broadway

City: New York State: New York ZIP: 10004

6. Total number of applications and patents involved: 01

7. Total fee (37 C.F.R. 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0600

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, he foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph A. Coppola (Reg. No. 38,413)
Name of Person Signing

Joseph A. Coppola
Signature

September 17, 2004
Date

Total Number of pages comprising cover sheet and document: 03

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Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

09/21/2004 ECOOPER 00000037 110600 10738867

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ASSIGNMENT

WHEREAS, I,

Raymond P. WARRELL, Jr.
6 Kimball Circle
Westfield, New Jersey 07090
United States of America

Citizenship: United States

have made new and useful inventions and discoveries in **METHODS OF TREATMENT OF A BCL-2 DISORDER USING BCL-2 ANTISENSE OLIGOMERS**, for which an application for Letters Patent was filed with the United States Patent and Trademark Office as U.S. Serial No. 10/738,867, on December 16, 2003; and

WHEREAS **Genta Incorporated**, a corporation organized and existing under the laws of the state of **Delaware**, and having a place of business at **Two Connell Drive, Berkeley Heights, New Jersey 07922**, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

1. Assign, transfer, and convey to Assignee my entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

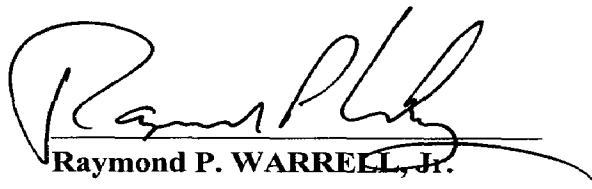
2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance; and that I am aware of no claim to the contrary.

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration or litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 27th day of Feb, 2004.


Raymond P. WARRELL, Jr.