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1. A. Name of conveying parties:  
1. Tetsuro MIZUSHIMA  
2. Jiro YOSHINARI

2. A. Name and address of receiving party:  
TDK CORPORATION  
1-13-1, NIHONBASHI, CHUO-KU,  
TOKYO, 103-8272 JAPAN

B. Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

3. A. Nature of conveyance:

☒ Assignment ☐ Merger  
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☐ Yes ☒ No

B. Execution Date: May 18, 2004-all

10498216

4. A. If this document is being filed together with a new application, the execution date of the application is: May 18, 2004-all

B. Patent Application No.(s) \_\_\_\_\_

C. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Oliff

Address: **OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, VA 22320**

6. Total number of applications and patents involved: 1

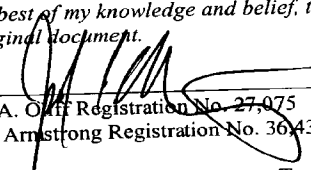
7. A. Total fee (37 CFR 3.41).....\$ 40.00

B. Enclosed (Check No. 154931)

8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
James A. Oliff Registration No. 27,075  
Joel S. Armstrong Registration No. 36,430

Date: June 10, 2004

Total number of pages including cover sheet, attachments, and document: 2

# **ASSIGNMENT**

(1-8) **Insert Name(s) of Inventor(s)**

(1) Tetsuro MIZUSHIMA (5) \_\_\_\_\_

(2) Jiro YOSHINARI (6) \_\_\_\_\_

(3) \_\_\_\_\_ (7) \_\_\_\_\_

(4) \_\_\_\_\_ (8) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9) **Insert Name of Assignee** (9) TDK Corporation

(10) **Insert Address of Assignee** (10) 1-13-1, Nihonbashi, Chuo-ku, Tokyo, 103-8272, Japan

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, substitute, and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) **Insert Identification such as Title, Case Number, or Foreign Application Number** (11) Recording and reproducing method for optical recording medium and optical recording medium

(Attorney Docket No. \_\_\_\_\_ )

for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith or

(12) **Insert Date of Signing of Application** (12) on \_\_\_\_\_

(13) **Alternative Identification for filed applications** (13) U.S. application Serial Number \_\_\_\_\_  
filed \_\_\_\_\_

- 1) Each undersigned agrees to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of **OLIFF & BERRIDGE, PLC** the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

|      |                     |                    |   |
|------|---------------------|--------------------|---|
| Date | <u>May 18, 2004</u> | Inventor Signature | <u>Tetsuro Mizushima</u> (Tetsuro MIZUSHIMA) (SEAL) |
| Date | <u>May 18, 2004</u> | Inventor Signature | <u>Jiro Yoshinari</u> (Jiro YOSHINARI) (SEAL)       |
| Date | _____               | Inventor Signature | _____ (SEAL)  |
| Date | _____               | Inventor Signature | _____ (SEAL)  |
| Date | _____               | Inventor Signature | _____ (SEAL)  |
| Date | _____               | Inventor Signature | _____ (SEAL)  |
| Date | _____               | Inventor Signature | _____ (SEAL)  |
| Date | _____               | Inventor Signature | _____ (SEAL)  |

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

|      |                     |         |                         |
|------|---------------------|---------|-------------------------|
| Date | <u>May 18, 2004</u> | Witness | <u>Kazuki Suzawa</u>    |
| Date | <u>May 18, 2004</u> | Witness | <u>Seisuke Kitamura</u> |