F@M	FTO-1595 6-93)	09-23-2004		R SHEET  U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office			
OMB No. 6651-0011 (exp. 4/94)				Express Mail No. EV132197390US		7390US	
	102842770					CF-91	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof							
1.	Name of conveying party	(ies):	2.	Name and address of re	ceiving party(ies)	J.S.F 057	
	Richard P. Goodman     Michael Sweeting	9-13-04		Name: <u>eSpeed, Inc</u> Internal Address:		22154 U	
Additional name(s) of conveying party(ies) attached?   Yes  No							
3.	· · · · · · · · · · · · · · · · · · ·			Street Address: 135 East 57th Street			
	☐ Assignment ☐ Security Agreement			5 <sup>th</sup> Floor			
Exec	Control Other			City: New York	State: NY ZIP: _	10022	
-	2. Septemb	er 13, 2004		Additional name(s) & address	(es) attached? ☐ Yes ☒ N	lo	
	September 13, 2004	ogether with a new application, the e  s:		B. Patent No.(s)	is/are:	 74[	
5.	Name and address of party concerning document should		16.	Total number of application	ns and patents involved	: 1	
	Name: Fish & Neave Internal Address: Joel Weiss		7. Total fee (37 CFR 3.41)\$  40.00  ☐ Enclosed ☐ Authorized to be charged to deposit account ☐ Charge excess/credit overpayment to deposit account				
	Street Address: 1251 A	Avenue of the Americas	8.	Deposit account number:			
09/22/2004 INSETACHE 00000165 10940574			O6-1075 (Attach duplicate copy of this page if paying by deposit account)				
01 FC:8021 City: <u>New York</u> State: NY ZIP: 10020							
DO NOT USE THIS SPACE							
9.	9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Joel Weiss  Name of Person Signing  Signature  Date						
	Reg. No. 44,398 Total number of pages including cover sheet, attachments, and document:						

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

## ASSIGNMENT

WHEREAS, we, Richard P. Goodman and Michael Sweeting, respectively residing and having post office addresses at 54 Buckingham Road, Shoreham-by-Sea, West Sussex, England, BN43 5UD, and Innes House, 10 Ayling Lane, Aldershot, England, GU11 3LZ, United Kingdom, have made an invention in:

## ELECTRONIC COMPLETION OF CASH VERSUS FUTURES BASIS TRADES

and have made application for United States Letters Patent therefor, the same having been executed on even date herewith; and

WHEREAS, eSpeed, Inc., a corporation organized and existing under the laws of Delaware and having an office and place of business at 135 East 57th Street, 5th Floor, New York, New York 10022, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid to us, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said eSpeed, Inc., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and any reissues of

PATENT REEL: 015799 FRAME: 0537 that we hereby claim the benefit under Title 35, United States Code § 119(e) of the United States provisional applications listed below;

(Application Serial No.) (Filing Date)

Wherefore, we pray that Letters Patent be granted to us for the invention or discovery described and claimed in the attached specification and claims, and we hereby subscribe our name to the foregoing specification and claims, and declaration.

We declare, further, that we understand the English language and that all statements made herein of our own knowledge are true, and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

13.9.04 Date

Richard P. Goodman

Dato

RECORDED: 09/13/2004

Michael Sweeting

3