

09-27-2004

Atty. Docket No. 4366-200  
Avaya Ref. No. 404054-A-01-US (Chan)

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ER SHEET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

9-17-04

(Rev. 06/04)

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new (address(es) below.

## 1. Name of conveying party(ies)/Execution Date(s):

Kevin Chan  
Christopher R. Gentle  
Julian James Orbach  
Alastair Rankine

Execution Date(s) 9/14/04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Name: Avaya Technology Corp.

Internal Address: \_\_\_\_\_

Street Address: 211 Mount Airy Road

City: Basking Ridge State: NJ

Country: U.S.A. Zip: 07920

Additional name(s) & Address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☐ This document is being filed together with a new application.

## A. Patent Application No.(s)

Not Yet Assigned

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bradley M. Knepper  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, CO 80202-5145  
Phone: (303) 863-9700  
Fax: (303) 863-0223

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) ..... \$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit Account Number: 50-1602

(Attach duplicate copy of this page if paying by deposit account)

## 9. Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas W. Swartz

Reg. No. 37,739

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

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PATENT  
REEL: 015811 FRAME: 0918

## ASSIGNMENT AND AGREEMENT

For value received, we, **Kevin Chan** of Unit 3, Edward Street, Ryde, NSW 2112, Australia, **Christopher R. Gentle** of 67 Morrison Road, Gladesville, NSW 2111, Australia, **Julian James Orbach** of 6/46 Fontenoy Road, North Ryde, NSW 2113, Australia, and **Alastair Rankine** of 14 Annandale Street, Annandale, NSW 2038, Australia, , hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **"METHOD AND APPARATUS FOR PREVENTING THE INADVERTENT OR UNAUTHORIZED RELEASE OF INFORMATION"** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology Corp. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology Corp. may designate.

We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: 14/9/04

Justice of the Peace  
MELANIE

MELANIE SMITH, JP.

Date: 14/9/04

Justice of the Peace  
MEVIANE SMITH

Justice of the Peace

MEVIANE SMITH

Australia )  
New South Wales ) ss.

Justice of the Peace MELANIE SMITH

Australia )  
New South Wales ) ss.

Justice of the Peace  
MELODIE SMITH, JP in NSW.

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