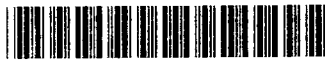


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9-17-04

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jun Gao (5-28-2004)

Michael Sailor (5-24-2004)

Sangeeta Bhatia (5-20-2004)

Christopher Flaim (5-20-2004)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: \_\_\_\_\_

Street Address: 1111 Franklin Street, 5th Floor

City: Oakland State: CA Zip: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: May 28, 24 and 20, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

10/838,859

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven P. Fallon, Esq.

Internal Address: Greer, Burns & Crain, Ltd.

Docket No. 0321.

Street Address: 300 South Wacker Drive, 25th Floor

City: Chicago State: IL Zip: 60606

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

07-2069

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9. Signature.

Steven P. Fallon, Reg. No. 35,132

Name of Person Signing

0321.67549

Signature

September 13, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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PATENT  
REEL: 015799 FRAME: 0015

**ASSIGNMENT**

WHEREAS, JUN GAO, a citizen of China, residing at 694 Roosevelt Drive, Kingston, Ontario K7M 8V3, Canada, MICHAEL J. SAILOR, a citizen of the United States, residing at 3303 Caminito Eastbluff #178, La Jolla, California 92037, United States, SANGEETA BHATIA, a citizen of the United States, residing at 7629 Girard Avenue #202, La Jolla, California 92037, United States, and CHRISTOPHER FLAIM a citizen of the United States, residing at 3708 Almond Blossom Court, Modesto, California 95356, United States, referred to as ASSIGNORS, have invented and own a certain invention entitled:

**DIRECT PATTERNING OF SILICON BY  
PHOTOELECTROCHEMICAL ETCHING**

for which application for Letters Patent of the United States has been filed on May 4, 2004, and accorded Serial No. 10/838,859;

WHEREAS, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a non-profit organization of the State of California having a place of business at 1111 Franklin Street, 5th Floor, Oakland, California 94607-5200, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in, to and under said invention and any United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR also assigns all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to

Letters Patent as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date May 28, 2004

Jun Gao  
Jun Gao

Date \_\_\_\_\_

\_\_\_\_\_  
Michael J. Sailor

Date \_\_\_\_\_

\_\_\_\_\_  
Sangeeta Bhatia

Date \_\_\_\_\_

\_\_\_\_\_  
Christopher Flaim

ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date \_\_\_\_\_

\_\_\_\_\_  
Jun Gao

Date 5/24/04

  
\_\_\_\_\_  
Michael J. Sailor

Date \_\_\_\_\_

\_\_\_\_\_  
Sangeeta Bhatia

Date \_\_\_\_\_

\_\_\_\_\_  
Christopher Flaim

ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

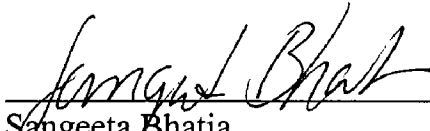
Date \_\_\_\_\_

\_\_\_\_\_  
Jun Gao

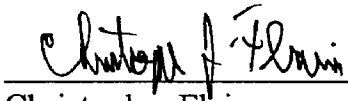
Date \_\_\_\_\_

\_\_\_\_\_  
Michael J. Sailor

Date 5/20/04

  
Sangeeta Bhatia

Date 5/20/04

  
Christopher Flaim